

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mullin, Mark X.	2. Court or Organization U.S. Bankruptcy Court, Northern District of Texas	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Eldon B. Mahon U.S. Courthouse 501 W. 10th Street, Rm. 147 Fort Worth, TX 76102-3643		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Haynes and Boone LLP Partners' Retirement Plan (frozen), no control
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 04/15/2019	LexisNexis - (2019 Collier Handbook)	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute and St. John's University	3/3/2019 - 3/5/2019	New York, NY	Judge for Duberstein National Moot Court Competition	Hotel, Travel Expenses
2.	State Bar of Texas	4/17/2019 - 4/19/2019	Austin, TX	Speaker at the Texas Bankruptcy Bench/Bar	Hotel, Travel Expenses
3.	29th Annudal DFW Area Chapter 13 Seminar	10/29/2019 - 11/2/2019	Hurst, TX	Speaker at Chapter 13 Seminar	Lunch
4.	National Conference of Bankruptcy Judges	10/29/2019 - 11/2/2019	Washington DC	Attend NCBJ Meetings and Conference	Hotel
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cisco Systems, Inc. Common Stock	A	Dividend	J	T					
2. AMG Managers Cadence Mid Cap-Inv	A	Dividend			Sold	09/03/19	J	A	
3. Mass Investors Growth Stock Fund-A	A	Dividend			Sold	09/03/19	J	B	
4. Putnam Growth & Income-A	A	Dividend			Sold	09/03/19	J	A	
5. Putnam International Value-A	A	Dividend			Sold	09/03/19	J	A	
6. Invesco American Franchise Fund-Class A- VAFAX	A	Dividend			Sold	09/03/19	J	A	
7. Minnesota Life - Adjustable Life Insurance	A	Dividend	J	T					
8. Bank of America - Cash Account		None	J	T					
9. Ameriprise Brokerage Account - AIMMA- tax qualified Retirement Account	A	Int./Div.	K	T					
10. Western Core PL Bond	A	Int./Div.	O	T					
11. Santa Barbara DG	A	Int./Div.	M	T					
12. Lazard IA	A	Int./Div.	M	T					
13. Federated SV	A	Int./Div.	M	T					
14. Kayne Andrsn RDNK SC	A	Int./Div.	M	T					
15. Mutual Fund - ACMVX - American Century Mid Cap Value CL	A	Int./Div.			Sold (part)	01/10/19	J	A	
16.					Sold (part)	05/02/19	J	A	
17.					Sold	09/03/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Mutual Fund - BSIIIX - Blackrock Strategic Income Opptys Instl CL	A	Int./Div.			Sold (part)	01/10/19	J	A	
19.					Sold (part)	05/02/19	J	A	
20.					Sold	09/03/19	J	A	
21. Mutual Fund - CZMVX Multi MGR Value Strategies CL Z	A	Int./Div.			Sold (part)	01/10/19	J	A	
22.					Sold (part)	05/02/19	J	A	
23.					Sold	09/03/19	J	A	
24. Mutual Fund - CTRZX - Multi MGR Total Return Bond Strategies CL Z	C	Int./Div.			Sold (part)	01/10/19	J	A	
25.					Sold (part)	05/02/19	J	A	
26.					Sold	09/03/19	J	A	
27. Mutual Fund - CZMSX - Multi Manager Small Cap Equity Strategies CL Z	A	Int./Div.			Sold (part)	01/10/19	J	A	
28.					Sold (part)	05/02/19	J	A	
29.					Sold	09/03/19	J	A	
30. Mutual Fund - CZMGX Multi Manager Small Cap Equity Strategies CL Z	A	Int./Div.			Sold (part)	01/10/19	J	A	
31.					Sold (part)	05/02/19	J	A	
32.					Sold	09/03/19	J	A	
33. Mutual Fund MEIIX MFS Value CL I	A	Int./Div.			Sold (part)	01/10/19	J	A	
34.					Sold (part)	05/02/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold	09/03/19	J	A	
36. Mutual Fund - NFRIX Nuveen Symphony Floating Rate Income CL I	A	Int./Div.			Sold (part)	01/10/19	J	A	
37.					Sold (part)	05/02/19	J	A	
38.					Sold	09/03/19	J	A	
39. Mutual Fund - DEUIX Delaware U S Growth Instl CL	A	Int./Div.			Sold (part)	01/10/19	J	A	
40.					Sold (part)	05/02/19	J	A	
41.					Sold	09/03/19	J	A	
42. Mutual Fund - EMGNX Wells Fargo Emerging Markets Equity Instl CL	A	Int./Div.			Sold (part)	01/10/19	J	A	
43.					Sold (part)	05/02/19	J	A	
44.					Sold	09/03/19	J	A	
45. Mutual Fund-CMIEX Multi Manager Intl Equity Strategies Instl CL	A	Int./Div.			Sold (part)	01/10/19	J	A	
46.					Sold (part)	05/02/19	J	A	
47.					Sold	09/03/19	J	A	
48.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark X. Mullin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544