

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 04/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Corporator		Hartford Hospital
2. Corporator		Institute of Living
3. Elector		Hartford Atheneum
4. Life Regent		University of Hartford
5. Trustee		No. 1 Family Trust (assets listed in Part VII, lines 175-236)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 09/24/19	Duke University teaching at law school jurist-in-residence program	\$7,500.00
2. 12/01/19	University of North Carolina Press, book royalty	\$45.24
3. 12/01/19	William S. Hein & Co., book royalty	\$53.01
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Dec. 1	She Writes Press, book royalty
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke Law School	May 21-24, 2019	Durham, NC	Jurist in Residence program	round trip airfare, NYC to Durham, NC, and car rental
2. Yale University	Sept. 11-14, 2019	New Haven, CT	attend Global Constitutional Seminar	breakfasts and parking three days
3. University of Southern California	Feb, 4-8, 2019	Los Angeles, CA	Jurist in Residence Program	round trip air fare Sarasota, FL, to Los Angeles, CA
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Yale University	three nights lodging, lunches, and dinners at Global Constitutional seminar	\$900.00
2. University of Southern California	four nights lodging, parking, lunches, and 1 dinner at Jurist in Residence program	\$1,481.49
3. Duke University Law School	three nights lodging, lunches and dinners at Jurist in Residence program	\$900.00
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America Sarasota FL	A	Interest	M	T					
3. Wells Fargo expanded bank deposit (see note Part VIII)	A	Interest	M	T					
4. Brokerage Account No. 1 (see note Part VIII) (H)									
5. Morgan Stanley Active Assets Tax Free Trust (see note Part VIII)	A	Interest			Closed	04/12/19	P1		
6. CDs (H):									
7. Bank of the West, San Francisco CAS 2.35 11/1/19	B	Interest			Buy	04/25/19	K		
8.					Matured	11/01/19	K		
9. Medallion Bank, Salt Lake City, UT 2.4 5/6/20		None	L	T	Buy	04/25/19	K		
10. Seacoast Nat'l Bank, Stuart, FL 2.3 7/29/19	A	Interest			Buy	04/25/19	K		
11.					Redeemed	07/29/19	K		
12. Citizens Bank Providence, RI 2.0 2/7/20		None	L	T	Buy	07/31/19	K		
13. Stocks (H):									
14. Adient		None			Sold	04/26/19	K		
15. Air Products	C	Dividend	M	T					
16. Cigna Corp. New (see note Part VIII)		None	L	T	Buy	04/26/19	K		
17. Cisco	B	Dividend	L	T	Buy (add'l)	09/19/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Cummins	D	Dividend	M	T					
19. Devon Energy	B	Dividend			Sold	04/26/19	K		
20. Johnson and Johnson	C	Dividend	M	T					
21. Johnson Controls Intl	C	Dividend			Sold	04/26/19	K		
22. Merck	C	Dividend	M	T					
23. Microsoft	C	Dividend	L	T					
24. Minnesota Miniing and Manufacturing	C	Dividend	M	T					
25. Royal Dutch Shell	D	Dividend			Sold	12/05/19	M		
26. Total Fina	D	Dividend	M	T					
27. Versum	A	Dividend			Sold	10/07/19	K		
28. Mutual funds and exchange-traded funds (H):									
29. BNY Mellon Technology Growth Fund (see note Part VIII)		None	L	T	Open	05/24/19	L		
30. Calamos Growth & Income C Fund	B	Dividend	M	T					
31. Dreyfus Premier Technology Fund (see note Part VIII)	A	Distribution			Closed	05/24/19	L		
32. Fed Max Cap Fund	B	Distribution			Sold	04/26/19	L		
33. Invesco Balanced Risk Alloc. C Fund	B	Distribution			Sold	04/26/19	M		
34. Janus Henderson Intl Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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35. Mainstay Large Cap Fund	B	Dividend			Sold	04/26/19	L		
36. Invesco Tr II S&P Mid Cap Revenue Fund (see note Part VIII)	A	Dividend	L	T					
37. Invesco Oppenheimer Roch AMT Free Mu C Fund (see note Part VIII)	A	Dividend	L	T					
38. Invesco TR II S&P Small Cap Revenue Fund (see note Part VIII)	A	Dividend	L	T					
39. Prudential Jennison Small Cap Cl C Fund	C	Distribution			Sold	04/26/19	L		
40. Schwab 1000 Fund	B	Distribution	L	T					
41. SPDR Barcap Short Term Hi Yield Fund	B	Dividend			Sold	04/26/19	K		
42. Bonds (H):									
43. Bound Brook NJ '21 4.0	B	Interest	L	T					
44. Danbury CT. '19 2.5	A	Interest			Matured	07/15/19	K		
45. Davenport Iowa '21 2.0	B	Interest	L	T					
46. Duncanville TX '23 5.0		None	L	T	Buy	02/14/19	L		
47. Elkhorn Minn '23 2.0	A	Interest	L	T					
48. Harris Cnty TX '22 30	A	Interest	L	T					
49. Hartford CT	B	Interest	L	T	Buy	02/14/19	L		
50. Holdingford Minn School '23 5.0	B	Interest	L	T					
51. Kenton Cnty KY '20 2.0	A	Interest	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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52. LaPorte Ind	A	Interest	L	T					
53. Maryland '23 5.0	B	Interest	L	T	Buy	01/15/19	L		
54. Maryland '26 5.0	A	Interest	K	T	Buy	07/08/19	K		
55. Maryland RFDG '22 5.0	A	Interest	K	T	Buy	07/17/19	K		
56. Mich St Strat. '20 5.0	C	Interest	M	T					
57. Ohio St RFDG High Ed '22 5.0	A	Interest	L	T	Buy	07/08/19	K		
58. Oregon St Housing 2.65 '24	A	Interest	L	T	Buy	01/04/19	L		
59. Oxford Mass G.O. '19 2.0	A	Interest			Matured	07/01/19	L		
60. Phoenix Ariz '19 3.0	A	Interest			Matured	07/01/19	K		
61. Peoria IL Pub. Bldg. '21 0.0		None			Redeemed	07/01/19	K		
62. Rhode Island Comm Corp '22 5.0	B	Interest	L	T					
63. South Amboy NJ '22 4.0	B	Interest	L	T					
64. Univ. CT '22 5.0	B	Interest	L	T					
65. Upper Trinity Wtr TX '22 5.0	A	Interest	K	T	Buy	07/17/19	K		
66. West Wildwood, NJ -21 3.0	B	Interest	L	T					
67. Brokerage Account No 2 (see note Part VIII).(H):									
68. Wells Fargo expanded bank deposit (see note Part VIII)	A	Interest	M	T					

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69. Stocks (H):									
70. Abbvie Inc.	A	Dividend	K	T	Buy	11/25/19	K		
71.					Buy (add'l)	12/05/19	J		
72. Aflac	A	Dividend	J	T	Sold (part)	03/11/19	J	A	
73. American Electric Power	A	Dividend	K	T	Buy	05/08/19	J		
74. AT&T	A	Dividend	L	T	Buy (add'l)	02/27/19	J		
75.					Buy (add'l)	04/29/19	K		
76.					Buy (add'l)	04/30/19	J		
77.					Buy (add'l)	05/02/19	J		
78.					Buy (add'l)	05/07/19	J		
79.					Buy (add'l)	05/13/19	J		
80. Amgen	A	Dividend	K	T	Buy (add'l)	04/29/19	K		
81. Apple	A	Dividend	K	T	Buy (add'l)	04/29/19	K		
82.					Buy (add'l)	05/03/19	J		
83.					Buy (add'l)	05/08/19	J		
84. Berkshire Hathaway		None	K	T	Buy (add'l)	04/29/19	K		
85. Boeing	A	Dividend			Buy	02/27/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	03/11/19	J		
87.					Buy (add'l)	04/29/19	K		
88.					Buy (add'l)	04/30/19	J		
89.					Buy (add'l)	05/02/19	J		
90.					Buy (add'l)	05/03/19	J		
91.					Buy (add'l)	05/06/19	J		
92.					Buy (add'l)	05/07/19	J		
93.					Buy (add'l)	05/13/19	J		
94.					Sold	11/19/19	K		
95. Broadcom	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
96. Cisco	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
97. Constellation Brands	A	Dividend			Buy	01/19/19	J		
98.					Sold	11/19/19	K		
99. Digital Realty Trust	A	Dividend	K	T	Buy	05/03/19	K		
100. Dow Inc.	B	Dividend	K	T	Spinoff (from line 102)	06/01/19	K		
101. Dow Chemical	A	Dividend			Merged (with line 102)	04/29/19	K		
102. DowDupont (see note Part VIII)	A	Dividend			Closed	06/01/19	J		

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103. ExxonMobil	A	Dividend			Sold	11/19/19	J		
104. Home Depot	A	Dividend	K	T	Buy (add'l)	04/29/19	K		
105. IBM	B	Dividend			Buy	05/01/19	K		
106.					Buy (add'l)	05/02/19	J		
107.					Buy (add'l)	05/03/19	J		
108.					Buy (add'l)	05/13/19	J		
109.					Sold	11/19/19	K		
110. Intel	A	Dividend	K	T	Buy (add'l)	04/29/19	K		
111.					Buy (add'l)	05/03/19	J		
112.					Buy (add'l)	05/09/19	J		
113. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
114. JP Morgan Chase	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
115.					Buy (add'l)	05/16/19	K		
116. Kimberly-Clark	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
117. Merck	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
118.					Buy (add'l)	05/03/19	J		
119. Minnesota Mining & Manufacturing	A	Dividend			Sold	01/19/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Newman, Jon O.

