

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorton, Nathaniel M.	2. Court or Organization District Court - Massachusetts	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court 1 Courthouse Way, Suite 3110 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Stockholder, Clerk/Secretary and Director	Slade Gorton Seafood Holdings, Inc., Boston, MA (closely held seafood business) a MA corporation
2. Power of Attorney/Trustee (See NOTE in Part VIII)	Fidelity money market accounts (for all stock of Slade Gorton Seafood Holdings, Inc.) all of whom are of the reporting party
3. Trustee (See NOTE in Part VIII)	Irrevocable Trust (created in connection with the estate plan of reporting party's)
4. Trustee (See NOTE in Part VIII)	1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection with the estate plan of reporting party's)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 09/12/2019	Slade Gorton Holdings, Inc. (closely held [REDACTED] seafood business) corporate clerk/secretary, director, custodian, trustee and accounting duties	\$20,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Lawyers Association	03/23/2019	New York City	Attend annual NYIPLA dinner at Hilton Hotel	reimbursement for lodging and travel by train [REDACTED] from Boston to NYC
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Slade Gorton Seafood Holdings, Inc. (a MA corporation)(common stock)		Dividend	O	Q					See Section VIII
2. Fidelity Cash Reserves (individual)	A	Int./Div.	J	T					
3. Charitable Remainder Trust									See Section VIII
4. Schwab Government Money market sweep		None	K	T					See Section VIII
5. - Johnson & Johnson					Sold	01/18/19	K	D	
6. - Jack Henry & Associates	A	Dividend			Sold	10/15/19	J	D	
7. - Ryder Systems Inc (bond)	A	Interest			Redeemed	09/13/19	J		
8. - Microsoft Corp	A	Dividend	K	T					
9. - UnitedHealth Group Inc	A	Dividend	K	T					
10. - Costco Wholesale Corp	A	Dividend	K	T					
11. - JP Morgan Chase & Co	A	Dividend	K	T					
12. - Novo Nordisk AS ADR-B	A	Dividend			Sold	06/24/19	J	C	
13. - Waters Corporation		None	J	T					
14. - Astrazeneca Group PLC ADR	A	Dividend			Sold	06/24/19	J	B	
15. - Duke Energy (Bond)	A	Interest	J	T					
16. - Bristol-Myers Squibbb Co. (Bond)	A	Interest			Sold	03/01/19	J		
17. - Clorox Company CL (Bond)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - Oracle Corp. (Bond)	A	Interest	J	T					
19. - Watsco Inc.	A	Dividend	J	T					
20. - Air Prods & Chems Inc. (Bond)	A	Interest	J	T	Buy (add'l)	07/16/19	J		
21. - Air Prods & Chems Inc.	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
22. - First Republic Bank/San Fran	A	Dividend	J	T					
23. - Lockheed Martin Corp	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
24. - Qualcomm Inc.	A	Dividend	J	T					
25. - Sherwin Williams Co.	A	Dividend	J	T					
26. - Apple Inc.	A	Dividend	J	T					
27. - Cogent Communications	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
28. - Constellation Software Inc Com	A	Dividend	J	T					
29. - Copart Inc.		None	J	T					
30. - Crown Castle Reit	A	Dividend	J	T					
31. - Equinix Inc	A	Dividend	J	T					
32. - Home Depot (Bond)	A	Interest	J	T	Buy	07/22/19	J		
33. - KAR Auction Services, Inc.	A	Dividend	J	T	Buy	07/11/19	J		
34. - IAA Inc (spinoff of KAR Auction Services, Inc.			J	T			J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. - GTT Communication Inc.					Buy (add'l)	01/18/19	J		
36. - GTT Communications Inc					Sold	08/12/19	J		
37. - Heico Corp New CL A	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
38. - Mastercard Inc - Class A	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
39. Boston Financial Management, Inc. IRA									See Section VIII
40. Schwab Government Money Market Sweep		None	L	T					See Section VIII
41. - Copart Inc.		None			Sold (part)	04/03/19	K	E	
42.					Sold (part)	07/15/19	K	E	
43. - Jack Henry & Associates, Inc.	A	Dividend			Sold	10/15/19	K	D	
44. - Praxair, Inc. (bond)	B	Interest	K	T					
45. - Unilever Capital Corp (bond)	A	Interest			Sold	03/06/19	K		
46. - Microsoft Corp	A	Dividend	L	T					
47. - IShares TR S&P Midcap 400	A	Dividend	L	T					
48. - IShares TR S&P Small Cap 600	A	Dividend	L	T					
49. - Diageo PLC ADR	A	Dividend	L	T					
50. - Chevron Corp	A	Dividend			Sold	05/01/19	L	D	
51. - JP Morgan Chase & Co	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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52. - Johnson & Johnson	B	Dividend			Sold	01/18/19	L	F	
53. - Novo-Nordisk AS ADR-B	A	Dividend			Sold	06/24/19	K	E	
54. - United Health Group Inc	A	Dividend	K	T	Sold (part)	01/16/19	J	D	
55.					Sold (part)	04/03/19	J	D	
56. - Air Prods & Chems Inc	B	Dividend	L	T	Buy (add'l)	01/18/19	K		
57. - Merck & Co Inc. (bond)	A	Interest	K	T					
58. - Autozone Inc. (bond)	A	Interest			Matured	04/21/19	K		
59. - Apple Inc (bond)	B	Interest	L	T					
60. - NBC Universal Media LLC (bond)	B	Interest			Sold	09/03/19	K		
61. - Moodys Corp	A	Dividend			Sold (part)	07/15/19	K	D	
62. - Waters Corporation		None	K	T					
63. - CME Group Inc	A	Dividend	K	T	Buy (add'l)	01/16/19	J		
64. - Astrazeneca Group PLC ADR	A	Dividend			Sold	06/24/19	K	D	
65. - Duke Energy Progress - 1st Mtg. (Bond)	B	Interest	L	T					
66. - Autozone Inc. (Bond)	A	Interest	K	T					
67. - United Parcel Service (Bond)	A	Interest	K	T					
68. - Precision Castparts Corp. (Bond)	A	Dividend	K	T					

