

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rucker, Shelley D.	2. Court or Organization United States Bankruptcy Court, E.D. Tenn.	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Historic U.S. Courthouse, Second Floor 31 E. 11th Street Chattanooga, Tennessee 37402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Rucker, Shelley D.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	National Conference of Bankruptcy Judges (Fees for Newsletter Layout Work)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Bankruptcy Judges	October 29-November 2, 2019	Washington, D.C.	Attendance at annual meeting	Hotel and Airfare
2.	MidSouth Commercial Law Institute	December 12-13, 2019	Nashville, TN	Teaching at seminar	Hotel, Meals, Conference Registration
3.	Federal Judicial Center	July 7-10, 2019	New York, New York	Attendance at Program	Hotel, Meals and Airfare
4.	Tennessee Bar Association	April 5-7, 2019	Gatlinburg, TN	Teaching at annual bankruptcy forum	Hotel, Meals and Mileage
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Accounts	A	Interest	K	T					
2.									
3. Fidelity Rollover IRA #1									
4. -Fidelity Freedom 2010 Fund (FFFCX)#	D	Dividend	M	T					
5. -Fidelity Freedom 2030 Fund (FFFEX)#	D	Dividend	L	T	Buy (add'l)	04/15/19	K		
6.					Buy (add'l)	06/11/19	K		
7.					Sold (part)	08/28/19	L	E	
8. -Fidelity Freedom 2040 (FFFFX)#	D	Dividend	L	T	Buy (add'l)	03/04/19	K		
9.					Sold (part)	08/28/19	L		
10.					Buy (add'l)	10/24/19	K		
11. -Fidelity Freedom Select Medical Technology (FSMEX)	A	Dividend	K	T	Buy (add'l)	03/04/19	J		
12.					Sold (part)	08/28/19	K	D	
13. -Apple Inc. Common Stock	B	Dividend	L	T	Sold (part)	06/20/19	K	D	
14.					Sold (part)	07/03/19	K	D	
15.					Buy (add'l)	08/02/19	K		
16.					Sold (part)	12/20/19	K	E	
17.					Sold (part)	12/31/19	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -Walt Disney Co. Common Stock	A	Dividend	L	T					
19.					Spinoff (from line 23)	03/20/19	J		
20.					Buy (add'l)	06/12/19	K		
21.					Buy (add'l)	06/14/19	J		
22.					Buy (add'l)	11/20/19	J		
23. Twenty-first Century Fox		None			Redeemed	03/20/19	J		
24. Fox Corp.	A	Dividend			Spinoff (from line 23)	03/20/19	J		
25.					Sold	05/24/19	J		
26. CVS Health	A	Dividend	K	T	Buy	08/12/19	J		
27.					Buy (add'l)	08/27/19	J		
28.					Buy (add'l)	11/20/19	J		
29. Roku		None			Buy	08/12/19	J		
30.					Buy (add'l)	08/27/19	J		
31.					Buy (add'l)	09/03/19	K		
32.					Sold (part)	09/24/19	K		
33.					Sold (part)	10/17/19	J		
34.					Sold	11/18/19	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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35. -Fidelity Core money market account	C	Interest	N	T	Distributed (part)	01/28/19	K		
36.					Merged (with line 8)	03/04/19	K		
37.					Merged (with line 11)	03/04/19	J		
38.					Merged (with line 5)	04/15/19	K		
39.					Spinoff (from line 25)	05/24/19	J		
40.					Merged (with line 6)	06/11/19	K		
41.					Merged (with line 20)	06/12/19	K		
42.					Merged (with line 21)	06/14/19	J		
43.					Spinoff (from line 13)	06/20/19	K		
44.					Spinoff (from line 14)	07/03/19	K		
45.					Merged (with line 15)	08/02/19	K		
46.					Merged (with line 26)	08/12/19	J		
47.					Merged (with line 29)	08/12/19	J		
48.					Merged (with line 27)	08/27/19	J		
49.					Merged (with line 30)	08/27/19	J		
50.					Spinoff (from line 7)	08/28/19	L		
51.					Spinoff (from line 9)	08/28/19	L		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Merged (with line 31)	09/03/19	K		
53.					Spinoff (from line 32)	09/24/19	K		
54.					Merged (with line 10)	10/24/19	L		
55.					Spinoff (from line 33)	10/17/19	J		
56.					Spinoff (from line 14)	11/18/19	J		
57.					Merged (with line 28)	11/20/19	J		
58.					Merged (with line 22)	11/20/19	J		
59.					Spinoff (from line 16)	12/20/19	K		
60.					Spinoff (from line 17)	12/31/19	K		
61.									
62.									
63.									
64.	-Fidelity Roth IRA #1 Core Money Market Account	A	Interest	J	T				
65.	Northwest Mutual Insurance Co.Whole Life Policy	B	Dividend	L	T				
66.	Massachusetts Mutual Whole Life Policy	A	Dividend	J	T				
67.	Girls Preparatory School 401(a) Plan TIAA CREF								
68.	-Payout Annuity -TIAA Traditional	A	Interest	J	T	Distributed (part)	09/04/19	J	

