

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conti, Joy Flowers	2. Court or Organization U.S. District Court WDPA	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street, Suite 5250 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Honorary Board Member	Catholic Charities
2. Regent	Kushkushkee Trail Chapter of the Daughters of the American Revolution
3. Member	University of South Carolina School of Law Cybersecurity Legal Task Force
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Boenning & Scattergood - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Commonwealth of PA	December 31, 2018 - January 1, 2019	Harrisburg, PA	Swearing in Ceremony	Lodging, Transportation, Meals
2. ALM Media, LLC	January 29 - February 2, 2019	New York, NY	Legal Tech	Lodging, Transportation, Meals
3. The Naples Roundtable, Inc.	February 17-19, 2019	Naples, FL	Leahy Institute of Advanced Patent Studies	Lodging, Transportation, Meals
4. Sandpiper Partners LLC	February 26-27, 2019	Chicago, IL	The Sixth Annual Innovation in E-Discovery Conference	Lodging, Transportation, Meals
5. The American Law Institute	May 20-22, 2019	Washington, DC	The 96th Annual Meeting	Lodging, Transportation, Meals

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6.	Electronic Discovery Institute	June 5-6, 2019	Columbus, OH	The 4th Annual EDI Summer Meeting	Lodging, Transportation, Meals
7.	WC Research, Inc.	July 24-25, 2019	New York, NY	16th Annual Summit on Protecting Innovations in the Financial Services Industry	Lodging, Transportation, Meals
8.	Foley & Lardner LLP\	September 19-20, 2019	Chicago, IL	Foley's Labor and Employment Summit	Lodging, Transportation, Meals
9.	Intellectual Property Owners	September 24-26, 2019	Washington, DC	IPO Annual Meeting	Lodging, Transportation, Meals
10.	University of South Carolina	October 2-3, 2019	Columbia, SC	Columbia South Carolina Task Force Meeting	Lodging, Transportation, Meals
11.	Foley & Lardner LLP	October 3-4, 2019	Chicago, IL	Foley's 15th Annual IP Conference	Lodging, Transportation, Meals
12.	The Sedona Conference	October 23-25, 2019	St. Louis, MO	The Sedona Conference	Lodging, Transportation, Meals
13.	Dawn D. Johnson, FDIC	November 11-13, 2019	Arlington, VA	FEDWG Ethics Session: E-Discovery A View from the Bench	Lodging, Transportation, Meals
14.	Open Text	November 13-14, 2019	Las Vegas, NV	Enfuse Conference	Lodging, Transportation, Meals
15.					
16.					
17.					
18.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 - J (H)									
2. -Red Mountain Resource Inc Stock		None			Sold	07/12/19	J		
3. -Standard Bank Deposit Account	A	Interest	J	T					
4. -Pa Economic Dev Fing Auth Bond (2.55% due 11/01/41)		None			Sold	05/30/19	K	E	
5. ROTH IRA ROLLOVER ACCOUNT #1 - W (H)									
6. -New Residential Invt Corp Stock	C	Dividend	K	T					
7. -Bank of New York Mellon Corp Stock	A	Dividend			Sold	02/21/19	K	B	
8. -SPDR S&P 500 ETF Trust Units	B	Dividend	L	T					
9. -SPDR SER TR S&P BK ETF		None			Sold	02/21/19	J	B	
10. -Fidelity Advusor Ser VII Technology Fund CI A	A	Dividend	K	T					
11. -JP Morgan Chase & Co Stock	A	Dividend	K	T	Sold (part)	02/21/19	J	B	
12. -Standard Bank Deposit Account	A	Interest	K	T					
13. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	B	Interest	J	T					
14. -Priority Income Fund Pfd Ser C 6.625% due 06/30/24	A	Dividend	K	T	Buy	02/20/19	J		
15. -Galectin Therapeutics Stock		None	J	T	Buy	03/01/19	J		
16. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	A	Interest	J	T	Buy	03/21/19	J		
17. -First Internet 6% Pfd Bancorp due 6/30/29	A	Interest	J	T	Buy	06/05/19	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. RETIREMENT PLAN #2 - W (H)									
19. -TIAA Traditional Annuities	D	Interest	M	T					
20. -Vanguard REIT Index Fund	A	Dividend	J	T	Sold (part)	04/12/19	J	A	
21.					Sold (part)	05/15/19	J	A	
22.					Sold (part)	06/17/19	J	A	
23.					Sold (part)	07/15/19	J	A	
24.					Sold (part)	08/15/19	J	A	
25.					Sold (part)	09/16/19	J	A	
26.					Sold (part)	10/15/19	J	A	
27.					Sold (part)	11/15/19	J	A	
28.					Sold (part)	12/16/19	J	A	
29. -CREF Stock R2 Fund		None	L	T	Sold (part)	04/12/19	J	A	
30.					Sold (part)	05/15/19	J	A	
31.					Sold (part)	06/17/19	J	A	
32.					Sold (part)	07/15/19	J	A	
33.					Sold (part)	08/15/19	J	A	
34.					Sold (part)	09/16/19	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	10/15/19	J	A	
36.					Sold (part)	11/15/19	J	A	
37.					Sold (part)	12/16/19	J	A	
38. -CREF Money Market R2		None	L	T	Sold (part)	04/12/19	J	A	
39.					Sold (part)	05/15/19	J	A	
40.					Sold (part)	06/17/19	J	A	
41.					Sold (part)	07/15/19	J	A	
42.					Sold (part)	08/15/19	J	A	
43.					Sold (part)	09/16/19	J	A	
44.					Sold (part)	10/15/19	J	A	
45.					Sold (part)	11/15/19	J	A	
46.					Sold (part)	12/16/19	J	A	
47. -Vanguard Infl Protect Sec Adm Fund		None			Sold	04/04/19	K		
48. -Vanguard Small Cap Index Fund	A	Dividend	K	T	Sold (part)	04/12/19	J	A	
49.					Sold (part)	05/15/19	J	A	
50.					Sold (part)	06/17/19	J	A	
51.					Sold (part)	07/15/19	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	08/15/19	J	A	
53.					Sold (part)	09/16/19	J	A	
54.					Sold (part)	10/15/19	J	A	
55.					Sold (part)	11/15/19	J	A	
56.					Sold (part)	12/16/19	J	A	
57. -Vanguard Mid Cap Index Fund	A	Dividend	K	T	Sold (part)	04/12/19	J	A	
58.					Sold (part)	05/15/19	J	A	
59.					Sold (part)	06/17/19	J	A	
60.					Sold (part)	07/15/19	J	A	
61.					Sold (part)	08/15/19	J	A	
62.					Sold (part)	09/16/19	J	A	
63.					Sold (part)	10/15/19	J	A	
64.					Sold (part)	11/15/19	J	A	
65.					Sold (part)	12/16/19	J	A	
66. -American Mutual Fund R6	A	Dividend	K	T	Sold (part)	04/12/19	J	A	
67.					Sold (part)	05/15/19	J	A	
68.					Sold (part)	06/17/19	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	07/15/19	J	A	
70.					Sold (part)	08/15/19	J	A	
71.					Sold (part)	09/16/19	J	A	
72.					Sold (part)	10/15/19	J	A	
73.					Sold (part)	11/15/19	J	A	
74.					Sold (part)	12/16/19	J	A	
75. -Dodge & Cox Income Fund	B	Dividend	K	T	Buy	04/04/19	K		
76.					Sold (part)	04/12/19	J	A	
77.					Sold (part)	05/15/19	J	A	
78.					Sold (part)	06/17/19	J	A	
79.					Sold (part)	07/15/19	J	A	
80.					Sold (part)	08/15/19	J	A	
81.					Sold (part)	09/16/19	J	A	
82.					Sold (part)	10/15/19	J	A	
83.					Sold (part)	11/15/19	J	A	
84.					Sold (part)	12/16/19	J	A	
85. ROTH IRA ROLLOVER ACCOUNT #3 - H (H)									

- | | | | | | |
|--|--|--|--|--|------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A =\$1,000 or less
F =\$50,001 - \$100,000 | B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000 | C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000 | D =\$5,001 - \$15,000
H2 =More than \$5,000,000 | E =\$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000 | M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q =Appraisal
U =Book Value | R =Cost (Real Estate Only)
V =Other | S =Assessment
W =Estimated | T =Cash Market | |