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69. - First Republic Bank/San Fran	A	Dividend	K	T					
70. - Lockheed Martin Corp	A	Dividend	K	T	Buy (add'l)	05/01/19	J		
71. - Watsco Inc	B	Dividend	K	T					
72. - AMDOCS Limited	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
73. - GTT Communications Inc		None			Buy (add'l)	01/18/19	J		
74.					Sold	08/12/19	J		
75. - Qualcomm Inc.	B	Dividend	K	T	Buy (add'l)	01/16/19	J		
76. - Sherwin Williams	A	Dividend	L	T	Buy (add'l)	01/18/19	J		
77. - Danone Sponsored ADR	A	Dividend	J	T					
78. - Constellation Software Inc Com	A	Dividend	K	T					
79. - Novozymes A/S ADR	A	Dividend	J	T					
80. - Cisco Systems (Bond)	A	Interest	K	T					
81. - Cisco Systems (Bond)	A	Interest	K	T	Buy	03/19/19	K		
82. - Lilly Eli & Co. (Bond)	A	Interest	K	T					
83. - Lowe's Companies Inc CL (Bond)	A	Interest	K	T					
84. - Novartis Capital Corp. (Bond)	B	Interest	L	T					
85. - Aramark Com	A	Dividend			Sold	02/07/19	J		

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86. - Cogent Communications	B	Dividend	K	T	Buy (add'l)	01/18/19	J		
87. -Gilead Sciences	A	Dividend	J	T					
88. - Expeditors International of Washington, Inc.	A	Dividend	K	T					
89. - Heico Corp New Cl A	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
90. - Nordson Corp Com	A	Dividend	K	T					
91. - Mastercard Inc Class A	A	Dividend	L	T	Buy (add'l)	01/18/19	J		
92. - Verisign Inc		Dividend	K	T	Buy (add'l)	01/18/19	J		
93. - Crown Castle Reit	B	Dividend	K	T					
94. - Equinix Inc	B	Dividend	L	T					
95. - FirstService Corp	A	Dividend	J	T					
96. - Goldman Sachs Active Beta Intl Eq ETF	B	Dividend	L	T	Buy (add'l)	06/27/19	L		
97. KAR Auction Services, Inc.	A	Dividend	K	T	Buy	02/26/19	J		
98.					Buy (add'l)	07/11/19	K		
99. - IAA (spinoff from KAR Auction Services, Inc.)		Dividend	J	T	Buy	02/26/19	J		
100. - Bca Marketplace PLC					Buy	02/13/19	J		
101.					Sold	06/27/19	J	A	
102. - Visa Inc Class A	A	Dividend	K	T	Buy	02/26/19	K		