Date of Report

04/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Newell Brands		None			Sold	02/27/19	J		
121. Nextera Energy	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
122. Pfizer	A	Dividend			Buy (add'l)	04/29/19	K		
123.					Buy (add'l)	04/30/19	J		
124.					Sold	11/19/19	K		
125. Phillips 66	B	Dividend	L	T	Buy	05/13/19	J		
126.					Buy (add'l)	05/16/19	K		
127. Procter & Gamble	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
128.					Buy (add'l)	05/03/19	J		
129. Realty Income Corp..	A	Dividend	K	T	Buy	05/03/19	K		
130.					Buy (add'l)	05/07/19	J		
131. Southern Company	A	Dividend	K	T	Buy	05/06/19	J		
132.					Buy (add'l)	05/08/19	J		
133. US Bancorp	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
134.					Buy (add'l)	05/16/19	K		
135. Verizon	A	Dividend	J	T	Buy (add'l)	04/29/19	K		
136.					Buy (add'l)	05/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Newman, Jon O.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/16/19	K		
138. Waste Mgmt	A	Dividend	K	T	Buy	04/29/19	K		
139.					Buy (add'l)	05/02/19	J		
140.					Buy (add'l)	05/03/19	J		
141. Mutual funds (H)									
142. American Tax-Exempt Bond Fund	C	Dividend	M	T	Buy	05/02/19	L		
143.					Buy (add'l)	05/07/19	K		
144.					Buy (add'l)	06/18/19	K		
145. Goldman Sachs Dynamic Mun. Inc. Fund	C	Dividend	M	T	Buy	05/02/19	L		
146.					Buy (add'l)	05/07/19	K		
147.					Buy (add'l)	06/18/19	K		
148. Exchange traded funds (H)									
149. SPDR S&P dividend ETF Fund	B	Dividend	L	T	Buy	05/01/19	L		
150. Vanguard Short Term ETF bond Fund	B	Dividend	L	T	Buy	04/30/19	K		
151. Merrill Edge Account (H)									
152. Merrill Lynch direct deposit program		None	K	T					
153. ETF and Mutual Funds (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. AB Large Capital Growth Fund		None	K	T					
155. Ishares core S&P small cap etf fund	A	Dividend	K	T					
156. Ishares S&P small cap 600 growth fund	A	Dividend	K	T					
157. Janus Henderson Enterprise Fund	A	Dividend	K	T					
158. Invesco QQQ Trust Units Ser. 1 Fund (see note Part VIII)	A	Dividend	K	T					
159.					Buy (add'l)	11/25/19	K		
160.					Buy (add'l)	05/02/19	J		
161.					Buy (add'l)	05/07/19	J		
162. Vanguard Mid-Cap Value Index Fund	A	Dividend	K	T					
163.					Buy (add'l)	05/02/19	J		
164.					Buy (add'l)	05/07/19	J		
165. Bonds (H)									
166. Mass. G.O. 5.5 '19	B	Interest			Matured	07/01/19	K		
167. Wisc. Environmental 5.0 '20	B	Interest	K	T					
168. Miami-Dade Cty. 5.0 '20	B	Interest	K	T					
169. N.Y.St. Dorm. '21 5.0	B	Interest	K	T					
170. Stocks (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Apple Inc.	A	Dividend	K	T					
172. Other (H):									
173. Thames & Hudson Royalty Contract	A	Royalty	J	W					
174. AIG fixed income Annuity (IRA)	B	Interest	K	T					
175. AIG fixed income Annuity (IRA)	A	Interest	J	T					
176. Trust No. 1: (H)									
177. - Morgan Stanley Active Assets Tax Free Trust	A	Interest			Closed	04/12/19	N		
178. - Brokerage Account no. 1 (see note Part VIII) (H)									
179. Wells Fargo expanded bank deposit account	A	Interest	J	T	Open	04/12/19	J		
180. - Stocks: (H)									
181. - Corning	B	Dividend	L	T					
182. - Exxon Mobil	D	Dividend	N	T					
183. - Honeywell	B	Dividend	L	T					
184. - Insteel	A	Dividend	L	T					
185. - Johnson Controls Intl		None			Sold	04/26/19	J	B	
186. - Koninklijke Phillips	A	Dividend	K	T					
187. - M & T Bank	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - Medtronic		None			Sold	04/26/19	K	B	
189. - Norfolk Southern	B	Dividend	M	T					
190. - Pfizer	B	Dividend	K	T					
191. - mutual funds (H):									
192. - Federated Municipal High Yield Advantage A Fund		None			Sold	04/25/19	N	F	
193. - Bonds (H):									
194. - Bridgeport, CT '19 5.5	B	Interest			Redeemed	08/15/19	L		
195. - CT St Spl Tax '20 3.9	A	Interest	L	T					
196. - Cullman AL Util Elec 5.0 '23	B	Interest	L	T	Buy	05/07/19	L		
197. - Everett PA Sch Dist 5.0 '23	A	Interest	K	T	Buy	05/07/19	K		
198. - Florida Keys Aqueduct 5.0 '23	A	Interest	K	T	Buy	05/07/19	K		
199. - Hillsborough FL 5.0 '23	B	Interest	L	T	Buy	05/07/19	L		
200. - JEA Florida Wtr & Swr 5.0 '22	A	Interest	K	T	Buy	05/07/19	K		
201. - Martin Cnty Florida 5.0 '20	A	Interest	K	T	Buy	05/07/19	K		
202. - Miami Dade Cnty 5.0 '22	B	Interest	L	T	Buy	08/16/19	L		
203. - Municipal Elec auth GA 5.0 '20	A	Interest	K	T	Buy	05/07/19	K		
204. - New Britain CT 5.0 '23	A	Interest	K	T	Buy	05/17/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



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205. - Northern Palm Sch Cnty FL 5.0 '23	B	Interest	K	T	Buy	05/07/19	L		
206. - Northern TX Mun. Wrt. 5.0 '22	A	Interest	K	T	Buy	08/16/19	K		
207. - Philadelphia PA Gas wks 5.0 '23	B	Interest	L	T	Buy	05/07/19	L		
208. - Philadelphia PA Ser B GO 5.0 '23	B	Interest	L	T	Buy	05/07/19	L		
209. - Port St. Lucie FL Util. 5.25 '23	A	Interest	K	T	Buy	05/07/19	K		
210. - Snohomish Cnty WA Pub. Util. 5.0 '22	B	Interest	K	T	Buy	05/07/19	K		
211. - Brokerage Account no. 2 (see note Part VIII) (H)									
212. Wells Fargo expanded bank deposit	A	Interest	J	T	Open	04/12/19	J		
213. - Stocks: (H)									
214. - AT&T	A	Dividend	J	T	Buy	04/29/19	J		
215. - Broadcom	A	Dividend	J	T	Buy	04/29/19	J		
216.					Buy (add'l)	05/13/19	J		
217. - Chevron	A	Dividend	J	T	Buy	04/29/19	J		
218.					Buy (add'l)	05/02/19	J		
219. - Coca-cola	A	Dividend	J	T	Buy	04/29/19	J		
220. - Digital Realty Trust, Inc.	A	Dividend	J	T	Buy	04/29/19	J		
221. - Exxon Mobil	A	Dividend	J	T	Buy	04/29/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting

