

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wanslee, Madeleine C.	2. Court or Organization U.S. Bankruptcy Court, Arizona	3. Date of Report 09/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2014 to 12/31/2014
5b. ☒ Amended Report		
7. Chambers or Office Address U.S. Bankruptcy Court, Arizona 230 North First Avenue, Suite 101 Phoenix, AZ 85003-1727		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Attorney	Gust Rosenfeld, PLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/01/2014	Gust Rosenfeld, PLC - agreement to assist in wind-down of practice
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Gust Rosenfeld, PLC - Capital Account and Salary	\$124,550.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	self-employed bankruptcy trustee
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Gust Rosenfeld, PLC	Investiture	\$13,812.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market	A	Interest	K	T	Buy (add'l)	01/10/14	J		
2. Vanguard Morgan Growth fund	E	Dividend	N	T	Buy (add'l)	01/10/14	J		
3. Fidelity Dividend Growth	E	Dividend	M	T	Buy (add'l)	01/10/14	J		
4. Fidelity Cap Appreciation	E	Dividend	N	T	Buy (add'l)	01/10/14	J		
5. Templeton Foreign Fund	E	Dividend	M	T	Buy (add'l)	01/10/14	J		
6. 3 D System	A	Dividend	J	T	Sold	02/20/14	J	B	
7. Achillion Pharma	A	Dividend	J	T	Sold	08/26/14	J	C	
8. Artesian Res Corp	A	Dividend	J	T	Sold	11/04/14	J	A	
9. Chelsea Therapeutics	A	Dividend	J	T	Sold	01/15/14	J	D	
10. Cisco Systems	A	Dividend	J	T	Buy	10/27/14	J		
11. Cisco Systems	A	Dividend	J	T	Sold	10/27/14	J	A	
12. Chochlear	A	Dividend	K	T	Sold	10/27/14	J	A	
13. Gamestop	A	Dividend	J	T	Sold	11/05/14	J	C	
14. Health Care REIT	A	Dividend	J	T	Buy	05/02/14	J		
15. Health Care REIT	A	Dividend	J	T	Sold	11/05/14	J	A	
16. Inuit	A	Dividend	J	T	Sold	11/05/14	J	D	
17. Joy Global	A	Dividend	J	T	Sold	04/24/14	J	B	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$5,000,000 S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Newfield	A	Dividend	J	T	Sold	04/07/14	J	A	
19. Nokia	A	Dividend	J	T	Sold	09/19/14	J	C	
20. Owens & Minor	A	Dividend	J	T	Buy	03/20/14	J		
21. Owens & Minor	A	Dividend	J	T	Sold	11/05/14	J	A	
22. Peabody corp	A	Dividend	J	T	Sold	07/22/14	J	A	
23. Whole Foods	A	Dividend	J	T	Buy	03/25/14	J		
24. Whole Foods	A	Dividend	J	T	Sold	11/05/14	J	A	
25. Winnold	A	Dividend	J	T	Sold	11/05/14	J	A	
26. Franklin Mutual Global	A	Dividend	K	T	Sold	09/19/14	J	B	
27. Invesco Global Health care	A	Dividend	J	T	Sold	11/05/14	J	D	
28. Seadrill partners	A	Royalty	J	T	Sold	01/07/14	J	A	
29. Fidelity New Insights	D	Dividend	M	T	Buy (add'l)	10/17/14	K		
30. Invesco VK E&I	C	Dividend	M	T	Buy (add'l)	10/17/14	K		
31. Fidelity Lev Co	C	Dividend	M	T	Buy (add'l)	10/17/14	K		
32. Davis NY Venture	B	Dividend	L	T					
33. Eagle Mid Cap	A	Dividend	L	T					
34. AF EuroPac	C	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. AF Growth fund of AM	D	Dividend	L	T					
36. Fidelity Small Cap	B	Dividend	K	T					
37. Eaton Vance Div Builder	B	Dividend	K	T					
38. Oppenheimer Dev Markets	B	Dividend	K	T					
39. Eaton Vance Atlantic Cap	B	Dividend	K	T					
40. Columbia Marisco	C	Dividend	K	T	Sold (part)	10/23/14	J	B	
41. AF Cap. World	A	Dividend	J	T					
42. Eagle Small Cap	A	Dividend	K	T					
43. Fidelity Mid Capp	A	Dividend	J	T					
44. Oppenheimer Int Bond	A	Dividend	J	T					
45. AF Balanced fund	C	Dividend	J	T					
46. AT&T	A	Dividend	J	T					
47. Oppenheimer Sm Mid	A	Dividend	J	T					
48. Abbott Labs	A	Dividend	J	T					
49. Cantel Medical X	A	Dividend	J	T	Buy	10/15/14	J		
50. Glaxosmith X	A	Dividend	J	T	Buy	10/15/14	J		
51. Leapfrog enterprise	A	Dividend	J	T					

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52. Sina Corp X	A	Dividend	J	T	Buy	09/16/14	J		
53. Invesco Balanced	A	Dividend	J	T					
54. Mult-strategy G&I	A	Dividend	J	T					
55. Southwest Gas	B	Dividend	M	T	Buy (add'l)	01/29/14	J		
56. Rental Property Phoenix (X)		None	O	W					
57. Oppenheimer Global opps X	A	Dividend	K	T					
58. Harris Bank account	A	Interest	L	T					
59. First Credit Union	A	Interest	J	T					
60. Promissory Note PC & MA Wanslee	A	None	L	T					
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

additional information requested June 26, 2015

A B C D

1 2 1 2 Type Date Value code Gain code

Fidelity Value fund D div M T SOLD 1/15/2013 M E

cash dreyfus A INT K T SOLD 9/12/2013 J

Eaton vance large cap A DIV K T SOLD 1/29/2013 M E

CHELE A DIV K T SOLD 4/14/2013 J

CHL A DIV J T SOLD 7/25/2013 J

CNX A DIV J T SOLD 4/14/2013 J

GRMN A DIV J T SOLD 9/12/2013 J

GRPN A DIV J T SOLD 3/7/2013 J

OUTR A DIV J T SOLD 6/27/2013 J

SSLT A DIV J T SOLD 9/11/2013 J

ZNGA A DIV J T SOLD 4/23/2013 J

WCN A DIV J T SOLD 3/4/2013 J

DIEBOLD A DIV J T SOLD 10/18/2013 J

Fidelity adv. Div int. A DIV K T SOLD 10/18/2013 J

FTREQ A DIV J T SOLD 1/29/2013 J

SOLUQ A DIV J T SOLD 4/23/2013 J

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Madeleine C. Wanslee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544