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69. Girls Preparatory School 403(b) Plan TIAA CREF -									
70. -Payout Annuity TIAA Traditional	A	Interest	J	T	Distributed (part)	09/04/19	J		
71. Optum Health Savings Account	A	Interest	K	T	Buy (add'l)	12/19/19	J		
72. AXA Universal Life Insurance Policy	A	Interest	J	T					
73. Fidelity Rollover IRA #2									
74. -Apple Inc. Common Stock	B	Dividend			Buy (add'l)	01/07/19	K		
75.					Sold (part)	03/25/19	K	D	
76.					Sold (part)	05/03/19	L	D	
77.					Buy (add'l)	05/09/19	M		
78.					Sold (part)	06/20/19	L	A	
79.					Sold (part)	07/17/19	K	A	
80.					Sold (part)	08/02/19	L	C	
81.					Buy (add'l)	08/06/19	M		
82.					Buy (add'l)	08/07/19	K		
83.					Buy (add'l)	08/07/19	L		
84.					Sold (part)	08/15/19	M	C	
85.					Sold	08/22/19	M	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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86.					Buy	08/27/19	M		
87.					Sold	09/09/19	M	C	
88. Netflix		None			Sold (part)	01/07/19	J		
89.					Sold	04/15/19	K		
90. Caesar's Entertainment		None			Sold	07/03/19	L	A	
91. ROKU		None	L	T	Buy	08/15/19	L		
92.					Buy (add'l)	08/16/19	L		
93.					Sold (part)	08/22/19	L	A	
94.					Sold	08/27/19	L	C	
95.					Buy	09/13/19	J		
96.					Buy (add'l)	09/13/19	L		
97.					Sold	09/19/19	L	B	
98.					Buy	09/26/19	K		
99.					Sold	10/07/19	K	B	
100.					Buy	10/28/19	J		
101.					Buy (add'l)	10/28/19	J		
102.					Buy (add'l)	10/28/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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103.					Buy (add'l)	10/28/19	J		
104.					Buy (add'l)	10/28/19	K		
105.					Sold	10/30/19	L	D	
106.					Buy	11/08/19	L		
107.					Buy (add'l)	11/12/19	L		
108.					Sold (part)	11/14/19	L	D	
109.					Sold	11/14/19	L	C	
110.					Buy	12/04/19	M		
111.					Sold (part)	12/04/19	L	B	
112.					Sold (part)	12/04/19	L	B	
113.					Sold	12/04/19	J	A	
114.					Buy	12/31/19	L		
115. AMC Entertainment	A	Dividend			Buy	09/05/19	J		
116.					Sold (part)	09/13/19	J	A	
117.					Sold	09/20/19	J	A	
118. Lyft		None			Buy	09/09/19	J		
119.					Buy (add'l)	09/09/19	K		

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2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold	09/18/19	J	B	
121. Delta Airlines	A	Dividend			Buy	10/07/19	L		
122.					Sold	12/05/19	L	B	
123. Home Depot	A	Dividend	L	T	Buy	12/05/19	L		
124. Fidelity CORE Account - BNY Mellon Insured Deposit	A	Int./Div.	L	T	Merged (with line 74)	01/07/19	K		
125.					Spinoff (from line 88)	01/07/19	J		
126.					Spinoff (from line 75)	03/25/19	K		
127.					Spinoff (from line 89)	04/15/19	K		
128.					Spinoff (from line 76)	05/03/19	K		
129.					Merged (with line 77)	05/09/19	M		
130.					Distributed (part)	06/12/19	K		
131.					Distributed (part)	06/12/19	J		
132.					Spinoff (from line 78)	06/20/19	L		
133.					Spinoff (from line 90)	07/03/19	L		
134.					Spinoff (from line 79)	07/17/19	K		
135.					Spinoff (from line 80)	08/02/19	L		
136.					Merged (with line 81)	08/06/19	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Merged (with line 82)	08/07/19	K		
138.					Merged (with line 83)	08/07/19	L		
139.					Spinoff (from line 84)	08/15/19	M		
140.					Merged (with line 91)	08/15/19	L		
141.					Merged (with line 92)	08/16/19	L		
142.					Distributed (part)	08/21/19	J		
143.					Spinoff (from line 85)	08/22/19	M		
144.					Spinoff (from line 93)	08/22/19	L		
145.					Distributed (part)	08/26/19	J		
146.					Merged (with line 86)	08/27/19	M		
147.					Spinoff (from line 94)	08/27/19	L		
148.					Merged (with line 115)	09/05/19	J		
149.					Spinoff (from line 87)	09/09/19	M		
150.					Merged (with line 118)	09/09/19	J		
151.					Merged (with line 119)	09/09/19	K		
152.					Spinoff (from line 116)	09/13/19	J		
153.					Merged (with line 95)	09/13/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Rucker, Shelley D.