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222. - General Mills	A	Dividend	J	T	Buy	04/29/19	J		
223. - General Motors	A	Dividend	J	T	Buy	04/29/19	J		
224.					Buy (add'l)	05/02/19	J		
225.					Buy (add'l)	05/13/19	J		
226. - IBM	A	Dividend	J	T	Buy	05/01/19	J		
227.					Buy (add'l)	05/13/19	J		
228. - Johnson & Johnson	A	Dividend	J	T	Buy	04/29/19	J		
229. - JP Morgan Chase	A	Dividend	J	T	Buy	04/29/19	J		
230.					Buy (add'l)	05/13/19	J		
231. - LTC Properties Inc.	A	Dividend	J	T	Buy	04/29/19	J		
232. - Lockheed Martin	A	Dividend	J	T	Buy	04/29/19	J		
233. - Pfizer	A	Dividend	J	T	Buy	04/29/19	J		
234. - Procter & Gamble	A	Dividend	J	T	Buy	04/29/19	J		
235. - Realty Income Corp.	A	Dividend	J	T	Buy	04/29/19	J		
236. - Southern Company	A	Dividend	J	T	Buy	04/29/19	J		
237. - Verizon	A	Dividend	J	T	Buy	04/29/19	J		
238. - Trust No. 2: (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Brokerage Account No. 1 (see note Part VIII) (H):									
240. - Morgan Stanley Active Assets Tax Free Trust					Closed	04/12/19	O		
241. - Well Fargo expanded bank deposit account	A	Interest	L	T	Open	04/12/19	M		
242. CDs (H)									
243. - Bank of the West, San - Francisco, CA 2.35 11/1/19	B	Interest			Buy	04/25/19	L		
244.					Matured	08/02/19	L		
245. - Chemical Bank, Detroit, MI 2.3 8/2/19	A	Interest			Buy	04/25/19	L		
246.					Matured	08/02/19	L		
247. - Medallion Bank, Salt Lake City, UT 2.4 5/6/20	B	Interest	M	T	Buy	04/25/19	L		
248. - Suntrust Bank Atlanata GA 1.9 11/14/19	A	Interest			Buy	08/06/19	L		
249.					Matured	11/14/19	L		
250. - JP Morgan Chase Bank 1.65 11/15/20		None	K	T	Buy	11/05/19	K		
251. - State Bank of India 1.7 11/17/20		None	L	T	Buy	11/05/19	K		
252. - JP Morgan Chase Bank 1.7 11/29/20		None	M	T	Buy	11/15/19	L		
253. Stocks (H):									
254. - 3M	C	Dividend	M	T					
255. - Adobe Systems		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. - Agilent	A	Dividend	K	T					
257. - American Express	B	Dividend	M	T					
258. - Automatic Data Processing	C	Dividend	M	T					
259. - Baxter Int'l	B	Dividend	N	T					
260. - Becton Dickinson	C	Dividend	N	T					
261. - CDK Global	A	Dividend	K	T					
262. - Cigna		None	N	T					
263. - Dominion Resources	D	Dividend	M	T					
264. - Edwards Life Resources		None	N	T					
265. - Emerson Electric	B	Dividend	L	T					
266. - General Mills	C	Dividend	M	T					
267. - Home Depot	D	Dividend	O	T					
268. - Intel	C	Dividend	M	T					
269. - IBM	A	Dividend	K	T					
270. - Keysight Technologies		None	K	T					
271. - McDonalds	C	Dividend	M	T					
272. - Microsoft	C	Dividend	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. - Procter & Gamble	B	Dividend	L	T					
274. - RPM Int'l	D	Dividend	O	T					
275. - Shire		None			Redeemed	01/22/19	L		
276. - Travelers	B	Dividend	L	T					
277. - T Rowe Price	B	Dividend	L	T					
278. - Weyerhaeuser	B	Distribution	K	T					
279. - Bonds (H):									
280. - Baltimore MD '22 5.0	B	Interest	L	T					
281. - Brainard Minn '21 1.75	A	Interest	K	T					
282. - Burlington VT '22 5.0	A	Interest	K	T	Buy	07/15/19	K		
283. - Cape Coral FL '40 6.0	C	Interest	M	T					
284. - Carbon Cnty PA '20 5.0	B	Interest	L	T					
285. - Cromwell CT '20 4.0	B	Interest	L	T					
286. - Delton Kellogg MY Sch '22 4.0	B	Interest	L	T					
287. - Denton TX '22 5.0		None	J	T	Buy	07/15/19	J		
288. - Harrison NJ '20 3.0	A	Interest	L	T					
289. - Indiana St Fin '19 5.0		None			Matured	02/01/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. - Morgan Stanley Active AssetsTax Free Trust		None			Closed	04/12/19	M		
291. - New York City Trust for Cultural Reserve '21 5.0	A	Interest	K	T					
292. - New York ST Urban Dev '19 5.50		None			Matured	03/15/19	K		
293. - Oklahoma '19 5.0	B	Interest			Redeemed	07/01/19	K		
294. - Orange Cnty FL '24 5.0	B	Interest	L	T	Buy	01/19/19	L		
295. - Oyster Bay NY '23 3.0	A	Interest	L	T	Buy	01/19/19	L		
296. - Sauk Centre Minn Sch '24 3.0	A	Interest	K	T	Buy	01/22/19	K		
297. - Vancouver Wash. '22 5.0	B	Interest	L	T					
298. - West Orange NY '22 2.0	A	Interest	L	T					
299. - Williamson Cnty TX '22 4.5	A	Interest	K	T	Buy	07/15/19	K		
300. Brokerage Account No. 2 (see note Part VIII) (H):									
301. - Mongan Stanley, New Haven, CT Money Market Acct.		None			Closed	04/12/19	O		
302. - Wells Fargo expanded bank deposit	A	Interest	M	T	Open	04/12/19	M		
303. Stocks (H):									
304. - Abbott Labs	A	Dividend	J	T					
305. - Abbvie	A	Dividend	K	T	Buy (add'l)	05/01/19	J		
306. - Air Products	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. - Alphabet Inc.		None	J	T					
308. - Amazon		None			Buy (add'l)	05/01/19	J		
309.					Buy (add'l)	05/03/19	J		
310.					Buy (add'l)	05/13/19	J		
311.					Buy (add'l)	09/19/19	J		
312.					Sold	11/19/19	J		
313. - American Electric Power	A	Dividend	J	T	Buy	05/06/19	J		
314. - American Express	A	Dividend	J	T	Sold (part)	02/01/19	J	A	
315.					Buy (add'l)	05/01/19	J		
316. - Anheuser Busch		None			Sold	04/26/19	J		
317. - Apple	A	Dividend	K	T	Buy (add'l)	05/01/19	J		
318.					Buy (add'l)	05/03/19	J		
319. - ASML Holding	A	Dividend	J	T					
320. - AT&T	A	Dividend	K	T	Buy	09/19/19	K		
321. - Automatic Data Processing		Dividend	J	T					
322. - Blackrock	A	Dividend	J	T					
323. - CDN Pacific RY		None			Sold	04/26/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. - Chevron	A	Dividend	J	T	Buy (add'l)	05/01/19	J		
325. - Chubb	A	Dividend	J	T					
326. - Coca-cola	A	Dividend	K	T	Buy (add'l)	05/01/19	J		
327.					Buy (add'l)	05/03/19	J		
328. - Comcast	A	Dividend	J	T					
329. - Conoco	A	Dividend			Buy (add'l)	05/01/19	J		
330.					Sold	11/19/19	J		
331. - Constellation Brands	A	Dividend			Buy (add'l)	05/01/19	J		
332.					Buy (add'l)	05/03/19	J		
333.					Sold	11/19/19	J		
334. - Estee Lauder	A	Dividend	J	T					
335. - Exxon Mobil	A	Dividend			Buy (add'l)	05/01/19	J		
336.					Buy (add'l)	05/03/19	J		
337.					Buy (add'l)	09/19/19	J		
338.					Sold	11/19/19	J		
339. - Facebook		None	J	T	Buy (add'l)	05/01/19	J		
340. - Infineon		None			Sold	04/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Intercontinental Exchange Group	A	Dividend	J	T					
342. - Intuitive Surgical		None	J	T					
343. - Iron Mountain		None	K	T	Buy	11/25/19	K		
344. - JP Morgan Chase	A	Dividend	J	T	Buy (add'l)	05/01/19	J		
345.					Buy (add'l)	05/06/19	J		
346. - Linde PLC		None			Sold	05/01/19	J	A	
347. - Mastercard	A	Dividend	J	T	Buy (add'l)	05/01/19	J		
348. - McDonalds	A	Dividend	J	T	Buy (add'l)	05/01/19	J		
349. - Microsoft	A	Dividend	K	T	Buy (add'l)	05/01/19	J		
350. - Nestle		Dividend	J	T					
351. - Nike	A	Dividend	J	T					
352. - Novo-Nordisk	A	Dividend	J	T					
353. - Pepsico	A	Dividend	J	T	Buy (add'l)	05/01/19	J		
354. - Phillip Morris	A	Dividend	J	T					
355. - Roche Holding		None	J	T					
356. - S&P Global		None			Buy	02/05/19	J		
357.					Sold	05/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. - Sherwin Williams		None			Sold	05/02/19	J		
359. - State St. Corp.		None			Sold (part)	02/05/19	J	A	
360.					Sold	04/26/19	J		
361. -Takeda		None			Buy	01/09/19	L		
362.					Sold	05/02/19	L		
363. - Texas Instruments	A	Dividend	J	T					
364. - Total		None			Sold	04/26/19	J		
365. - Twenty-first Century Fox		None			Sold	03/19/19	J	A	
366. - Union Pacific	A	Dividend	J	T					
367. - United Health	A	Dividend	J	T					
368. - United Technologies	A	Dividend	J	T					
369. - Verisk Analytics	A	Dividend	J	T					
370. - Verizon	A	Dividend	K	T	Buy	05/06/19	J		
371.					Buy (add'l)	05/13/19	J		
372.					Buy (add'l)	09/19/19	J		
373. - Visa	A	Dividend	J	T	Buy (add'l)	05/03/19	J		
374.					Buy (add'l)	05/01/19	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Walgreen		None			Sold	01/10/19	J	A	
376. - Walt Disney		None			Sold (part)	03/21/19	J	A	
377.					Sold	05/07/19	J	A	
378. - Waste Management	A	Dividend	K	T	Buy	05/01/19	J		
379.					Buy (add'l)	05/02/19	J		
380.					Buy (add'l)	05/03/19	J		
381. - Wells Fargo		None			Sold	02/05/19	J	A	
382. Exchange traded and closed end funds (H):									
383. - Alerian MLP Exchange Traded Fund					Sold	04/26/19	K		
384. - Invesco S&P Midcap Value with Momentum Fund (see note Part VIII)	A	Dividend	K	T					
385. - Invesco S&P Midcap Momentum Fund (see note Part VIII)	A	Dividend	K	T					
386. - SPDR Dow Jones Wilshire Int'l Real Est. Fund	B	Dividend	K	T					
387. - SPDR Nuveen Barclays Mun. Fund	A	Dividend	K	T					
388. - SPDR S&P Div ETF Fund	A	Dividend	K	T	Buy	05/01/19	K		
389. - Vanguard Intermediate ETF Term Bd Fund	B	Dividend	K	T	Buy	05/01/19	K		
390. - Vanguard short term ETF Bd Fund	A	Dividend	K	T	Buy	05/01/19	K		
391. -Vanguard TTL World Stk Index Fund	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

