AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Friedland, Michelle T.	Ninth Circuit	05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
Robert F. Peckham U.S. Courthouse 280 South First Street San Jose, CA 95113		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Friedland, Michelle T.	05/08/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2019	[redacted] - salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Friedland, Michelle T.	05/08/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Friedland, Michelle T.	05/08/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Individual Assets (H)									
2.	BANK OF AMERICA (cash)	A	Interest	L	T					
3.	STANFORD FEDERAL CREDIT UNION (cash)	A	Interest			Closed	10/19/19	K		
4.	INVESTMENT ACCOUNT #1 (H)									
5.	VANGUARD PRIME MONEY MARKET FUND (SWEEP ACCOUNT) (VMMXX)	A	Int./Div.	J	T					
6.	VANGUARD TOTAL INTL STOCK INDEX FUND ADMIRAL SHARES (VTIAX)	D	Dividend	M	T					
7.	VANGUARD 500 INDEX FUND ADMIRAL SHARES (VFIAX)	D	Dividend	N	T					
8.	INVESTMENT ACCOUNT #2 (H)									
9.	VANGUARD PRIME MONEY MARKET FUND (SWEEP ACCOUNT) (VMMXX)	A	Int./Div.	J	T					
10.	VANGUARD TOTAL INTL STOCK INDEX FUND ADMIRAL SHARES (VTIAX)	D	Dividend	M	T					
11.	VANGUARD 500 INDEX FUND ADMIRAL SHARES (VFIAX)	D	Dividend	N	T					
12.	IRA #1 (H)									
13.	VANGUARD EXTENDED MKT INDEX ADM (VEXAX)	B	Dividend	M	T					
14.	VANGUARD PRIME MONEY MARKET FUND (SWEEP ACCOUNT) (VMMXX)	B	Int./Div.	M	T					
15.	VANGUARD TOTAL INTL BOND IX ADM (VTABX)	C	Dividend	L	T					
16.	IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Friedland, Michelle T.	05/08/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. VANGUARD TOTAL INTL BOND INDEX ADMIRAL SHARES (VTABX)	A	Dividend	K	T					
18. VANGUARD TOTAL BOND MKT INDEX ADMIRAL SHARES (VBTLX)	E	Dividend	O	T					
19. IRA #3 (H)									
20. VANGUARD PRIME MONEY MARKET FUND (SWEEP ACCOUNT) (VMMXX)	B	Dividend	M	T					
21. IRA #4 (H)									
22. VANGUARD EXTENDED MKT IDX ADM CL (VEXAX)	A	Dividend	L	T					
23. IRA #5 (H)									
24. VANGUARD TOTAL INTL BOND INDEX ADMIRAL CL (VTABX)	C	Dividend	L	T					
25. COLLEGE SAVINGS PLAN #1 (H)									
26. SCHOLARSHARE PASSIVE GROWTH PORTFOLIO		None	M	T	Buy (add'l)	12/31/19	J		
27. COLLEGE SAVINGS PLAN #2 (H)									
28. SCHOLARSHARE PASSIVE GROWTH PORTFOLIO		None	M	T	Buy (add'l)	12/31/19	J		
29. TRUST ACCOUNT #1-1 (H)									
30. VANGUARD EXTENDED MARKET INDEX ADM (VEXAX)	C	Dividend	N	T					
31. PRIME MONEY MARKET FUND (VMMXX)	A	Dividend	M	T	Buy	08/26/19	K		
32.					Buy (add'l)	09/06/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
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	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
33.	VANGUARD TOTAL INT'L STOCK INDEX FUND ADMIRAL SHARES (VTIAX)	D	Dividend	N	T					
34.	VANGUARD TOTAL BOND MARKET INDEX ADM (VBTLX)	C	Dividend	M	T					
35.	VANGUARD TOTAL STOCK MARKET INDEX FUND ADMIRAL SHARES (VTSAX)	D	Dividend	N	T					
36.	VANGUARD 500 INDEX FUND ADM (VFIAX)	E	Dividend	O	T	Sold (part)	08/26/19	K	E	
37.						Sold (part)	09/06/19	K	E	
38.	TRUST ACCOUNT #1-2 (H)									
39.	VANGUARD FEDERAL MONEY MARKET FUND (CASH SWEEP)	A	Interest	K	T					
40.	INVESCO S&P SMALL CAP 600 ETF (RWJ)	B	Dividend	L	T					
41.	CALIFORNIA STATE VARIOUS PURPOSE BOND (13063A4T8)	A	Interest			Redeemed	05/15/19	J		
42.	TRUST ACCOUNT #1-3 (H)									
43.	VANGUARD DEVEL MARKETS INDEX FUND ADMIRAL SHARES (VTMGX)	E	Dividend	P1	T					
44.	VANGUARD EMERGING MARKETS STOCK IND FUND ADMIRAL SHARES (VEMAX)	D	Dividend	M	T					
45.	VANGUARD EXTENDED MKT INDEX ADMIRAL SHARES (VEXAX)	A	Dividend	K	T					
46.	VANGUARD INT'L GROWTH FUND ADMIRAL SHARES (VWILX)	B	Dividend	M	T					
47.	VANGUARD PRIME MONEY MARKET FUND (SWEEP ACCOUNT) (VMMXX)	D	Int./Div.	N	T					
48.	VANGUARD TOTAL INTL STOCK INDEX FUND ADMIRAL SHARES (VTIAX)	E	Dividend	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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49. VANGUARD TOTAL STOCK MKT INDEX FUND ADMIRAL SHARES (VTSAX)	D	Dividend	O	T					
50. VANGUARD 500 INDEX FUND ADMIRAL SHARES (VFIAX)	E	Dividend	P1	T	Sold (part)	08/26/19	K	E	
51.					Sold (part)	09/06/19	K	E	
52. TRUST ACCOUNT #1-4 (H)									
53. VANGUARD EXTENDED MARKET INDEX (VEXAX)	B	Dividend	M	T					
54. SAN JOAQUIN HILLS, CA TRANSP. CORRIDOR AGENCY		None	K	T					
55. 401(k) #1 (H)									
56. FIDELITY MID CAP INDEX (FSMDX)	A	Dividend	J	T					
57. FIDELITY SMALL CAP INDEX (FSSNX)	A	Dividend	J	T					
58. FIDELITY 500 INDEX (FXAIX)	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 38: This is the specific name of the bond.

Name change: INVESCO S&P SMALLCAP 600 REV ETF was OPPENHEIMER REV WEIGHTED SMALL CAP ETF.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Friedland, Michelle T.	05/08/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michelle T. Friedland

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544