

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leinenweber, Harry D.	2. Court or Organization U.S.D.C. (ILLINOIS NORTHERN)	3. Date of Report 05/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Suite #1946 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Life Member	National Conference of Commissioners on Uniform State Laws
2. Member, Board of Directors	Good Shepherd Manor, Momence, Illinois
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Illinois General Assembly Retirement	\$15,939.84
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Dreyfus Florida Muni Money Market Fund - Director Fees
2. 2019	Dreyfus NJ Muni Bond Fund - Director Fees
3. 2019	Dreyfus NY Tax Exempt Bond Fund - Director Fees
4. 2019	Dreyfus Global Investing - Director Fees
5. 2019	Dreyfus Strategic World Investment Fund - Director Fees
6. 2019	Dreyfus Strategic World Investment Fund - Director Fees
7. 2019	Dreyfus Strategic World Investment Fund - Director Fees
8. 2019	Dreyfus Florida Intermediate Muni Bond Fund - Director Fees
9. 2019	Dreyfus Strategic World Investment Fund - Director Fees
10. 2019	Dreyfus Strategic World Investment Fund - Director Fees
11. 2019	Dreyfus Strategic World Investment Fund - Director Fees
12. 2019	Dreyfus Investor GNMA Fund - Director Fees
13. 2019	Dreyfus 100% U.S. Treasury Short-Term Fund - Director Fee
14. 2019	Dreyfus 100% U.S. Treasury Intermediate Term Fund - Director Fees
15. 2019	Dreyfus 100% U.S. Treasury Money Market Fund - Director Fees

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16. 2019	Office of Personnel Management - Congressional Retirement
17. 2019	Dreyfus Strategic Growth fund - Director Fees
18. 2019	Neiman Marcus - Residuals from Previous Directorship *See Section VIII for Additonal Comments.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1.	J.P. Morgan Chase checking account	C	Interest	K	T				*See Notes in Section VIII
2.	J.P. Morgan Chase checking account	C	Interest	J	T				*See Notes in Section VIII
3.	J.P. Morgan Chase savings account	C	Interest	M	T				*See Notes in Section VIII
4.	Vanguard Interim (VWIUK)	B	Interest	M	T	Sold (part)	01/15/19	K	A
5.	Double Line Total Ret (DBLTX)	D	Interest	N	T				
6.	Metropolitan West Total (MWTIX)	D	Interest	N	T				
7.	Vanguard Total Bond (VBTIX)	C	Interest	M	T				
8.	DFA Emerging Market Core (DFCEX)	A	Dividend	L	T	Buy	09/26/19	K	
9.	DFA Emerging Market Core (DFCEX)	A	Dividend			Sold (part)	11/22/19	J	A
10.	DFA Intl. Core Equity Part (DFIEX)	A	Dividend	L	T	Sold (part)	10/17/19	K	A
11.	UBS AG Jersey (MLPI)	C	Dividend			Sold (part)	01/22/19	K	A
12.	UBS AG Jersey (MLPI)	C	Dividend			Sold	09/26/19	K	A
13.	AT&T	G	Dividend	P1	T	Distributed (part)	02/15/19	M	*See Notes in Section VIII
14.	Dreyfus Research Growth (DWOIX)	B	Dividend	K	T				
15.	Aspirant Defensive (RMDFX)	E	Dividend	O	T	Buy	09/26/19	J	
16.	Aspirant Risk Mgmt. (RMEAX)	E	Dividend	O	T				
17.	DFA Emerging Value Markets (DFEVX)	B	Dividend	L	T				

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard High Yield (VWEAX)	D	Dividend	L	T					
19. Ryder System (R)	B	Dividend	K	T	Sold (part)	10/22/19	K	A	
20. DFA Emerg Mkt Core (DFCEX)	B	Dividend	K	T					
21. DFA Emerg Mkt Value (DFEVX)	B	Dividend	K	T					
22. Vanguard Real Estat (VNQ)	A	Interest	J	T					
23.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III. - B. Spouse's Non-Investment Income

18. Spouse was a former director of the parent company of Neiman Marcus. She receives a discount for retail purchases.

VII. - Investment and Trusts.

1. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.

2. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.

3. This J.P. Morgan Chase account is a personal savings account.

13. Spouse received deferred stock units as partial compensation as a Director. The value of the units are distributed in equal annual installments through 2022.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry D. Leinenweber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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