392. Mutual Funds (H):									
393. - American Funds Tax-Exempt Bond Fund		None	L	T	Buy	05/02/19	L		
394. - Brandes Emerging Markets I Fund		None			Sold (part)	02/05/19	J	B	
395.					Sold	04/26/19	K		
396. - Cohen & Steers Realty Fund	B	Dividend	K	T					
397. - Davis NY Venture Fund		None			Sold	04/26/19	K	B	
398. - Invesco Russell Midcap Fund		None			Sold	04/26/19	K		
399. - Franklin Conv. Sec. Adv. Fund	B	Dividend	K	T					
400. - Goldman Sach Strategic Mun. Inc. Fund	A	Dividend	L	T	Buy	05/06/19	L		
401. - Invesco Premier Tx Exempt Inst. Fund		None			Sold	04/17/19	K		
402. - Invesco Small Cap Value Fund	A	Dividend			Sold	04/17/19	K		
403. - JHF Seaport Long/Short Fund		None			Sold	05/01/19	K		
404. - JP Morgan Dynamic Sm Cp Gr Sel Fund	A	Dividend	K	T					
405. - Legg Mason WA Emerg. Mkt. Debt I Fund		None			Sold	04/26/19	K	A	
406. - Mainstay Large Cap Grw I Fund	A	Dividend	K	T					
407. - Nuveen limited term muni bond I Fund	A	Dividend	L	T					
408. - Oppenheimer Int'l Bond Y Fund		None			Sold	04/26/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Prudential Jennison Mid Cap GW Z Fund		None			Sold	05/01/19	K		
410. - Trust No. 3: (H)									
411. Brokerage Account No. 1 (see note Part VIII) (H):									
412. - Morgan Stanley Active Assets Tax Free Trust		None			Closed	04/12/19	O		
413. - Wells Fargo Bank expanded bank deposit	A	Interest	L	T	Open	04/12/19	M		
414. CDs (H)									
415. - Bank of the West, San Francisco CA 2.35 11/1/19	B	Interest			Buy	04/25/19	M		
416.					Redeemed	11/01/19	M		
417. - Chemical Bank, Detroit MI 2.3 8/2/19	A	Interest			Buy	04/25/19	L		
418.					Redeemed	08/02/19	L		
419. - Medallion Bank, Salt Lake City UT 2.4 5/6/20	C	Interest	M	T	Buy	04/25/19	M		
420. - Goldman Sachs Bank 1.9 2/14/20		None	M	T	Buy	08/06/19	L		
421. - State Bank of India 11/17/20 1.7		None	M	T	Buy	11/05/19	M		
422. Stocks (H):									
423. - 3M Co.	D	Dividend	M	T					
424. - Air Products	B	Dividend	M	T					
425. - Apple	B	Dividend	M	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. - Danaher	A	Dividend	L	T					
427. - Fortive	A	Dividend	K	T					
428. - Johnson & Johnson	B	Dividend	L	T					
429. - Versum	A	Dividend			Sold	10/07/19	K		
430. - Wells Fargo (see note Part VIII)	A	Dividend	J	T	Open	04/17/19	J		
431. Bonds (H):									
432. - Janesville Wis. Sch. '19 4.125		None			Matured	03/01/19	K		
433. - Okla. Water Resources '19 5.0		None			Matured	03/01/19	K		
434. - Brokerage Account No. 2 (H):									
435. - Morgan Stanley Active Assets Tax Free Trust		None			Closed	04/12/19	P1		
436. - Wells Fargo expanded bank deposit	A	Interest	L	T	Open	04/12/19	M		
437. Stocks (H):									
438. - Alliance Data Systems		None			Buy	02/11/19	J		
439.					Sold	04/25/19	J	C	
440. - American Electric Power	A	Dividend	K	T	Buy	04/29/19	K		
441.					Buy (add'l)	05/02/19	J		
442. - American Express	A	Dividend	K	T	Sold (part)	02/01/19	J	A	

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2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Sold (part)	03/25/19	J	A	
444.					Buy	05/13/19	J		
445. - Amerisourcebergen		None			Sold	04/25/19	J	B	
446. - Apple	A	Dividend	L	T	Buy	04/29/19	J		
447.					Buy (add'l)	04/30/19	J		
448.					Buy (add'l)	05/06/19	J		
449.					Buy (add'l)	05/07/19	J		
450.					Buy (add'l)	05/13/19	J		
451.					Buy (add'l)	05/16/19	K		
452. - AT&T	A	Dividend	L	T	Buy	04/29/19	K		
453.					Buy (add'l)	04/30/19	J		
454. - Boeing	A	Dividend			Buy	04/29/19	K		
455.					Buy (add'l)	04/30/19	J		
456.					Buy (add'l)	05/03/19	J		
457.					Buy (add'l)	05/06/19	J		
458.					Buy (add'l)	05/07/19	J		
459.					Buy (add'l)	05/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold	11/19/19	J		
461. - Chevron	A	Dividend	K	T	Buy	04/29/19	K		
462.					Buy (add'l)	04/30/19	K		
463.					Buy (add'l)	05/03/19	J		
464. - Cisco	A	Dividend			Sold	03/19/19	J	C	
465.					Buy	04/29/19	J		
466.					Buy (add'l)	04/30/19	J		
467.					Buy (add'l)	05/03/19	J		
468.					Buy (add'l)	05/06/19	J		
469.					Buy (add'l)	05/07/19	J		
470.					Buy (add'l)	05/09/19	J		
471.					Buy (add'l)	05/13/19	J		
472.					Buy (add'l)	09/19/19	J		
473.					Sold	11/19/19	J		
474. - Conoco Phillips	A	Dividend	J	T	Buy (add'l)	04/29/19	J		
475.					Buy (add'l)	11/25/19	J		
476. - Diamond Offshore Drilling		None			Sold	04/26/19	J		

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477. - Digital Realty Trust Inc	A	Dividend	K	T	Buy	04/29/19	J		
478.					Buy (add'l)	04/30/19	J		
479.					Buy (add'l)	05/03/19	J		
480. - Ebay	A	Dividend	J	T					
481. - Exelon	A	Dividend	J	T	Sold (part)	03/19/19	J	A	
482. - Exxon Mobil	B	Dividend			Buy (add'l)	04/29/19	J		
483.					Buy (add'l)	04/30/19	J		
484.					Buy (add'l)	05/03/19	J		
485.					Buy (add'l)	05/06/19	J		
486.					Buy (add'l)	05/07/19	J		
487.					Buy (add'l)	09/19/19	J		
488.					Sold	11/19/19	K		
489. - Facebook		None	J	T					
490.					Buy (add'l)	04/30/19	J		
491. - Franklin Resources		None			Sold	04/25/19	K	D	
492. - General Mills		None			Buy	04/29/19	K		
493.					Buy (add'l)	04/30/19	J		