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103. - Visa Inc (Bond)	A	Interest	K	T	Buy	04/30/19	K		
104. - WR Berkley Corp	A	Dividend	K	T	Buy	04/04/19	K		
105. - Broadridge Financial Solutions, Inc.	A	Dividend	K	T	Buy	04/03/19	K		
106. - McDonald S Corp (Bond)	A	Interest	K	T	Buy	10/09/19	K		
107. - Cantel Medical corp	A	Dividend	J	T	Buy	10/16/19	J		
108. - Automatic Data Processing (bond)	B	Interest	L	T	Buy	07/18/19	L		
109. - Moody's Corp	A	Dividend	K	T					
110. Boston Financial Management, Inc. Revocable Trust									See Section VIII
111. Schwab Government Money Market Sweep		None	K	T					
112. - Deere & Company (bond)	B	Interest			Sold	10/16/19	K		
113. - Costco Whsl Corp	A	Dividend	J	T					
114. - United Health Group Inc	A	Dividend	J	T	Sold (part)	04/03/19	J	D	
115. - Amazon Com Inc		None	K	T					
116. - Nike Inc CL B	A	Dividend			Sold	03/13/19	J	D	
117. - Diago Plc ADR	A	Dividend	J	T					
118. - Chevron Corp	A	Dividend			Sold	05/01/19	J	A	
119. - JP Morgan Chase & Co	A	Dividend	J	T	Sold (part)	09/09/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Johnson & Johnson	A	Dividend			Sold	01/18/19	K	C	
121. - Apple Computer Inc	A	Dividend	J	T					
122. - Automatic Data Processing	A	Dividend			Sold	07/24/19	J	D	
123. - Microsoft Corp	A	Dividend	K	T					
124. - Air Prods & Chems	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
125. - Copart Inc.		Dividend	K	T					
126. - Shrewsbury, MA - GO (Bond)	A	Interest	K	T					
127. - Moodys Corp	A	Dividend	J	T	Sold (part)	06/12/19	J	C	
128. - Waters Corporation		Dividend	J	T					
129. - Alphabet Inc-CL C		Dividend	K	T					
130. - CME Group Inc	A	Dividend	K	T	Sold (part)	06/03/19	J	C	
131. - Mastercard Inc - Class A	A	Dividend	K	T	Sold (part)	06/12/19	J	C	
132. - Massachusetts St GO Ref (Bond)	B	Interest	K	T					
133. - Nantucket, MA GO (Bond)	A	Interest	K	T					
134. -Mastercard Inc. (Bond)	A	Interest	K	T					
135. - First Republic Bank/San Fran	A	Dividend	J	T	Sold (part)	07/11/19	J		
136. - Gilead Sciences	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Gorton, Nathaniel M.