Date of Report

05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Merged (with line 96)	09/13/19	L		
155.					Spinoff (from line 120)	09/18/19	K		
156.					Spinoff (from line 97)	09/19/19	L		
157.					Spinoff (from line 117)	09/20/19	J		
158.					Merged (with line 98)	09/26/19	K		
159.					Merged (with line 121)	10/07/19	L		
160.					Spinoff (from line 99)	10/07/19	K		
161.					Distributed (part)	10/16/19	K		
162.					Distributed (part)	10/17/19	J		
163.					Merged (with line 100)	10/28/19	J		
164.					Merged (with line 101)	10/28/19	J		
165.					Merged (with line 102)	10/28/19	J		
166.					Merged (with line 103)	10/28/19	J		
167.					Merged (with line 104)	10/28/19	K		
168.					Spinoff (from line 105)	10/30/19	L		
169.					Merged (with line 106)	11/08/19	L		
170.					Merged (with line 107)	11/12/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Rucker, Shelley D.

Date of Report

05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Spinoff (from line 108)	11/14/19	L		
172.					Spinoff (from line 109)	11/14/19	L		
173.					Distributed (part)	12/02/19	K		
174.					Distributed (part)	12/02/19	K		
175.					Merged (with line 110)	12/04/19	M		
176.					Spinoff (from line 111)	12/04/19	L		
177.					Spinoff (from line 112)	12/04/19	L		
178.					Spinoff (from line 113)	12/04/19	J		
179.					Spinoff (from line 122)	12/05/19	L		
180.					Merged (with line 123)	12/05/19	L		
181.					Merged (with line 114)	12/31/19	L		
182. Fidelity Roth IRA #2									
183. General Electric		None			Buy	08/19/19	J		
184.					Sold	08/23/19	J	A	
185. Home Depot	A	Dividend	L	T	Buy	12/05/19	L		
186. Fidelity Government Money Market	A	Interest	J	T	Open	06/18/19	K		
187.					Merged (with line 183)	08/19/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Spinoff (from line 184)	08/23/19	J		
189.					Buy (add'l)	10/16/19	K		
190.					Buy (add'l)	12/02/19	K		
191.					Merged (with line 185)	12/05/19	L		
192.									
193.									
194.									
195.									
196.									
197.									
198.									
199.									
200.									
201.									
202.									
203.									
204.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

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P2 =\$5,000,001 - \$25,000,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.									
206.									
207.									
208.									
209.									
210.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Rucker, Shelley D.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 3- 12 Fidelity statements regarding the 2010, 2030 and 2040 Funds and the Medical Technology Fund indicated that short term and long term gains were earned on the accounts and were reinvested in units of those funds respectively. These gains are included in year end values. These transactions were part of the management of the fund and I have no further details on what particular transaction within the funds produced the gains. The dividend and or interest income from these funds that was reflected on statements is shown in column B on lines 3-12.

Line 23 Twenty-First Century Fox merged with Disney. Shares were tendered to the company in consideration for shares of Fox Corp and Disney. Those additional shares are reflected in line 24 and line 19 respectively.

Lines 65, 66 and 72 Values are cash surrender values.

Lines 68 and 70 Both the 401(a) TIAA CREF Plan and 403(b) Plans were converted to Payout Annuities invested in TIAA Traditional Funds. The second of the annual payments were distributed to participant. The remaining funds remain invested in TIAA Traditional.

Line 71 Additional contribution was made to HSA account.

Line 124 Audit program requested that "part" be added since only a portion of the funds were used to purchase the Apple common stock on that date. Columns B and C were completed because the asset was owned at the end of the period. There was no "part" option for "merge."

Line 130 Distribution used to open Fidelity Roth #2

Line 161 Funds from Fidelity IRA #2 were converted and rolled over to Fidelity Roth #2 on line 189. Line 190 reflects an additional conversion from the IRA to Roth #2 from the distribution shown on line 173 .

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Name of Person Reporting

Rucker, Shelley D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Shelley D. Rucker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544