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494.					Sold	06/26/19	K	A	
495. - Glaxo Smith Kline		None			Sold	05/13/19	K		
496. - Globe Life (see note Part VIII)	A	Dividend	J	T	Open	08/09/19	J		
497. - Hartford Financial Services	A	Dividend							
498. - Honda		None			Sold	04/25/19	J	B	
499. - IBM	B	Dividend			Buy	05/01/19	K		
500.					Buy (add'l)	05/03/19	J		
501.					Buy (add'l)	05/06/19	J		
502.					Buy (add'l)	05/07/19	J		
503.					Buy (add'l)	05/13/19	J		
504.					Sold	11/19/19	J		
505. - Intel					Buy	11/25/19	K		
506. - Iron Mountain					Buy	11/25/19	K		
507. - Johnson & Johnson	A	Dividend	K	T	Buy (add'l)	04/29/19	K		
508.					Buy (add'l)	05/16/19	K		
509. - JP Morgan Chase	A	Dividend	L	T	Buy	04/29/19	K		
510.					Buy (add'l)	04/30/19	J		

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	05/03/19	J		
512.					Buy (add'l)	05/13/19	J		
513.					Buy (add'l)	05/16/19	K		
514. - Kroger	A	Dividend			Buy (add'l)	03/19/19	J		
515.					Sold	06/26/19	J		
516. - Lowes	A	Dividend	J	T					
517.					Buy (add'l)	04/30/19	J		
518. - LTC Properties Inc	B	Dividend	K	T	Buy	04/29/19	K		
519.					Buy (add'l)	05/03/19	J		
520. - Mack Cali Realty		None			Sold	03/11/19	J	A	
521. - Marathon Petroleum	A	Dividend	K	T	Buy	06/26/19	K		
522.					Buy (add'l)	09/19/19	J		
523. - McKesson		None			Sold	04/25/19	J		
524. - Medtronic	A	Dividend	J	T					
525. - Microsoft	A	Dividend	K	T	Buy	11/25/19	K		
526. - Mohawk		None			Sold	04/25/19	J	A	
527. - Molson		None			Sold	05/02/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. - National Grid	A	Dividend	J	T					
529. - National Retail Properties	A	Dividend	K	T	Buy	04/29/19	K		
530.					Buy (add'l)	04/30/19	J		
531.					Buy (add'l)	05/03/19	J		
532. - Nextera Energy	B	Dividend	M	T	Buy	04/29/19	K		
533.					Buy (add'l)	04/30/19	J		
534.					Buy (add'l)	05/03/19	J		
535. - Pepsico	A	Dividend	J	T	Buy (add'l)	04/29/19	J		
536.					Buy (add'l)	04/30/19	J		
537.					Buy (add'l)	05/03/19	J		
538. - Pfizer	B	Dividend			Buy	04/29/19	K		
539.					Buy (add'l)	04/30/19	J		
540.					Buy (add'l)	05/03/19	J		
541.					Buy (add'l)	05/16/19	K		
542.					Buy (add'l)	09/19/19	J		
543.					Sold	11/19/19	K		
544. - Phillips 66	A	Dividend	K	T	Buy	05/09/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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545.					Buy (add'l)	05/13/19	J		
546.					Buy (add'l)	05/16/19	K		
547. - PNC Financial	A	Dividend	J	T	Sold (part)	03/19/19	J	A	
548. - PPG	A	Dividend	J	T					
549. - Procter & Gamble	A	Dividend			Sold	02/17/19	J	A	
550.			K	T	Buy	04/29/19	K		
551.					Buy (add'l)	04/30/19	J		
552.					Buy (add'l)	05/03/19	J		
553.					Buy (add'l)	05/16/19	J		
554. - Qualcomm	A	Dividend	J	T					
555. - Realty Income Corp	B	Dividend	L	T	Buy	04/29/19	K		
556.					Buy (add'l)	04/30/19	J		
557.					Buy (add'l)	05/07/19	J		
558. - Schlumberger		None			Sold	04/25/19	J	B	
559. - Southern Company	B	Dividend	K	T	Buy	04/29/19	J		
560.					Buy (add'l)	04/30/19	J		
561.					Buy (add'l)	05/02/19	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562.					Buy (add'l)	05/03/19	J		
563. - Suntrust (see note Part VIII)	A	Dividend			Merged (with line 567)	12/09/19	K		
564. - Target	A	Dividend	J	T					
565. - Torchmark (see note Part VIII)	A	Dividend			Closed	08/01/19	J		
566. - Travelers	A	Dividend	J	T					
567. - Truist (see note Part VIII)	A	Dividend	K	T	Open	12/09/19	J		
568. - US Bancorp	A	Dividend	J	T					
569.					Buy (add'l)	04/30/19	J		
570.					Buy (add'l)	05/16/19	K		
571. - United Parcel		None			Sold	04/25/19	J	C	
572. - Verizon	B	Dividend	J	T					
573.					Buy (add'l)	04/30/19	J		
574.					Buy (add'l)	05/06/19	J		
575.					Buy (add'l)	05/09/19	J		
576.					Buy (add'l)	05/16/19	K		
577. - Waste Management	A	Dividend	K	T	Buy	04/29/19	K		
578.					Buy (add'l)	04/30/19	J		

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579.					Buy (add'l)	05/03/19	J		
580. - Wells Fargo (see note Part VIII)		None			Closed	04/17/19	J		
581. Exchange traded & closed end funds:(H)									
582. - Deutsche X-Trackers MSCI Fund (see note Part VIII)	B	Dividend			Closed	04/12/19	M		
583. - IShares MSCI Small Cap Fund	B	Dividend	L	T					
584. - IShares JP Morgan EM bond Fund		None			Sold	04/25/19	L	B	
585. - IShares Short Treasury bond fund	A	Dividend	K	T					
586. - SPDR S&P div ETF Fund	B	Dividend	L	T	Buy	05/02/19	K		
587.					Buy (add'l)	05/03/19	J		
588.					Buy (add'l)	05/07/19	J		
589.					Buy (add'l)	05/13/19	J		
590. - Vanguard intermediate ETF bond fund	B	Dividend	K	T					
591. - Vanguard short term ETF Bd Fund	B	Dividend	K	T	Buy	04/30/19	K		
592. - Xtrackers MSCI Fund (see note Part VIII)					Open	04/12/19	M		
593. Mutual Funds (H):									
594. - Amerixcan Infl Lnkd Fund		None			Sold	05/02/19	J	A	
595. - American tax-exempt bond Fund		None	L	T	Buy	05/02/19	L		

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596. - Brandes Int'l small cap equity\ I Fund		None			Sold	04/25/19	K	E	
597. - Franklin Fed. Intm. Trm. Tx. Fr. Adv. Fund		None	M	T					
598. - Gabelli Equity Fund		None			Sold	04/25/19	M	E	
599. - Goldman Sachs Strategic Mun. Inc. Fund	A	Dividend	L	T	Buy	05/07/19	K		
600. - Invesco Premier Fund	A	Dividend			Sold	04/17/19	K		
601. - Lord Abbett Conv. Fund		None			Sold	04/17/19	K	E	
602. - Nuance Mid Cap Value Fund		None			Sold	04/17/19	M	C	
603. - Nuveen Ltd. Term Mun. Bond Fund	A	Dividend	L	T					
604. - Oppenheimer Int'l Bond Fund		None			Sold	04/25/19	L	F	
605. -Tweedy Browne Fund	B	Dividend	L	T					
606. Trust No. 4: (H)									
607. Brokerage Account no. 1 (H):									
608. - Morgan Stanley Active Assets Tax Free Trust		None			Closed	04/12/19	M		
609. - Wells Fargo expanded bank deposit	A	Interest	K	T	Open	04/12/19	M		
610. CDs (H):									
611. - Bank of India NY 2.3 10/30/19	A	Interest			Buy	04/25/19	L		
612.					Redeemed	10/30/19	L		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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613. - JP Morgan Chase Bank, Columbus OH 2.45 fc 10/30/19	A	Interest			Buy	04/25/19	L		
614.					Redeemed	10/30/19	L		
615. - Wells Fargo Bank, Sioux Falls SD 2.3 8/5/19	A	Interest			Buy	04/25/19	L		
616.					Redeemed	08/05/19	L		
617. - Suntrust Bank Atlanta GA 1.9 2/14/20		None	M	T	Buy	08/06/19	L		
618. - JP Morgan Chase Bank Columbus OH '20 1.65		None	M	T	Buy	11/05/19	M		
619. Stocks (H):									
620. - Apple	A	Dividend	M	T					
621. - Automatic Data Processing	A	Dividend	L	T					
622. - CDK Global	A	Dividend	J	T					
623. - Danaher	A	Dividend	L	T					
624. - Ecolab	B	Dividend	N	T					
625. - Fortive	A	Dividend	K	T					
626. - Nike Class B	A	Dividend	M	T					
627. - United Tchnologies	B	Dividend	L	T					
628. - bonds (H):									
629. - Kentucky St Prop '19 4.0	A	Interest			Redeemed	08/01/19	K		

