

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Phyllis M.	2. Court or Organization US Bankruptcy Court, Eastern & Western Districts Arkansas	3. Date of Report 4/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 West Second Street Little Rock Arkansas 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	FECC - salary
2. 2019	Self-employed - professional surveyor
3. 2019	NRECA - retirement
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	NCBJ	10/29/2019-11/01/2019	Washington, D.C.	NCBJ Conference	Hotel
2.	Mississippi Bankruptcy Conference	11/13/2019-11/15/2019	Oxford, Mississippi	Mississippi Bankruptcy Conference (Speaker)	Hotel, meals
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Great Lakes	Student Loan	L
2.	Hilton Grand Vacation	Time Share #1 Clark County, NV	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank (Accounts)(IRA)	A	Int./Div.	K	T					
2. NRECA 401(k) Pension: (H)									
3. -- Money Market Fund	A	Dividend	L	T					
4. -- S&P 500 Stock Index Fund	C	Int./Div.	K	T					
5. -- Diversified Growth Stock	C	Int./Div.	K	T					
6. -- Retirement Target Date Portfolio (Name Change)	B	Int./Div.	K	T					
7. NRECA Retirement & Security Defined Benefit Plan		None	O	T					
8. Time Share #1 Clark County, NV (\$31,780 - 07/16/15)		None	K	R					
9. New York Life - Whole Life	A	Dividend	J	T					
10. Shelter Insurance - Universal Life (No Fund Selected)	A	Dividend	J	T					
11. ArvestWealthManagement Account #1 (IRA) (H)									
12. -- JP Morgan n/k/a Federated Securities Money Market Account	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
13.					Buy (add'l)	01/04/19	J		
14.					Buy (add'l)	01/07/19	J		
15.					Distributed (part)	01/08/19	J		
16.					Buy (add'l)	02/19/19	J		
17.					Buy (add'l)	03/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Distributed (part)	03/18/19	J		
19.					Buy (add'l)	03/18/19	J		
20.					Buy (add'l)	03/21/19	J		
21.					Buy (add'l)	04/01/19	J		
22.					Buy (add'l)	04/03/19	J		
23.					Buy (add'l)	04/05/19	J		
24.					Buy (add'l)	04/08/19	J		
25.					Distributed (part)	04/25/19	J		
26.					Buy (add'l)	04/25/19	J		
27.					Buy (add'l)	05/07/19	J		
28.					Distributed (part)	06/04/19	J		
29.					Buy (add'l)	08/20/19	J		
30.					Distributed (part)	08/20/19	J		
31.					Buy (add'l)	09/10/19	J		
32.					Buy (add'l)	09/30/19	J		
33.					Buy (add'l)	11/08/19	J		
34.					Buy (add'l)	11/14/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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35.					Buy (add'l)	11/27/19	J		
36.					Distributed (part)	11/27/19	J		
37.					Buy (add'l)	12/09/19	J		
38.					Buy (add'l)	12/30/19	J		
39. -- Goldman Sachs ETF TR ET Activebeta US Large Cap Equity ETF GSLC	A	Dividend	K	T	Sold (part)	08/20/19	J	A	
40. -- Invesco ET S&P 500 Equal Weighted Index (Guggenheim)	A	Dividend	K	T					
41. -- IShares TR Agency BD ETF (Name Change)	A	Dividend	J	T	Sold (part)	08/20/19	J	A	
42. -- IShares ET 10-20 Year Treasury Bond TLH	A	Dividend	J	T	Buy (add'l)	08/20/19	J		
43. -- IShares ETF CMBS	A	Dividend	J	T	Buy (add'l)	08/20/19	J		
44. -- IShares ET 7-10 Year Treasury Bond	A	Dividend	J	T					
45. -- IShares ET 1-3 Year Treasury Bond	A	Dividend	J	T					
46. -- IShares MBS MBB	A	Dividend	K	T	Buy (add'l)	08/20/19	J		
47. -- IShares TIP Bond ET TIP	A	Dividend	J	T					
48. -- SPDR S&P 500 Trust ET SPY	A	Dividend	J	T	Sold (part)	03/18/19	J	A	
49.					Sold (part)	08/20/19	J	A	
50. -- Vanguard FTSE Developed Markets ETF VEA	A	Dividend	K	T					
51. -- Vanguard Intl Equity ET Index FDS FTSE Emerging Mkts ETF VWG	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -- Vanguard Mid-Cap Growth Fund VOT	A	Dividend	J	T					
53. -- Vanguard Mid-Cap Value Index Fund VDE	A	Dividend	J	T					
54. -- Vanguard REIT ET VNQ	A	Dividend	J	T	Sold (part)	11/14/19	J		
55. -- Vanguard Short Term ET Corp BD VCSH	A	Dividend			Sold (part)	04/25/19	J	A	
56.					Sold	08/20/19	J	A	
57. -- Vanguard Small Cap Valu ETF VBR	A	Dividend	J	T					
58. -- Vanguard Small Cap ET Growth VBK	A	Dividend	J	T					
59. -- IShares ETF MSCI India	A	Dividend	J	T					
60. -- First Trust FD IV ETF No American Energy Infrastructure Fund EMLP	A	Dividend	J	T	Sold (part)	11/11/19	J		
61. -- IShares ETF 3-7 Treasury Bond IEI	A	Dividend	J	T					
62. --First TR Europe ETF Alphasdex Fund FEP	A	Dividend	J	T					
63. -- Goldman Sachs ETF TR Access Invt Grade Corp BD EFT Pricing Basket	A	Dividend	K	T	Buy (add'l)	08/20/19	J		
64. -- Invesco Optimum Yld EFT Diversified Commodity Strategy No K-1 PDBC	A	Dividend	J	T					
65. -- Ishares JP Morgan ETF USD Emerging Markets Bond	A	Dividend			Sold (part)	02/19/19	J	A	
66.					Sold	03/07/19	J	A	
67. --SSGA Active TR EFT SPRD Doubleline Emerging Mkts Fixed Income	A	Dividend	J	T	Buy (add'l)	08/20/19	J		
68. Vanguard Total Stock ETF Market ETF VTI	A	Dividend			Buy	01/08/19	J		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold	03/18/19	J	A	
70. -- Goldman Sachs ETF TR Activebeta Emerging Mkts Equity ETF	A	Dividend	J	T	Buy	07/08/19	J		
71. -- Goldman Sachs ETF TR Access Treasury 0-1 YR ETC Pricing	A	Dividend	J	T	Buy	06/04/19	J		
72. -- Ishares TR Core S&P 500 ETF	A	Dividend	J	T	Buy	08/20/19	J		
73. -- IShares TR Barclays 20+ Treas BD EFT	A	Dividend	J	T	Buy	03/18/19	J		
74.					Buy (add'l)	08/20/19	J		
75. -- Managed Portfolio Ser Tortoise No Amern Pipeline Fd	A	Dividend	J	T	Buy	11/14/19	J		
76. -- SSGA Active ETF TR Blackstone / GSO SR LN ETF	A	Dividend	J	T	Buy	11/27/19	J		
77. -- Invesco EFT Tr II Fundamental HIgh Yield Corporate Bd ETF	A	Dividend			Buy	06/04/19	J		
78.					Buy (add'l)	08/20/19	J		
79.					Sold	11/27/19	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Phyllis M. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544