

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wang, Nina Y.	2. Court or Organization United States District Court for the District of Colorado	3. Date of Report 5/6/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge -Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Alfred A. Arraj United States Courthouse 901 19th Street, A532 Denver, Colorado 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executive Committee Member	Colorado Our Courts
2. Steering Committee Member	National Task Force for the United States Patent and Trademark Office Pro Bono Initiative
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2010	Faegre Baker Daniels, Benefit Plan (Continuing Participation in Retirement Plan previously vested.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed, Energy Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Georgetown Law School	11/15/2019-11/16/2019	Washington, D.C.	Participation on panel for eDiscovery Seminar	Travel & food
2.	Sedona Conference International IP Litigation	11/3/2019-11/5/2019	Charlotte, NC	Participation on panel for international intellectual property practice	Travel & Food
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. The Colorado IP American Inn of Court	Honorary Membership	\$425.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Nationstar Mortgage	Mortgage on Rental Prop. #1, Denver, Colorado	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Denver, Colorado (2000 - \$160,000)	E	Rent	N	Q					
2. ETrade Roth IRA - Cash	A	Interest	J	T					
3. ETrade Roth IRA - Cash	A	Interest	J	T					
4. Sentinel Ssmidcap A	A	Dividend	K	T					
5. American Century Select	A	Dividend	J	T					
6. American Century Ultra	A	Dividend	J	T					
7. American Century Value	A	Dividend	J	T					
8. American Century Heritage	A	Dividend	J	T					
9. American Century Equity Growth	A	Dividend	J	T					
10. FirstBank Denver Accounts	A	Interest	L	T					
11. Edge Asset Management Fund - Equity Income R5 Fund	D	Int./Div.	L	T					
12. T Rowe Price Large Cap Growth I R5 Fund	A	Int./Div.	N	T					
13. MFS Investment Management MFS Utilities R3 Fund	A	Int./Div.	K	T					
14. College Invest - Moderate Age Based Growth Portfolio	C	Int./Div.	J	T					
15. College Invest - Aggressive Growth	C	Int./Div.	K	T					
16. College Invest - Stock Index	C	Int./Div.	K	T					
17. College Invest - Growth Portfolio	C	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. College Invest - Aggressive	C	Int./Div.	J	T					
19. College Invest - Stock Index	C	Int./Div.	J	T					
20. College Invest - Growth Portfolio	A	Int./Div.	J	T					
21. T Rowe Price Growth Stock Mutual Fund	A	Int./Div.	N	T					
22. Baron Small Cap Institutional	A	Int./Div.	M	T					
23. AllianzGI NFJ Small Cap Value Institutional	A	Int./Div.	M	T					
24. American Funds EuroPacific	A	Int./Div.	M	T					
25. Faegre Baker Daniels Pension Plan (Cash balance plan-Charles Schwab)	A	Int./Div.	M	T					
26. Learning Quest - Aggressive Track Portfolio	C	Dividend	L	T					
27. Learning Quest - Aggressive Track Portfolio	C	Dividend	L	T					
28. Nexant Custom Target 2035 Portfolio	C	Int./Div.	M	T					
29. AXAAB Small Cap Growth Plan	A	Int./Div.	K	T					
30. Sustainability and Impact Equity FD-CLA	A	Int./Div.	K	T			K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nina Y. Wang**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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