

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LARIMER, DAVID G.	2. Court or Organization WESTERN DISTRICT OF NEW YORK	3. Date of Report 06/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 100 State Street, Room 2500 Rochester, New York 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

LARIMER, DAVID G.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
LARIMER, DAVID G.	06/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mineral Rights in Illinois - (Jefferson County)	A	Royalty	J	W					
2. Mineral Rights in Illinois (Marion County)	A	Royalty	J	W					
3. M&T Bank	A	Interest	K	T					
4. TIAA-CREF: 403(b) Annuity TIAA Trad	A	Interest			Sold	08/06/19	K	D	
5. TIAA - CREF Stock Account	A	Dividend			Sold	08/06/19	M	E	
6. JP Morgan 529A College Sav. Accts.									
7. - Large Cap. Growth.	A	Dividend	K	T	Buy	12/31/19	J		
8. - Equity Income	A	Dividend	K	T	Buy	12/31/19	J		
9. -International Equity	A	Dividend	K	T	Buy	12/31/19	J		
10. -Small Cap Portfolio	A	Dividend	K	T	Buy	12/31/19	J		
11. MFS Municipal High Income	A	Dividend	J	T					
12. Blackrock Equity Dividend FD	A	Dividend	J	T					
13. FidelityAdv Strat Divident & Inc.	A	Dividend	J	T					
14. Alger Spectra Fund Class	B	Dividend	J	T					
15. MFS Bond	A	Dividend	J	T					
16. MFS International Diversification	A	Dividend	J	T					
17. Columbia Dividend Opportunity	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity Advisor Strategic Income	A	Dividend	J	T					
19. Fidelity Advisor New Insights	A	Dividend			Sold	10/01/19	J	B	
20. Prime Fund Capital Reserves Class	A	Dividend	J	T					
21. Oppenheimer Int'l Bond Class	A	Dividend	J	T					
22. Oppenheimer Senior Floating Rate	A	Dividend	J	T					
23. Oppenheimer Dev Markets FD	A	Dividend	J	T					
24. Pimco Total Return	A	Dividend	J	T					
25. Templeton Global Bond	A	Dividend	J	T					
26. MONY Whole Life Ins. Policy		None	J	T					
27. MFS Global Total Return	A	Dividend			Sold	10/30/19	J		
28.			K	T	Buy	12/09/19	J		
29. MFS Total Return	A	Dividend			Sold	10/30/19	J		
30.			K	T	Buy	12/09/19	J		
31. T Rowe Price Value	A	Dividend	J	T					
32. Buffalo Discovery	A	Dividend	J	T					
33. MFS Mid Cap Value	A	Dividend	J	T					
34. Fid Adv Biotech	A	Dividend			Sold	10/01/19	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Gabelli Small Cap Growth	A	Dividend	J	T					
36. Goldman Schs Lg Cap Grw Insights	A	Dividend	J	T					
37. American Mutual	A	Dividend	J	T					
38. Lord Abbett Bond Deb	A	Dividend	J	T					
39. Oberweiss Int'l Oppty	A	Dividend			Sold	10/01/19	J	A	
40. Amer. Capital World G&I	A	Dividend	J	T					
41. Columbia Global Technology	A	Dividend	J	T	Buy	10/01/19	J		
42. Fidelity Advisor Grow Opty	A	Dividend	J	T	Buy	10/01/19	J		
43. Fidelity Advisory Health Care	A	Dividend	J	T	Buy	10/01/19	J		
44. Fidelity Int'l Index	A	Dividend	K	T	Buy	08/14/19	K		
45. Fidelity 500 Index	A	Dividend	L	T	Buy	08/14/19	L		
46. Fidelity Sm Cap Index	A	Dividend	J	T	Buy	08/14/19	J		
47. PIMCO Int'l Bond	A	Interest	J	T	Buy	08/14/19	J		
48. PMC Diversified Equity	A	Dividend	L	T	Buy	08/14/19	L		
49. PMC Core Fixed Inc	A	Interest	J	T	Buy	08/14/19	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DAVID G. LARIMER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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