

Annual Report 2019

Judiciary Branch Personnel

Financial Disclosure Report (AO Form 10)

Filer's Information

Fitzsimmons, Holly B

Report Year: 2019

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Fitzsimmons, Holly B [electronically signed on 08/11/2020 by Fitzsimmons, Holly B in JEFIS]

I. Positions

#	ORGANIZATION NAME		ORGANIZATION TYPE	POSITION HELD
1	Probate Estates (2)	See Note	Probate Estates	Executor

II. Agreements

None

III A. Filer's Non-Investment Income

None

III B. Spouse's Non-Investment Income

None

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

None

VII. Investments and Trusts

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
1	Brokerage acct (H)								
1.1	--ATT common stock		\$2,501 - \$5,000	Dividend	None	Cash Market			
1.1.1	--ATT common stock						Donated		
1.2	--Ameriprise Insured Money Market fund (AIMMA)		\$1,000 or less	Interest	None	Cash Market			
1.2.1	--Ameriprise Insured Money Market fund (AIMMA)	See Note					Closed	06/14/2019	\$100,001 - \$250,000
1.3	Ameriprise Cash/sweep account	See Note	\$1,000 or less	Interest	None	Cash Market			
1.3.1	Cash						Closed	06/20/2019	\$50,001 - \$100,000
2	Roth #1 Co. (H)								
2.1	---DIS common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.2	--XLP SPDR ETF		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.3	---XLU SPDR ETF		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.4	---XLV SPDR ETF		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.5	--GNTX common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.6	--GILD common stock		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
2.7	--OAK/BAM	See Note	\$5,001 - \$15,000	Dividend	\$15,000 or less	Cash Market			
2.8	--OKE common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
2.9	--PAG common stock		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
2.10	ENB		\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
2.11	FUN		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.12	IRM		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
2.13	SKT		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
3	Roth # 2 (H)								
3.1	-AAPL		\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
3.2	--TSLA		None	None	None	Cash Market			
3.2.1	--TSLA						Sold	09/13/2019	\$15,000 or less
3.3	OAK/BAM	See Note	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
3.4	ADNT		None	None	\$15,000 or less	Cash Market			
3.5	Google Inc. - Class A Common Stock		None	None	\$15,000 or less	Cash Market			
3.6	SAM		None	None	\$15,000 or less	Cash Market			
3.7	ENB		\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
3.8	DOC		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
3.8.1	DOC						Purchased	09/13/2019	\$15,000 or less
4	IRA #3 (H)								
4.1	NGG		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
4.2	ROIC		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
5	IRA #4 (H)								
5.1	--GOOG		None	None	\$15,001 - \$50,000	Cash Market			
5.2	--BRK-B			None	\$15,001 - \$50,000	Cash Market			
5.3	--BABY			None	\$1 - \$15,000	Cash Market			
5.4	--ENSG		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
5.5	--EGOV		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
5.6	--SHOP		None	None	\$50,001 - \$100,000	Cash Market			
5.7	--ILMN			None	\$1 - \$15,000	Cash Market			
5.8	BPY		\$1,000 or less	Distribution, Dividend	\$15,000 or less	Cash Market			
5.9	ROKU		None	None	\$15,000 or less	Cash Market			
5.9.1	ROKU						Purchased	09/19/2019	\$15,000 or less
5.10	Pennant Group (PNTG)	See Note	None	None	\$15,000 or less	Cash Market			
6	TD Bank cash/sweep accounts		\$2,501 - \$5,000	Interest	\$500,001 - \$1,000,000	Cash Market			
7	Sikorsky FCU		\$1,000 or less	Interest	\$15,000 or less	Cash Market			

#	DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
8	3 rental units, [REDACTED] Connecticut		\$5,001 - \$15,000	Rent	\$100,001 - \$250,000	Estimated			
9	IRA #5	See Note							
9.1	TD Bank Sweep account		\$1,001 - \$2,500	Interest	\$500,001 - \$1,000,000	Cash Market			
10	Newtown Savings Bank cash accounts	See Note	\$1,000 or less	Interest	\$15,000 or less	Cash Market			
11	TD Bank cash account		\$1,000 or less	Interest	\$15,000 or less	Cash Market			

Additional Information or Explanation

PART	#	NOTE
I.	1	The only remaining assets of these estates are US savings bonds, none of which individually is reportable.
VII.	1.2.1	Transferred brokerage account to another broker.
VII.	1.3	Cash transferred from Ameriprise to a different broker as part of transfer of all Ameriprise accounts. See Note under TD Bank.
VII.	2.7	OAK merged with BAM on 9/30/2019. For my tender of 210 shares of OAK, I received 129 shares of BAM.
VII.	3.3	OAK merged with BAM 9/30/2019. For 250 shares of OAK tendered, received 154 shares BAM.
VII.	5.10	This stock was a spinoff of ENSG on 10/1/2019 and received based on ownership of ENSG.

PART	#	NOTE
VII.	9	Traditional IRA Opened 11/12/2019 with rolled over cash. Included in TD Bank cash/sweep accounts listed above. No other assets in 2019.
VII.	10	Previously not reportable because no single account reached the threshold balance for reporting.