

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zive, Gregg W.	2. Court or Organization U.S. Bankruptcy Court - Nevada	3. Date of Report 07/31/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S Courthouse 300 Booth Street, 5th Floor Reno, NV 89509		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee Emeritus	University of Nevada, Reno Foundation
2. Advisory Board	Nevada Land Trust
3. Limited Partner	Plumas Garden Partners, Reno NV
4. Trustee	Trust #3
5. Trustee	Trust #4 (see Part VIII for comments)
6. Leadership Board	KUNR

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Zive, Gregg W.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Pacific Coast League-scorekeeper	\$1,560.00
2. 2019	Board of Regents Nevada State Higher Education-teaching	\$2,775.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Zive, Gregg W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Plumas Garden Partners, Reno, Nevada	A	Interest	K	R					See comments (Part VIII)
2.	E	Rent							
3. City National Bank Money Market, Reno NV	A	Interest	J	T					
4. Wells Fargo Advisors IRA (H)									
5. -Wells Fargo Bank Deposit	A	Interest	J	T					
6. - Lehman Bros. Holding		None	J	T					
7. - Chevron Corporation	B	Dividend	K	T					
8. - Europacific Growth Fund	A	Dividend	J	T					
9. - First TR Dorsey ET Wright Focus Five Fd	A	Dividend	K	T					
10. - Ishares ET Russell 2000 Growth	A	Dividend	K	T					
11. - Ishares ET Russell 1000 Value	A	Dividend	K	T					
12. - Ishares ET Russell 1000 Growth	A	Dividend	K	T	Sold (part)	12/03/19	J	C	
13. - Ishares Russell 2000 Value	A	Dividend	K	T					
14. - SPDR S&P Dividend ET	A	Dividend	K	T					
15. - Vanguard Health Care ET	A	Dividend	K	T					
16. - Vanguard Information ET	A	Dividend	K	T					
17. - Baron Select Funds Real Estate FD	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. - Baron Invt Funds Trust Small Cap FD	B	Dividend	K	T					
19. - Baron Select Funds Partners FD	A	Dividend	K	T					
20. - Brandes Invt TR Intl Small Cap Equity FD	A	Dividend			Sold	12/03/19	K		
21. - Brandes Invt TR Emerging Mkts Value FD (Class I)	A	Dividend	K	T					
22. - Brandes Invt TR Instl Equity FD (Class I)	B	Dividend	K	T					
23. - Federated Equity FDS Strategic Value FD	B	Dividend	K	T					
24. - Fidelity ADV New Insights (Class I)	B	Dividend	K	T					
25. - JP Morgan Mid Cap Value FD (Class L)	B	Dividend	K	T					
26. - Prudential Invt Port12 Global Real Estate FD (Class Z)	C	Dividend	K	T					
27. - Thornburg Invt TR Invt Income Builder FD (Class I)	A	Dividend	K	T					
28. - Vanguard Horizon FDS Strategic Small Cap Equity FD	A	Dividend	K	T					
29. - Columbia Seligman Cmmn & Information FD Ins. Class	B	Dividend	K	T					
30. Brokerage #1 (H)									
31. - Boeing Company	A	Dividend	K	T					
32. - Coca-Cola	B	Dividend	K	T					
33. - Wells Fargo Bank Deposit	A	Interest	K	T					
34. - AB Mun Income FD Inc High Income Mun	B	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Eaton Vance Muns TR Municipal Opp (Class I)	A	Int./Div.	K	T					
36. - Eaton Vance Muns TR Natl Muns Income FD	B	Int./Div.	K	T					
37. - Federated Incm Secs TR Muni & STK Advantage FD Instl	A	Int./Div.	K	T					
38. - Franklin Fed Tax Free Income Fund	A	Interest	K	T					
39. - TCW FDS Inc Emerging Mkts Income FD (Class I)	B	Dividend	K	T					
40. - Nuveen Enhanced AMT Free Municipal Credit Oppty FD	B	Int./Div.	K	T					
41. Trust #3 (H)									See Comments (Part VIII)
42. -City National Bank Money Mkt, Reno NV	A	Interest	J	T					
43. - Brokerage #1									
44. -Money Market Fund-Stifel Nicolaus	A	Interest	J	T					
45. - Franklin Income Fund CL A	A	Dividend	J	T	Sold (part)	04/12/19	J	A	
46. - Nuveen All American Muni Bond FD CL A	C	Dividend	M	T	Sold (part)	08/05/19	K		
47. - Federated Stat Value Dividend CL A	D	Dividend	L	T					
48. - AIM Invesco Equity and Income CL A	C	Dividend	L	T					
49. - Nuveen High Yield Muni Bond	A	Dividend	J	T					
50. Nevada State Bank, Reno, NV Money Market	A	Interest	M	T					
51. RBC Dain Rauscher IRA (H)									See Comments (Part VIII)

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52. -RBC Bank Deposit	A	Interest	J	T					
53. -Invesco Select Real Estate Income Fund	A	Dividend	J	T					
54. - Growth Fund of America	B	Dividend	K	T					
55. - Henderson Global Intl Opps	A	Dividend	K	T					
56. - Franklin Founding FD Alloc A	A	Dividend	K	T					
57. - Columbia FDS Ser TR II Mass Seligman Comm & Info CL C	B	Dividend	K	T					
58. - Ivy FDS Inc Small Cap Growth FD CL C	A	Dividend	J	T	Sold (part)	11/26/19	J		
59. - Northern LTS FD TR Deer PK Total Rtn CL A	A	Dividend	J	T					
60. - UIT FT MLP Closed End Ser 27 Portfolio Monthly	A	Dividend	J	T	Buy	11/26/19	J		
61. [REDACTED]		None	J	T					
62. Wells Fargo Bank, N.A., Reno, NV		None	K	T					
63. Brokerage #2 (H)									
64. - Bank of China New York City CD	C	Interest	M	T					
65. City National Bank Checking, Reno NV		None	J	T	Open	08/27/19	J		
66. City National Bank Money Market #2, Reno NV	B	Interest	M	T	Open	06/25/19	N		
67. Brokerage #3 (H)									See Comments (Part VIII)
68. - Berkshire Bank Pittsfield MA CD		None	M	T	Buy	06/26/19	M		

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Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Zive, Gregg W., 07/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period). Rows 69-70: Bank of America NA CD, TCF National Bank CD.

Table with 6 columns: 1 Income Gain Codes, 2 Value Codes, 3 Value Method Codes, A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Filer's spouse also has a financial disclosure report requirement. Filer and spouse maintain assets as sole and separate property. Filer does not receive income from any assets of the spouse, nor does filer receive or expect to receive any benefit from said assets. Additionally, filer and spouse file separate tax returns. Therefore, it is both the filer and spouse's understanding that they are not required to report any information relating to each other on their disclosure report based on guidance received in 2018. Spouse has properly filed a separate financial disclosure report.

Part I Line 5. Filer became a trustee of this trust in 2016. Filer did not create the trust, does not receive income from this trust, nor does he have a beneficial interest in this trust. Therefore, no assets are reported in Part VII.

Part VII Line 1. 6.25 % limited partnership interest; apartment complex. Original purchase price of \$50,000 in April 1994.

Part VII. Line 41. This trust was created February 12, 2007. 100% beneficial interest in this trust.

Part VII. Line 51. Beneficiary of this decedent IRA.

Part VII. Line 67. New brokerage account created in June of 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregg W. Zive**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544