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630. - Mass. St. Wtr Res 5.0 '22		None	L	T	Buy	08/01/19	L		
631. - Brokerage Account No. 2 (see noit Part VIII) (H):									
632. - Morgan Stanley Active Assets Tax Free Trust		None			Closed	04/12/19	O		
633. - Wells Fargo Bank expanded ban deposit	A	Int./Div.	M	T	Open	04/12/19	M		
634. Stocks (H):									
635. - Adidas AG		None			Buy	01/29/19	J		
636.					Sold	04/29/19	J		
637. - AIA Group		None			Sold	05/01/19	J	B	
638. - Air Liquide		None			Sold (part)	03/19/19	J	A	
639.					Sold	04/29/19	J		
640. - Alfa Laval	A	Dividend			Sold	04/29/19	J		
641. - Allergan	A	Dividend	J	T					
642. - Allianz	A	Dividend	J	T					
643. - Ambev		None			Buy (add'l)	03/15/19	J		
644.					Sold	04/26/19	J		
645. - AMC		None			Sold	04/29/19	J		
646. - Ameerican Electric Power	A	Dividend	J	T	Buy	04/30/19	J		

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647.					Buy (add'l)	05/16/19	J		
648. - AMN Healthcare		None			Sold	04/26/19	J		
649. - Anadarko Petroleum	A	Dividend			Sold	08/09/19	J	B	
650. - Anasys		None	J	T					
651. - Apple	A	Dividend	K	T	Buy	04/30/19	J		
652.					Buy (add'l)	05/01/19	J		
653.					Buy (add'l)	05/03/19	J		
654.					Buy (add'l)	05/13/19	J		
655. - Aspen Pharmacare		None			Sold	04/26/19	J		
656. - AspenTechnology		None	J	T					
657. - Atlas Copco	A	Dividend			Sold	04/29/19	J		
658. - AT&T			L	T	Buy	04/30/19	K		
659. - Autodesk		None	K	T					
660. - Baidu		None			Sold	04/29/19	K		
661. - Banco Bilbao		None			Sold	04/26/19	J		
662. - Bayer		None			Sold	04/26/19	J		
663. - Bayerische Motoren Werke		None			Sold	04/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. - Berkley W.R.	A	Dividend	J	T					
665. - Biogen		None	J	T					
666. - Boeing	A	Dividend			Buy	04/30/19	K		
667.					Sold	05/13/19	K		
668. - Broadcom	A	Dividend	K	T	Buy	05/03/19	J		
669. - Canadian Nat. Ry.		None			Sold (part)	02/15/19	J	A	
670.					Sold	04/30/19	J		
671. - CDW	A	Dividend	J	T					
672. - Charles River Labs		None			Sold	04/29/19	J		
673. - Check Point			J	T					
674. - Cheese Cake Factory		None			Sold	04/26/19	J		
675. - Chevron	A	Dividend	J	T	Buy	04/30/19	J		
676. - China Mobile		None			Buy	03/18/19	J		
677.					Sold	04/26/19	J		
678. - Chugai Pharmaceutical		None			Buy	02/19/19	J		
679.					Sold	04/26/19	J		
680. - Cisco	A	Dividend			Buy	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681.					Buy (add'l)	05/03/19	J		
682.					Sold	09/19/19	J		
683. - Citrix	A	Dividend	J	T					
684. - Comcast	A	Dividend	J	T					
685. - Cooper Co.	A	Dividend	J	T					
686. - Copart		None	J	T					
687. - Cree		None	J	T					
688. - Dassault	A	Dividend	J	T	Sold (part)	03/19/19	J	A	
689. - DBS	A	Dividend	J	T					
690. - Dentsu Inc.		None			Buy	01/17/19	J		
691.					Sold	04/26/19	J		
692. - Diageo					Buy	02/15/19	J		
693.					Sold	04/29/19	J	A	
694. - Digital Realty Trust	A	Dividend	K	T	Buy	04/30/19	J		
695.					Buy (add'l)	05/03/19	J		
696. - Discovery Communications		None			Sold	04/29/19	J		
697. - Docusign		None	J	T	Buy	01/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

698. - Dolby	A	Dividend	J	T					
699. - Elanco Animal Health		None			Sold	04/26/19	J		
700. - Epiroc Aktiebolag		None			Buy	03/18/19	J		
701.					Buy (add'l)	03/19/19	J		
702.					Sold	04/29/19	J		
703. - Expeditors Int'l	A	Dividend	J	T					
704. - Exxon Mobil	A	Dividend			Buy	04/30/19	J		
705.					Buy (add'l)	05/03/19	J		
706.					Sold	09/19/19	J		
707. - Fanuc		None			Sold (part)	01/15/19	J		
708.					Sold	04/29/19	J		
709. - Flir Systems		None			Sold	04/26/19	J		
710. - Fluor		None			Sold	04/26/19	J		
711. - Fomento Economico		None			Sold	04/29/19	J		
712. - Freeport McMoran	A	Dividend			Sold	04/26/19	J		
713. - Fuchs Petrolub		None			Sold	04/26/19	J		
714. - General Mills	A	Dividend	K	T	Buy	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
715.					Buy (add'l)	05/03/19	J		
716. - GCI Liberty Class A		None			Sold	05/01/19	J	A	
717. - Grifols S.A.		None							
718.		None			Sold	04/29/19	J		
719. - Grupo Financiero		None			Sold	02/20/19	J		
720. - HDFC Bank		None			Sold	05/01/19	J	A	
721. - Home Depot	A	Dividend	K	T	Buy	04/30/19	J		
722.					Buy (add'l)	05/03/19	J		
723.					Buy (add'l)	05/13/19	J		
724. - HSBC Holdings		None			Sold	05/01/19	J	A	
725. - IBM	A	Dividend			Buy	05/01/19	J		
726.					Buy (add'l)	05/03/19	J		
727.					Buy (add'l)	05/09/19	J		
728.					Buy (add'l)	05/13/19	J		
729. - Icici		None			Sold	05/09/19	J	A	
730. - Immunogen		None			Sold	04/26/19	J		
731. - Infineon Technologies		None			Sold	05/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
732. - Interactive Brokers Group		None			Sold	04/30/19	J	A	
733. - Intel	A	Dividend	K	T	Buy	04/30/19	J		
734.					Buy (add'l)	05/03/19	J		
735.					Buy (add'l)	05/09/19	J		
736.					Buy (add'l)	05/13/19	J		
737. - Ionis Pharmaceuticals			J	T					
738. - Itau Unibanco	A	Dividend			Sold (part)	03/15/19	J	A	
739.					Sold	05/09/19	J	A	
740. - Jack Henry	A	Dividend	J	T					
741. - JGC		None			Sold	04/26/19	J		
742. - Johnson & Johnson	A	Dividend			Buy	04/30/19	J		
743.					Buy (add'l)	05/03/19	J		
744. - Johnson Controls Intl	A	Dividend	J	T					
745. - JP Morgan Chase	A	Dividend			Buy	04/30/19	K		
746.					Buy (add'l)	05/03/19	J		
747. - Kubota		None			Buy (add'l)	01/16/19	J		
748.					Sold	04/30/19	J		

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - L'Oreal	A	Dividend	J	T					
750. - L3 Comm.	A	Dividend			Sold	04/30/19	J		
751. - L3 Harris Technologies	A	Dividend	K	T	Buy	05/10/19	J		
752.					Buy (add'l)	11/02/19	J		
753.					Buy (add'l)	11/25/19	J		
754.					Buy (add'l)	11/07/19	J		
755. - Lennox Int'l	A	Dividend	J	T					
756. - Liberty Broadband		None			Sold	05/01/19	J	A	
757. - Liberty Media		None			Sold	04/30/19	J	A	
758. - Linde PLC		None			Sold	05/01/19	J	A	
759. - Lions Gate		None			Sold	04/30/19	J		
760. - Lockheed Martin	A	Dividend	K	T	Buy	04/30/19	J		
761. - Logmein		None			Sold	04/30/19	J		
762. - Lonza Group	A	Dividend			Sold	04/30/19	J		
763. - LVMH Moet		None			Sold	02/19/19	J	A	
764. - Medtronics	A	Dividend	J	T					
765. - Merck	A	Dividend	J	T	Buy	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
766.					Buy (add'l)	05/03/19	J		
767. - Microoft	A	Dividend	J	T	Buy	04/30/19	J		
768.					Buy (add'l)	05/02/19	J		
769.					Buy (add'l)	05/03/19	J		
770. - Monotaro		None			Sold	03/20/19	J	A	
771. - MSCI Inc Com	A	Dividend	J	T					
772. - Naspers Ltd.		None			Sold (part)	01/30/19	J	A	
773.					Sold	05/09/19	J	A	
774. - National Oilwell		None			Sold	04/30/19	J		
775. - Nestle	A	Dividend	J	T					
776. - Nextera Energy	A	Dividend	J	T	Buy	04/30/19	J		
777.					Buy (add'l)	05/09/19	J		
778. - Nordson	A	Dividend	J	T					
779. - Novozymes		None			Sold	04/30/19	J		
780. - NOW Inc.		None			Sold	04/30/19	J		
781. - Nuance Comm.		None			Sold	04/30/19	J		
782. - Nucor	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. - Occidental Pete Corp.	A	Dividend			Buy	08/09/19	J		
784. - Park24		None			Sold	04/30/19	J		
785. - Pentair	A	Dividend			Sold	05/02/19	J	A	
786. - Pepsico	A	Dividend	K	T	Buy	04/30/19	J		
787.					Buy (add'l)	05/03/19	J		
788. - Phillips 66	A	Dividend	K	T	Buy	04/30/19	J		
789.					Buy (add'l)	05/02/19	J		
790.					Buy (add'l)	05/03/19	J		
791.					Buy (add'l)	05/06/19	J		
792.					Buy (add'l)	05/09/19	J		
793.					Buy (add'l)	05/13/19	J		
794. - Ping An Insurance		None			Buy	03/18/19	J		
795.					Buy (add'l)	03/19/19	J		
796.					Buy (add'l)	03/20/19	J		
797.					Sold	04/30/19	J		
798. - PJSC Lukoil		None			Buy	03/18/19	J		
799.					Buy (add'l)	03/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Newman, Jon O.

