

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Garland, Merrick B.	2. Court or Organization U.S. Court of Appeals for the D.C. Circuit	3. Date of Report 06/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, N.W. Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Garland, Merrick B.

Date of Report

06/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Yale Law School	03/03-03/06/2019	New Haven, CT	Supreme Court Clinic	Transportation, meals, room
2.	Doshisha University	07/06-07/10/2019	Kyoto, JA	U.S. Law Lectures	Train, meals, room
3.	Harvard University	09/19-09/22/2019	Cambridge, MA	Undergrad & Reunion Talks	Transportation, meals, room
4.	Harvard Law School Antitrust Association	10/11-10/13/2019	Cambridge, MA	Antitrust Careers Talk	Transportation, meals, room
5.	Harvard Law School	11/09-11/13/2019	Cambridge, MA	Moot Court	Transportation, meals, room

Name of Person Reporting	Date of Report
Garland, Merrick B.	06/12/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Garland, Merrick B.

Date of Report

06/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Truist (formerly Suntrust) Bank Accounts	A	Interest	M	T					
2. Justice Federal Credit Union Accounts	B	Interest	N	T					
3. Citibank Bank Accounts	A	Interest	M	T					
4. U.S. Savings Bonds		None	K	T					
5. IRA #1 (H)									
6. -Edward Jones Co. cash balance	A	Interest	J	T					
7. -Ally Bank CD	B	Interest	L	T					
8. Brokerage Account #1 (H)									
9. -General Mills Inc. Common	C	Dividend	M	T					
10. -Pfizer Common	A	Dividend	K	T					
11. -Bristol-Myers Squibb Co. Common	B	Dividend	L	T					
12. -General Electric Co. Common	A	Dividend	J	T					
13. -Wabtec Corp. Common (Spinoff of General Electric)	A	Dividend	J	T	Spinoff (from line 12)	02/28/19	J		
14. -Procter & Gamble Co. Common	D	Dividend	M	T					
15. -J.M. Smucker Co. Common	A	Dividend	J	T					
16. -Aberdeen U.S. Multi Cap Fund	D	Dividend	M	T					
17. -Nuveen Maryland Quality Municipal Inc. Fund	D	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Garland, Merrick B.

Date of Report

06/12/2020

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18. -Vanguard S&P 500 ETF Fund	E	Dividend	O	T	Buy	09/03/19	K		
19. -Vanguard Extended Mkt. ETF	B	Dividend	M	T	Buy	09/03/19	L		
20. -Vanguard Small-Cap ETF	B	Dividend	M	T	Buy	09/03/19	K		
21. -Vanguard FTSE Emerg. Mkt. ETF	A	Dividend			Buy	09/03/19	K		
22.					Sold	12/12/19	K	A	
23. -Ishares MSCI EAFE ETF	A	Dividend	K	T					
24. -First Trust Managed Muni ETF	A	Dividend	L	T	Buy	09/05/19	M		
25. -Invesco Xch Traded Fd II Muni Bd ETF	A	Dividend	L	T	Buy	09/05/19	M		
26. -Montgomery County Md Hsg Bonds (combined listing)	A	Interest	K	T	Sold (part)	07/01/19	K		
27. -Md State Cmnty Dev. Admin.Bonds (combined listing)	B	Interest	L	T					
28. -Montgomery County Md Cons. Public Impt Bds	D	Interest	L	T	Redeemed (part)	07/01/19	L		
29. -Md State Dept Transn Cnty Rev Bonds (combined listing)	D	Interest	L	T	Redeemed (part)	04/01/19	K		
30. -Univ Md Sys Aux Rev Bond	C	Interest	L	T					
31. -Baltimore Cty Md Bond	C	Interest	L	T					
32. -PG County Cons Imp Bds	A	Interest	L	T	Buy	09/09/19	L		
33. -Maine St. Hsg Auth Bd	B	Interest	L	T	Buy	09/05/19	L		
34. -Univ Mich Rev Bd	A	Interest	K	T	Buy	12/19/19	K		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Date of Report

06/12/2020

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35. -U.S. Treasury Notes/Bills (combined listing)	D	Interest			Redeemed (part)	01/31/19	M		
36.					Redeemed	08/15/19	N		
37. -Ally Bank CD	C	Interest	M	T					
38. -Discover Bank CD	C	Interest	M	T					
39. -JP Morgan Bank Accounts	A	Interest	K	T					
40. -JP Morgan Prime Money Mkt Fund	D	Interest	N	T					
41. Brokerage Account #2 (H)									
42. -Fidelity Gov't Money Market Fund	B	Interest	M	T					
43. -Fidelity Municipal Money Market Fund	A	Interest	K	T					
44. -Fidelity Equity Dividend Income Fund	E	Dividend	N	T					
45. -Fidelity 500 Index Fund	E	Dividend	P1	T					
46. Fidelity Contrafund	G	Dividend	P1	T					
47. IRA #2 (H)									
48. -Edward Jones Co. cash balance	A	Interest	J	T					
49. -Ally Bank CD	A	Interest	J	T					
50. Retirement Account IRA (H)									
51. -Fidelity Magellan Fund	F	Dividend	O	T					

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52. Rollover IRA (H)									
53. -Fidelity 500 Index Fund	E	Dividend	P1	T					
54. Trust 1 (H)									
55. -Property, NY, NY	E	Rent	P1	W					
56. -JP Morgan Bank Account	A	Interest	J	T					
57. Trust 2 (H)									
58. -Property, Redding, CT	B	Rent	O	W					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting Garland, Merrick B.	Date of Report 06/12/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Garland, Merrick B.

Date of Report

06/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Merrick B. Garland**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544