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05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - KAR Auction Svcs Inc Com	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
138.					Buy (add'l)	07/11/19	J		
139. - IAA Inc. (spinoff of KAR Auction Services Inc)		Dividend	J		Sold (part)	09/09/19	J	A	
140. - Republic Services Inc.	A	Dividend	J	T	Sold (part)	06/12/19	J	C	
141. - Watsco Inc.	A	Dividend	J	T	Sold (part)	07/11/19	J	A	
142. - Qualcomm Inc	A	Dividend	J	T					
143. - Massachusetts ST -GO REF (Bond)	A	Interest	K	T					
144. - Massachusetts ST WTR Pollutn A Rev Ref (Bond)	B	Interest	K	T					
145. - Aramark Com	A	Dividend			Sold	02/07/19	J		
146. - Cogent Communications	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
147. - Constellation Software Inc Com	A	Dividend	J	T	Buy (add'l)	01/08/19	J		
148.					Sold (part)	09/09/19	J	A	
149. - Equinix Inc	A	Dividend	J	T					
150. - Heico Corp New CL A	A	Dividend	J	T					
151. - Scotts Miracle Gro Class A	A	Dividend	J	T					
152. - Sherwin Williams Co	A	Dividend	K	T	Sold (part)	06/03/19	J	A	
153. - Verisign Inc.		Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -A.O. Smith Corp	A	Dividend	J	T	Buy	01/16/19	J		
155.					Sold (part)	05/29/19	J		
156. - Steris Plc	A	Dividend	J	T	Buy	02/05/19	J		
157. - The Hershey Co	A	Dividend	J	T	Buy	02/22/19	J		
158.					Sold (part)	10/15/19	J	B	
159. - Live Nation Entertainment		Dividend	J	T	Buy	02/22/19	J		
160. - Expeditors International of Washington Inc.	A	Dividend	J	T	Buy	02/22/19	J		
161.					Sold (part)	09/09/19	J		
162. - Crown Castle International Corp	A	Dividend	J	T	Buy	02/22/19	J		
163. - Visa Inc Class A	A	Dividend	J	T	Buy	02/26/19	J		
164. - Amdocs Ltd	A	Dividend	J	T	Buy	03/13/19	J		
165. - WR Berkley Corp	A	Dividend	J	T	Buy	04/04/19	J		
166. - Broadridge Financial Solutions Inc.	A	Dividend	J	T	Buy	04/03/19	J		
167. - Lockheed Martin Corp	A	Dividend	J	T	Buy	05/01/19	J		
168. - Cantel Medical Corp	A	Dividend	J	T	Buy	10/16/19	J		
169. Boston Financial Management Inc Revocable Trust									See Section VIII
170. - Johnson & Johnson	A	Dividend			Sold	01/18/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Costco Wholesale Corp	A	Dividend	J	T					
172. - Amazon.com Inc.		Dividend	J	T					
173. - Automatic Data Processing Inc	A	Dividend			Sold	07/24/19	J	C	
174. - Automatic Data Processing Inc. (Bond)	A	Interest	K	T					
175. - Apple Inc	A	Dividend	J	T					
176. - American Tower Corp	A	Dividend	K	T					
177. - Diageo PLC ADR	A	Dividend	K	T					
178. - Nike Inc - B	A	Dividend			Sold	03/13/19	J	A	
179. - United Health Group Inc	A	Dividend	J	T	Sold (part)	04/03/19	J	C	
180. - Microsoft Corp	A	Dividend	J	T					
181. - Chevron Corp	A	Dividend			Sold	05/01/19	J	B	
182. - JP Morgan Chase & Co	A	Dividend	J	T					
183. - Novo-Nordisk AS ADR-B	A	Dividend			Sold	06/24/19	J	B	
184. - Air Prods & Chems Inc.	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
185. - IShares TR S&P Midcap 400	A	Dividend	J	T					
186. - IShares TR S&P Small Cap 600	A	Dividend	J	T					
187. - Copart Inc		Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - Jack Henry & Associates Inc	A	Dividend			Sold	10/15/19	J	D	
189. - Apple Inc SR GLBL NT (Bond)	A	Interest			Sold	05/06/19	K		
190. - Amgen, Inc. (Bond)	A	Interest	K	T					
191. - Moodys Corp	A	Dividend	J	T					
192. - Watsco Inc	A	Dividend	J	T					
193. - Qualcomm Inc	A	Dividend			Sold	01/18/19	J		
194. - CME Group Inc	A	Dividend	J	T					
195. - Illinois Tool Works Inc. (Bond)	A	Interest	K	T					
196. - Mastercard Inc. (Bond)	A	Interest	K	T					
197. - First Republic Bank/San Fran	A	Dividend	J	T					
198. - Gilead Sciences	A	Dividend	J	T					
199. - KAR Auction Svcs Inc Com	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
200.					Buy (add'l)	07/11/19	J		
201. (IAA Inc. spinoff of KAR Auction Svcs Inc)		Dividend	J	T					
202. - Aramark Com	A	Dividend			Sold	02/07/19	J		
203. - Constellation Software Inc Com	A	Dividend	J	T					
204. - Danone Sponsored ADR	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - Equinix Inc	A	Dividend	J	T					
206. - GTT Communications Inc.		Dividend			Sold	08/12/19	J		
207. - Heico Corp New CL A	A	Dividend	J	T					
208. - Lennox Intl Inc Com	A	Dividend	J	T					
209. - Mastercard Inc - Class A	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
210. - Novozymes A/S ADR	A	Dividend			Sold	12/17/19	J		
211. - Scotts Miracle Gro Class A	A	Dividend	J	T					
212. - Sherwin Williams Co	A	Dividend	J	T					
213. - Verisign Inc		Dividend	K	T					
214. - A.O. Smith Corp.	A	Dividend	J	T	Buy	01/16/19	J		
215. - Fastenal Co.	A	Dividend	J	T	Buy	01/18/19	J		
216. - Steris Plc	A	Dividend	J	T	Buy	02/05/19	J		
217. - Visa Inc Class A	A	Dividend	J	T	Buy	02/26/19	J		
218. - WR Berkley Corp	A	Dividend	J	T	Buy	04/04/19	J		
219. - Broadridge Financial Solutions Inc	A	Dividend	J	T	Buy	04/03/19	J		
220. - Lockheed Martin Corp	A	Dividend	J	T	Buy	05/01/19	J		
221. - Cantel Medical Corp	A	Dividend	J	T	Buy	10/16/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - Bank of America	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting	Date of Report
Gorton, Nathaniel M.	05/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Lines 2 - 4 NOTE: None of the beneficiaries of the trusts and accounts listed in Part I of which the reporting party is a trustee is a dependent of the reporting party and neither the reporting party nor his spouse has any beneficial interest in or control over the disposition of assets of such trusts and accounts.

Part VII, Line 1 - Income of the reporting party reported in Section VII is attributable to his pro rata share of the income of Slade Gorton Seafood Holdings, Inc. which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders whether or not such income is, in fact, distributed. The value of the stock holdings is appraised from time to time by a certified financial analyst, last done in 2019.

Part VII, Line 3 - In 1997 the reporting party and spouse funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Part VII, Lines 3, 39, 110 and 169 - The assets listed on the dashed ("-") lines that follow these lines are managed by Boston Financial Management, Inc., Boston MA and consist of stocks and bonds in publicly held companies and equity holdings based on certain indices.

Part VII, Line 39 - The reporting party is now required to withdraw a certain minimum amount from his IRA account each year.

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Name of Person Reporting

Gorton, Nathaniel M.

Date of Report

05/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nathaniel M. Gorton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544