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86. -Navidea Biopharmaceuticals Stock		None			Sold	01/25/19	J		
87. -Boeing Co Stock	A	Dividend			Sold	03/12/19	L	E	
88. -JP Morgan Value Advantage Fund	A	Dividend	K	T					
89. -JP Morgan Chase & Co Stock	A	Dividend	K	T					
90. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
91. -Standard Bank Deposit Account	A	Interest	J	T					
92. -Assured Guaranty Ltd	A	Dividend	K	T					
93. -Conifer Hldgs 6.75% Pfd Inc Sr Note due 09/30/23	A	Dividend	J	T					
94. -Invesco Oppenheimer Global Opport Fund (Name Change)	A	Dividend	J	T					
95. -Southerly Hotels 8.25% Pfd Perp Mty Call 04/18/24	B	Interest	K	T	Buy	04/11/19	J		
96. -ETF Managers Trust Prime Cyber Sec	A	Dividend	J	T	Buy	05/13/19	J		
97. -First Internet 6% Pfd Bancorp Note Due 6/30/29	A	Interest	K	T	Buy	06/05/19	J		
98. -New Residential Invt Corp Stick	B	Dividend	K	T	Buy	06/12/19	K		
99. IRA ROLLOVER ACCOUNT #6 - H (H)									
100. -S&T Bancorp Stock	A	Dividend	K	T					
101. -Standard Bank Deposit Account	A	Interest	K	T					
102. - Boeing Co Stock	B	Dividend			Sold	03/13/19	L	E	

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

A = \$1,000 or less
F = \$50,001 - \$100,000
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N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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05/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Nvidia Biopharmaceuticals Inc Stock		None			Sold	02/21/19	J		
104. -JP Morgan Value Advantage Fund	B	Dividend	K	T					
105. -SPDR SER TR S&P REGL BKG ETF	A	Distribution	J	T					
106. -SPDR SER TR S&P BK ETF	A	Dividend	J	T					
107. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
108. -JP Morgan Chase & Co Stock	A	Dividend	K	T	Sold (part)	02/21/19	J	A	
109. -New Residential Invt Corp Stock (REIT)	B	Dividend	K	T					
110. -Vanguard Information ETF Technology	A	Dividend	J	T					
111. -Weatherford International PLC Stock New (Name Change)		None	J	T					
112. -Priority Income Fund Pfd Ser C 6.625% Mty 06/30/24	A	Dividend	K	T	Buy	02/20/19	J		
113. -Merchants Bancorp 7% Pfd Perp Mty Call 04/01/24	B	Interest	K	T	Buy	03/21/19	K		
114. -Tristate Cap 6.375% Pfd Perp Call 07/01/24	A	Interest	K	T	Buy	05/21/19	J		
115. CITIZENS BANK ACCOUNTS		None	J	T					
116. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
117. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
118. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	K	T					
119. METRO LIFE (Name Change from Genl Amer) WHOLE LIFE INS POLICY		None	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Conti, Joy Flowers

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	K	T					
121. METROPOLITAN LIFE WHOLE LIFE INS POLICY		None	J	T					
122. NORTHWESTERN WHOLE LIFE INS POLICY		None	J	T					
123. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
124. MASSACHUSETTS MUTUAL WHOLE LIFE INS POLICY		None	J	T					
125. HUNTINGTON BANK ACCOUNT		None	J	T					
126. BROKERAGE ACCOUNT #2 (W) (H)									
127. -New Residential Investment Corp Stock (REIT)	A	Dividend	J	T					
128. -Standard Bank Deposit Account	A	Interest	J	T					
129. ROTH IRA ROLLOVER ACCOUNT #7 - tH (H)									
130. -Safe-T-Shade LLC		None	K	T					
131. -Averatek Inc Stock		None	J	T					
132. -Averatek 8% Convertible Note due 4/30/22	A	Interest	J	T					
133. SEVENTH STREET INVESTMENT LP		None	K	T					
134. TAASERA INC STOCK		None	K	T					
135. 401(K) PLAN #1 - H (H)									
136. -Standard Bank Deposit Account	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
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B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

3. Value Method Codes
(See Column C2)

R =Cost (Real Estate Only)
V =Other

T =Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -T2 Biosystems, Inc Stock		None			Buy	02/26/19	J		
138. -T2 Biosystems, Inc Stock					Sold	05/23/19	J		
139. -Invesco QQQ ETF Trust Units	A	Dividend	J	T	Buy	12/12/19	J		
140. -SPDR S&P 500 ETF Trust Units	A	Dividend	J	T	Buy	12/12/19	J		
141.									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ADDITIONAL INFORMATION FOR:

Part VII Investments and Trusts

From prior years:

There is no Retirement Plan #1

There is no IRA Rollover/Roth IRA Rollover Accounts # 2, 4 or 5

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544