Date of Report

04/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
800.					Buy (add'l)	03/20/19	J		
801.					Sold	04/30/19	J		
802. - Pool Corp.	A	Dividend	J	T					
803. - Procter & Gamble	A	Dividend	K	T	Buy	04/30/19	K		
804.					Buy (add'l)	05/02/19	J		
805.					Buy (add'l)	05/03/19	J		
806. - Primerica	A	Dividend	J	T					
807. - Quarate Retail Inc.		None			Sold	04/19/19	J		
808. - RBC Bearngs		None	J	T					
809. - Realty Income Corp.	A	Dividend	J	T	Buy	04/30/19	J		
810.					Buy (add'l)	05/02/19	J		
811.					Buy (add'l)	05/03/19	J		
812. - Roche		None			Buy (add'l)	01/29/19	J		
813.					Sold	05/09/19	J	A	
814. - Royal Dutch Shell	A	Dividend	J	T	Sold (part)	03/18/19	J	A	
815. - SAP AG	A	Dividend	J	T					
816. - Sasol		None			Sold	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Newman, Jon O.

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04/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Schlumberger		None			Sold	04/30/19	J		
818. - Scott's Miracle-Glo (see note Part VIII)	A	Dividend	J	T					
819. - Seagate Tech.	A	Dividend	J	T					
820. - SGS Soc. Gen. Surveillance					Buy	04/05/19	J		
821.					Sold	05/01/19	J		
822. - Signature Bank	A	Dividend			Sold	05/01/19	J	A	
823. - Siteone Landscape		None			Sold	05/01/19	J		
824. - Snap-on	A	Dividend	J	T					
825. - Sonova	A	Dividend	J	T					
826. - Southern Company	A	Dividend	J	T	Buy	04/30/19	J		
827.					Buy (add'l)	05/02/19	J		
828.					Buy (add'l)	05/03/19	J		
829. - Symrise	A	Dividend	J	T					
830. - Sysmex	A	Dividend	J	T					
831. - Taiwan Semiconductor	A	Distribution	J	T					
832. - TE Connectivity	A	Dividend	J	T					
833. - Temenos		None			Sold	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
834. - Tenaris		None			Sold	02/15/19	J	A	
835. - Tencent Holdings		None			Buy	01/29/19	J		
836.					Sold	04/30/19	J		
837. - Teradyne	A	Dividend							
838. - The Scotts Miracle-Gro (see note Part VIII)		None			Closed	04/25/19	J		
839. - Thor Industries		None			Sold	04/30/19	J		
840. - Twitter		None	J	T					
841. - Unicharm					Buy	02/19/19	J		
842.					Sold	04/30/19	J	A	
843. - Unilever	A	Dividend	J	T					
844. - United Health	A	Dividend	J	T					
845. - Verizon	A	Dividend	K	T	Buy	04/30/19	J		
846.					Buy (add'l)	05/02/19	J		
847.					Buy (add'l)	05/03/19	J		
848. - Vertex		None	J	T					
849. - Wabco Holdings		None	J	T					
850. - Waste Management	A	Dividend	K	T	Buy	04/30/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
851.					Buy (add'l)	05/03/19	J		
852. - Weatherford Int'l		None			Sold	04/30/19	J		
853. - Weibo		None			Sold	01/14/19	J		
854. - Western Digital Corp.		None			Sold	04/30/19	J		
855. - Yandex		None			Buy	01/10/19	J		
856.					Sold	05/01/19	J	A	
857. Exchange traded closed end funds (H):									
858. - Ishares MSCI EAFE Value Fund		None			Sold	05/13/19	J	A	
859. - Ishares Russell 3000 Fund	A	Dividend	K	T					
860. - SPDR S&P Div ETF Fund	A	Dividend	K	T	Buy	05/01/19	K		
861. - Vanguard Intermediate ETF term bond Fund	B	Dividend	K	T	Buy	04/30/19	K		
862. - Vanguard short term Corp Bond Fund	A	Dividend	K	T	Buy	04/30/19	K		
863. Mutual funds (H):									
864. - Alliance Bernstein Global Bond Advisor Fund	A	Dividend			Sold	04/29/19	K		
865. - American Tax-Exempt Bd Fund			L	T	Buy	05/09/19	K		
866.					Buy (add'l)	05/13/19	K		
867. - Brandes Emerging Markets I Fund		None			Sold	04/26/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Newman, Jon O.

