

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) UNGARO, URSULA	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 05/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 400 N. MIAMI AVENUE ROOM 12-4 MIAMI, FLORIDA 33318		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

Name of Person Reporting	Date of Report
UNGARO, URSULA	05/29/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
UNGARO, URSULA	05/29/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Miami Federal Credit Union Cash Account (H)	C	Interest	J	T					
2. Lincoln Annuity (H)									
3. Lincoln Annuity		None	M	T					
4. -AMERICAN FUNDS GROWTH FUND - CLASS 4		None	K	T					
5. -AMERICAN FUNDS GROWTH-INCOME FUND - CL		None	K	T					
6. -AMERICAN FUNDS INTERNATIONAL FUND - CL		None	K	T					
7. -AMERICAN FUNDS NEW WORLD FUND - CLASS		None	K	T					
8. -DELAWARE VIP SMALL CAP VALUE - SVC CLA		None	J	T					
9. -DELAWARE VIP VALUE SERIES - SVC CLASS		None	K	T					
10. -FIDELITY VIP II CONTRAFUND - SERVICE C		None	K	T					
11. -FIDELITY VIP STRATEGIC INCOME PORTFOLI		None	J	T					
12. -FRANKLIN MUTUAL SHARES VIP FUND - CLAS		None	K	T					
13. -LVIP DIMENSIONAL INTL CORE EQUITY SV		None	K	T					
14. -LVIP DIMENSIONAL/VANGUARD TOTAL BOND S		None	J	T					
15. -LVIP SSGA SMALL/MID CAP 200 - SV CL		None	J	T					
16. -LVIP T R PRICE GROWTH STOCK - SV CL		None	K	T					
17. -Lvip Western Asset Core Bond Fund Serv		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -TEMPLETON GLOBAL BOND VIP FUND - CLASS		None	J	T					
19. Meg Green Account - Fidelity (H)									
20. Fidelity Master Account	A	Int./Div.	K	T					
21. Regional Transn Dist Colo Sale	A	Interest	J	T					
22. PA St Higher Edl	A	Interest	K	T					
23. Ivy Tech Cmnty College	A	Interest	K	T					
24. King Cnty Wash Swr Rev For	A	Interest	K	T					
25. Mesa County Valley School District No 51 Grand Junction/	A	Interest	K	T					
26. New York St Dorm Auth S	A	Interest	J	T					
27. Oregon St For Issues Dtd	A	Interest	K	T					
28. Snohomish Cnty Wash Pub Util	A	Interest	K	T					
29. West Virginia St Wtr Dev	A	Interest	K	T					
30. Phoenix Ariz Civic Impt Cor	A	Interest	K	T					
31. DFA US Core Equity 1	B	Int./Div.	L	T	Sold (part)	01/14/19	J	A	
32. DFA US Large Company	B	Int./Div.	M	T					
33. DFA US Small Cap	B	Int./Div.	L	T	Buy	01/31/19	K		
34. DFA International Small Company	C	Int./Div.	L	T	Sold (part)	12/13/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. DFA Emerging Markets Small Cap	B	Int./Div.	K	T	Sold (part)	12/13/19	J		
36. DFA 5-Year Global Fixed-Income	C	Int./Div.	M	T	Buy (add'l)	01/31/19	M		
37. Hurst Euleess Bedford Tex	A	Interest	K	T					
38. Maricopa Cnty Ariz Sch Dist	A	Interest	K	T					
39. Reedy Creek Impt Dist	A	Interest	K	T					
40. Vanguard Total International Bond		None			Sold	01/31/19	M	A	
41. West Lafayette Ind Sch Bldg	A	Interest	J	T					
42. DFA Cash position (X)	A	Int./Div.	J	T					
43. Connecticut St Revolving Fd Ge20775YDN3	A	Interest	J	T					
44. Franklin Cnty Wash Sch Dist No353442C20	A	Interest	K	T					
45. iShares MSCI EAFE Small-CapSCZ		None	J	T	Sold (part)	01/13/19	L	D	
46.					Buy (add'l)	12/13/19	J		
47. iShares Russell 2000IWM		None			Sold	01/31/19	K		
48. iShares MSCI Emerging MarketsEEM		None	J	T	Buy	12/13/19	J		
49. Larimer Weld & Boulder Cntys	A	Interest	K	T	Buy	01/18/19	K		
50.									
51. HSBC Premier Savings Account (X)	A	Interest	J	T					

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52. Suntrust Checking/Savings (X)	A	Interest	J	T					
53. Nothern Trust Checking (X)		None			Closed	11/26/19			
54.									
55.									
56.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/29/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Lines 4-18: Unable to provide the breakdown of income per fund in the annuity since the statements do not provide this information.

Additional Explanations: Although not required, I am reporting the following: I own a 1.1 % limited partnership interest in Mt. Blue Ltd. Partners which owns a 2.73% interest in another entity, the Shopping Center Partnership. I did not report this interest until 2010 because, during my entire tenure, it has never had a value of \$1,000 or more. In other words, there has not been a secondary market for my interest at any time during my service as a District Judge. However, it carries the potential for a tax liability (the amount of which and timing I cannot predict). Although I am not obligated to report this interest as an asset I am continuing to report it in an abundance of caution because I am concerned that some tax liability could arise in connection with this interest. It should be noted, however that I have no information from the general partner and no information regarding the partnership from any other source. that suggests any current tax liability exposure. I am relying simply on my knowledge of current economic conditions, my understanding of the tax liabilities that can be associated with limited partnership interests generally and my knowledge of the history of these entities.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

UNGARO, URSULA

Date of Report

05/29/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ URSULA UNGARO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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