

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Brian S.	2. Court or Organization United States District Court, Eastern District of Arkansas	3. Date of Report 05/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Jacob Trieber Federal Building 617 Walnut Street, Suite 315 Helena, Arkansas 72342		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Helena Health Foundation
2. Director	Freeman Playground Foundation
3. Director	Centennial Church Foundation
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Miller, Brian S.

Date of Report

05/13/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Salary for serving as guidance counselor for Barton-Lexa School District
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Miller, Brian S.	05/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Southern Bancorp	Loan for Rental Real Estate	K
2.	Southern Bancorp	Loan for Rental Real Estate	K
3.	Southern Bancorp	Loan for Rental Real Estate	L
4.	Southern Bancorp	Loan for Rental Real Estate	K
5.	Southern Bancorp	Loan for Rental Real Estate	K

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Amazon		None	M	T					
2.		None	M	T	Buy (add'l)	01/07/19	J		
3.		None	M	T	Buy (add'l)	02/07/19	J		
4.		None	M	T	Buy (add'l)	05/09/19	J		
5.		None	M	T	Buy (add'l)	05/31/19	J		
6.		None	M	T	Buy (add'l)	06/04/19	J		
7.		None	M	T	Sold (part)	06/20/19	K		
8.		None	M	T	Buy (add'l)	10/24/19	K		
9.		None	M	T	Buy (add'l)	10/28/19	K		
10. Beckton Dickenson		None	K	T	Buy (add'l)	01/02/19	J		
11.	A	Dividend	K	T	Buy (add'l)	04/02/19	J		
12.	A	Dividend	K	T	Buy (add'l)	07/01/19	J		
13.	A	Dividend	K	T	Buy (add'l)	10/01/19	J		
14.	A	Dividend	K	T					
15. Berkshire Hathaway		None	K	T	Sold (part)	10/25/19	K		
16.		None	K	T	Buy (add'l)	10/28/19	J		
17.		None	K	T	Buy (add'l)	11/07/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting	Date of Report
Miller, Brian S.	05/13/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Boeing	A	Dividend	J	T	Buy (add'l)	03/04/19	J		
19.	A	Dividend	J	T	Buy (add'l)	06/07/19	J		
20.	A	Dividend	J	T	Buy (add'l)	09/09/19	J		
21.	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
22. Alphabet (Google) Class A		None	K	T					
23. Alphabet (Google) Classs C		None	K	T					
24. Fedex	A	Dividend			Buy (add'l)	01/03/19	J		
25.	A	Dividend			Buy (add'l)	04/02/19	J		
26.					Sold	05/30/19	J	A	
27. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	L	Q					
28. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	L	Q					
29. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	K	Q					
30. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	K	Q					
31. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	K	R					
32. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	K	Q					
33. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	K	Q					
34. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas		None	K	R					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Rental Real Estate: Miller Rentals, II, Phillips County, Arkansas	D	Rent	K	Q	Buy	01/07/19	K		Curtis Powers

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Miller, Brian S.

Date of Report

05/13/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Real Property listed on lines 27-35 were appraised as follows: 27) July 5, 2018; 28) June 26, 2018; 29) January 18, 2018; 30) February 6, 2018; 31) Purchsed on April 13, 2018, for \$300; 32) September 26, 2019; 33) June 25, 2018; 34) Purchased on September 5, 2018, for \$15,000; 35) January 14, 2019.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Miller, Brian S.

Date of Report

05/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian S. Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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