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04/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. - Columbia Acorn Fund		None			Sold	04/26/19	K		
869. - E V Atlanta Cap Sel Eq Fund	A	Dividend	K	T	Buy	01/28/19	K		
870. - Franklin Federated Intermediate-Term Tax Free Fund		None			Sold	05/01/19	M	C	
871. - Goldman Sachs Strategis Mun. Inc. Fund	A	Dividend	M	T	Buy	05/01/19	L		
872. - Invesco Premier Tax Exempt	A	Dividend			Redeemed	04/11/19	J		
873. - Lateef Fund		None			Sold	01/28/19	J		
874. - Lord Abbett Conv. Fund		None			Redeemed	04/11/19	K		
875. - Pimco Real Return Fund	A	Dividend			Redeemed	04/11/19	K		
876. - TCW Emerging Marets Fund		None			Sold	04/30/19	K		
877. - TCW Total Return Bond Fund	A	Dividend			Sold	04/30/19	K		
878. IRA with Stocks, ETFs, and Mutual Funds (see note Part VIII) (H):									
879. Brokerage Account No. 1 (see note Part VIII) (H):									
880. - Citibank Bank Deposit		None			Closed	04/12/19	K		
881. - Wells Fargo Bank expanded bank deposit	A	Interest	J	T	Open	04/12/19	K		
882. Brokerage Account No. 2 (see note Part VIII) (H):									
883. - Morgan Stanley, New Haven, CT liquid asset fund		None			Closed	04/12/19	M		
884. - Wells Fargo Bank standard bank deposit	A	Interest	J	T	Open	04/12/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
885. Stocks (H):									
886. - Allstate		None			Sold	01/17/19	J		
887. - American Electric Power	A	Dividend	K	T	Buy	04/29/19	J		
888. - Amgen	A	Dividend	K	T	Buy (add'l)	04/29/19	J		
889.					Buy (add'l)	05/03/19	J		
890.					Buy (add'l)	05/06/19	J		
891. - Apple	A	Dividend	J	T	Buy	04/29/19	K		
892.					Buy (add'l)	05/01/19	J		
893.					Buy (add'l)	05/03/19	J		
894.					Buy (add'l)	05/13/19	J		
895. - AT&T	A	Dividend	J	T	Buy	04/29/19	K		
896. - Berkshire Hathaway		None	L	T	Buy	04/29/19	L		
897. - Boeing	A	Dividend	K	T	Buy	04/29/19	K		
898.					Buy (add'l)	05/13/19	J		
899. - Chevron	A	Dividend	K	T	Buy	04/29/19	K		
900. - Cisco	A	Dividend	K	T	Buy	04/29/19	J		
901.					Buy (add'l)	05/03/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
902.					Buy (add'l)	05/13/19	J		
903.					Buy (add'l)	09/19/19	J		
904. - Digital Realty Trust	A	Dividend	K	T	Buy	04/29/19	K		
905. - Exxon Mobil	A	Dividend	K	T	Buy	04/29/19	J		
906.					Buy (add'l)	05/06/19	J		
907.					Buy (add'l)	09/19/19	J		
908. - General Mills	A	Dividend	K	T	Buy	04/29/19	K		
909. - Home Depot	A	Dividend	K	T	Buy	04/29/19	K		
910.					Buy (add'l)	05/13/19	J		
911. - IBM	A	Dividend	K	T	Buy	05/13/19	J		
912. - Intel	A	Dividend	K	T	Buy	04/29/19	K		
913.					Buy (add'l)	05/13/19	J		
914. - Johnson & Johnson	A	Dividend	K	T	Buy	04/29/19	K		
915.					Buy	04/29/19	K		
916. - JP Morgan Chase	A	Dividend	K	T	Buy	04/29/19	K		
917.					Buy (add'l)	05/13/19	J		
918. - Lockheed Martin	A	Dividend			Buy	03/29/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. - Merck	A	Dividend	K	T	Buy (add'l)	04/29/19	K		
920. - Microsoft	A	Dividend			Buy	04/29/19	K		
921. - Nextera Energy	A	Dividend	K	T	Buy	04/29/19	K		
922. - Pepsico	A	Dividend			Buy	04/29/19	K		
923. - Pfizer	A	Dividend	J	T	Buy	04/29/19	K		
924.					Buy (add'l)	09/19/19	J		
925. - Phillips 66	A	Dividend	K	T	Buy	04/29/19	K		
926.					Buy (add'l)	05/03/19	J		
927.					Buy (add'l)	05/06/19	J		
928.					Buy (add'l)	05/13/19	J		
929. - Procter & Gamble	A	Dividend	K	T	Buy	04/29/19	K		
930. - Public Service Enterprise	A	Dividend			Sold	01/17/19	J	A	
931. - Realty Income Corp.	A	Dividend	K	T	Buy	04/29/19	K		
932. - Southern Company	A	Dividend	J	T	Buy	04/29/19	J		
933. - U.S. Bancorp	A	Dividend			Sold	01/17/19	J	A	
934.					Buy	04/29/19	J		
935. - Verizon	A	Dividend	K	T	Buy	04/29/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936.					Buy (add'l)	05/13/19	J		
937. - Waste Management	A	Dividend	K	T	Buy	04/29/19	K		
938. Exchange traded and closed end funds (H):									
939. - Deutsche X-Trackers MSCI Fund (see note Part VIII)		None			Closed	4/26/19	L		
940. - Invesco Dynamic Large Cap Fund	B	Dividend	M	T					
941. - Ishares Barclays 7-10 Year Treasury Fund		None			Sold	04/29/19	L		
942. - Ishares MSCI EAFE Index Fund		None			Sold	05/13/19	L	B	
943. - Ishares Russell 1000 Index Fund		None			Sold	04/29/19	L		
944. - Ishares Russell 1000 Value Fund	B	Dividend	L	T					
945. - Ishares Russell 3000 Fund		None			Sold	04/29/19	L		
946. - Ishares Russell mid-cap Fund		None			Sold	04/29/19	L		
947. - Ishares TIPS Bond Fund		None			Sold	04/26/19	L		
948. - SPDR DJ Wilshire Int'l Real Estate		None			Sold	04/26/19	L		
949. - Vanguard Intermediate ETF Term Bd Fund	C	Dividend	M	T	Buy	04/29/19	L		
950.					Buy (add'l)	05/13/19	K		
951. - Vanguard short term ETF Fund	A	Dividend	K	T	Buy	05/13/19	K		
952. - Vanguard Utilities Fund	C	Dividend	M	T	Buy (add'l)	04/29/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
953. - Xtrackers MSCI Fund (see note Part VIII)					Open	04/26/19	L		
954.					Sold	04/26/19	L	C	
955. Mutual Funds (H):									
956. - Cohen & Steers Pref. Sec. & Inc. I	C	Dividend	L	T	Buy (add'l)	04/01/19	J		
957. - Guggenheim Total Return BD 1 Fund		None			Sold	04/26/19	L		
958. - Invesco AIM ATST Premier Fund		None			Sold	04/17/19	K		
959. - JHF Seaport Long/Short Fund		None			Sold	04/26/19	L		
960. - Legg Mason Western Asset Emerging Markets Fund		None			Sold	04/26/19	L		
961. - Oppenheimer Int'l Fund		None			Sold	04/26/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

Line 3: This account is more accurately labeled as "Wells Fargo expanded bank deposit."

Line 4: This account was formerly at Morgan Stanley and was transferred to Wells Fargo and is now labeled "Brokerage Account No. 1."

Line 5: This cash account was transferred From Morgan Stanley to Wells Fargo.

Line 16: Cigna shares were exchanged for shares of Cigna Corp. New.

Line 29: This is the new name of the fund listed on line 31.

Line 31: This fund changed its name to BNY Mellon Technology Growth Fund, listed on line 29.

Line 36: This account is a change of name from Oppenheimer Mid Cap Revenue Fund on line 31 of 2018 report.

Line 37: This account is a change of name from Oppenheimer Roch AMT Free Mu C Fund on line 32 of 2018 report.

Line 38: This account is a change of name from Oppenheimer Small Cap Revenue Fund on line 33 of 2018 report.

Line 67: With the redesignation of the header on line 4 as 'Brokerage Account No. 1,' the header on this Wells Fargo account is now labeled 'Brokerage Account No. 2.'

Line 68: This account is more accurately labeled as "Wells Fargo expanded bank deposit."

Line 102: DowDupont dissolved, and Dow Inc., on line 100, was spun off as a separate company.

Line 158: This stock changed its name from "Powershares QQQ Trust Units Ser. 1 Fund" to "Invesco QQQ Trust Units Ser. 1 Fund."

Line 178: This account was formerly at Morgan Stanley and was transferred to Wells Fargo. Because Wells Fargo opened a second account for Trust No. 1, this account is now labeled "Brokerage Account No. 1."

Line 211: This is a new account opened at Wells Fargo for Trust No. 1. Because the account identified on line 178 is now labeled "Brokerage Account No. 1," this new account is labeled "Brokerage Account No. 2."

Line 239: This account was formerly at Morgan Stanley and was transferred to Wells Fargo. Because Wells Fargo opened a second account for Trust No. 2, this account is now labeled "Brokerage Account No. 1."

Line 300: This is a new account opened at Wells Fargo for Trust No. 2. Because the account identified on line 239 is now labeled "Brokerage Account No. 1," this new account is labeled "Brokerage Account No. 2."

Line 384: This account is a change of name from "PowerShares Russell Midcap Pure Value Fund" (on line 281 of 2018 report) to "Invesco S&P Midcap Value with Momentum Fund."

Line 385: This account is a change of name from "PowerShares Russell Midcap Pure Growth Fund" (on line 280 of 2018 report) to "Invesco S&P Midcap Momentum Fund."

Line 411: This account was formerly at Morgan Stanley and was transferred to Wells Fargo. Because Wells Fargo opened a second account for Trust No. 3, this account is now labeled "Brokerage Account No. 1."

Line 430: This stock was transferred from Brokerage Account No. 2, line 580, to Brokerage Account No. 1.

Line 434: This is a new account opened at Wells Fargo for Trust No. 3. Because the account identified on line 411 is now labeled "Brokerage Account No. 1," this new account is labeled "Brokerage Account No. 2."

Line 496: Globe Life is the new name of Torchmark, which was on line 415 of 2018 report..

Line 563: Suntrust merged with Truist, listed on line 567.

Line 565: Torchmark changed its name to Globe Life, which is on line 496.

Line 567: Truist is the name of the company into which Suntrust, listed on line 563, merged.

Line 580: This stock was transferred from Brokerage Account No. 2 to Brokerage Account No.1, where it is listed on line 430.

Line 582: This is the name of this Fund when it was transferred from Morgan Stanley to Wells Fargo, but the listing was changed to "Xtrackers MSCI Fund" when the Fund was received into Wells Fargo (see line 592).

Line 592: This is the name of this Fund when it was transferred to Wells Fargo from Morgan Stanley (see line 582).

Line 607: This account was formerly at Morgan Stanley and was transferred to Wells Fargo. Because Wells Fargo opened a second account for Trust No. 4 this account is now labeled "Brokerage Account No. 1."

Line 631: This is a new account opened at Wells Fargo for Trust No. 4. Because the account identified on line 601 is now labeled "Brokerage Account No. 1," this new account is labeled "Brokerage Account No. 2.":

Line 818: This stock was listed on the 2018 report as "The Scott's Miracle-Glo" but, because of the transfer of accounts from Morgan Stanley to Wells Fargo, it is now listed as "Scott's Miracle-Glo."

Line 838: This is the same stock listed on line 812. See note for line 818.

Line 878: This header has been slightly changed to be more descriptive.

Line 879: This account was formerly at Morgan Stanley and was transferred to Wells Fargo. Because Wells Fargo opened a second account for this IRA account, this account is now labeled "Brokerage Account No. 1."

Line 882: This is a new account opened at Wells Fargo for the IRA account.. Because the account identified on line 879 is now labeled "Brokerage Account No. 1," this new account is labeled "Brokerage Account No. 2.":

Line 939: This is the name of this Fund when it was transferred from Morgan Stanley to Wells Fargo, but the listing was changed to "Xtrackers MSCI Fund" when the Fund was received into Wells Fargo (see line 953).

Line 953: This is the name of this Fund when it was transferred to Wells Fargo from Morgan Stanley (see line 939).

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Name of Person Reporting

Newman, Jon O.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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