

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rodriguez, Xavier	2. Court or Organization Western District of Texas	3. Date of Report 05/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 655 E. Cesar E. Chavez Blvd. San Antonio, Texas 78206		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Federal Bar Association, San Antonio Chapter
2. Regent	Texas Lutheran University
3. Board Member	St. Luke's Lutheran Health Ministries
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	St. Mary's Univ. School of Law - teaching	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Judson I.S.D. - Teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Texas	January 13, 2017	Austin, Texas	Attend State Bar Technology Brainstorming Session	mileage
2. State Bar of Texas	January 30-31, 2017	New York, NY	Attend State Bar Computer & Tech Council Meeting	hotel, taxi, meals
3. ALM	January 31-February 1, 2017	New York, NY	Deliver CLE speeches	airfare, meals
4. kCura LLC	February 1-2, 2017	New York, NY	Deliver CLE speeches	hotel
5. Philosophical Society of Texas	February 18-19, 2017	Waco, TX	Deliver CLE speech	mileage

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6.	State Bar of Texas	March 7-8, 2017	Austin, Texas	Attend State Bar CLE meeting	car rental, parking
7.	Arizona State Univ.	March 8-10, 2017	Tempe, Arizona	Deliver CLE speeches	airfare, meals, taxi
8.	State Bar of Texas	March 30-April 1, 2017	South Padre Island, TX	Attend SBOT Litigation Council Meeting	car rental, hotel, meals
9.	State Bar of Texas	April 7, 2017	Austin, TX	Attend State Bar Computer & Tech Council Meeting	mileage, parking
10.	Sedona Conference	May 3-5, 2017	Minneapolis, MN	Deliver CLE speeches	airfare, taxi, meals, parking
11.	State Bar of Texas	May 24-25, 2017	Austin, Texas	Deliver CLE speech	parking, mileage
12.	Univ. of Texas	May 25-26, 2017	Austin, Texas	Deliver CLE speech	mileage
13.	State Bar of Texas	June 21-23, 2017	Dallas, Texas	Attend State Bar Annual Meeting	airfare, taxi, meals
14.	American Law Institute	June 26-27, 2017	Philadelphia, PA	Attend meeting	airfare, taxi, hotel, meals
15.	State Bar of Texas	August 22-23, 2017	Austin, Texas	Attend CLE meeting	mileage, meals
16.	State Bar of Texas	August 25-26, 2017	San Antonio, Texas	Deliver CLE speech	hotel
17.	State Bar of Texas	September 6-7, 2017	Austin, Texas	Deliver CLE speech	mileage, hotel, parking
18.	Exterro	September 11-12, 2017	Portland, OR	Deliver CLE speech	airfare, taxi, meals, hotel
19.	Zapproved	September 13-16, 2017	Portland, Oregon	Deliver CLE speech	airfare, taxi, parking, meals
20.	Exterro	September 11-12, 2017	Portland, OR	Deliver CLE speech	airfare, taxi, meals
21.	State Bar of Texas	September 19, 2017	Austin, TX	Attend State Bar Computer & Tech Council Meeting	mileage, parking
22.	State Bar of Texas	October 5-7, 2017	Ft. Davis, TX	Attend SBOT Litigation Council meeting	car rental, hotel, meals
23.	KCura Corp.	October 20-23, 2017	Chicago, IL	Deliver CLE speech	meals, taxi, hotel
24.	State Bar of Texas	October 25, 2017	Austin, Texas	Deliver CLE speech	mileage, parking
25.	State Bar of Texas	November 30, 2017	Austin, Texas	Attend Computer & Tech Council meeting	mileage, hotel, meals
26.	State Bar of Texas	December 6, 2017	Austin, TX	Deliver CLE speech	mileage, meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Fidelity IRA Baron Growth	A	Dividend	K	T					
2. Fidelity IRA Government Income	A	Dividend	J	T					
3. Fidelity IRA Low Priced Stock	A	Dividend	M	T					
4. Fidelity IRA Puritan	A	Dividend	J	T					
5. Fidelity IRA Intermediate Bond	A	Dividend	L	T					
6. Fidelity IRA Oakmark Equity Income	A	Dividend	K	T					
7. Frost National Bank Market Index	A	Interest	K	T					
8. Frost National Bank	A	Interest	K	T					
9. American Balanced Fund	A	Dividend	L	T					
10. American Balanced Fund - 529	A	Dividend	L	T	Sold (part)	07/07/17	K		
11. Fidelity IRA FBALX	A	Dividend	L	T					
12. Fidelity IRA Int'l Discovery	A	Dividend	K	T					
13. Fidelity IRA Contrafund	A	Dividend	M	T					
14. Fidelity IRA Emerging Markets	A	Dividend	J	T					
15. Teacher Retirement System of Texas	A	Dividend	K	T					
16. Firstmark	A	Interest	J	T					
17. Royalty Interest, Lavaca County, Texas	A	Royalty	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity IRA Leveraged Co. Stock	A	Dividend	K	T					
19. Fidelity IRA Strategic Income	A	Dividend	L	T					
20. Fidelity Yacktman Focused	A	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 10, American Balanced Fund 529 - also partial sell on 08/22/16, value K

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Xavier Rodriguez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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