

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder III, Robert W.	2. Court or Organization U.S. District Court for the Eastern District of Texas	3. Date of Report 5/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 500 North State Line, Room 309 Texarkana, Texas 75501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Schroeder III, Robert W.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Intellectual Property American Inn of Courts	March 7-8, 2019	Houston, Texas	IP Inn of Courts (meeting)	Travel, lodging and meals
2. USC Gould School of Law	March 18-20, 2019	Los Angeles, California	2019 Intellectual Property Institute (speaker)	Travel, lodging and meals
3. Stanford University	October 3-6, 2019	Palo Alto, California	SLS Round-table on Patent Damages (speaker)	Travel, lodging and meals
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Stanford University	Tuition Agreements	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Schwab Accounts (H)									
2. -Schwab Account	A	Interest	J	T					
3. -Schwab Bank Sweep	B	Interest	K	T					
4. -Schwab VALUE ADVANTAGE	A	Dividend	M	T					
5. -IShares Gold ETF	A	Dividend	J	T					
6. -Schwab Fund. Emg. Mkts. Lg. Com. ETF	A	Dividend	J	T	Buy (add'l)	08/15/19	J		
7. -Schwab Fund. Intl. 16g. Com. ETF	B	Dividend			Sold	01/07/19	J		
8. -Schwab Fund. Intl. Sm. Com. ETF	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
9. -Schwab Fund. US Lg. Com. ETF	A	Dividend	J	T					
10. -Schwab Fund US Sm. Com. ETF	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
11. -Schwab Intl. Equity ETF	A	Dividend	J	T	Buy (add'l)	10/18/19	J		
12. -Schwab Intl. Sm. Cap. Equity ETF	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
13. -Schwab U.S. Lg. Cap. ETF	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
14. -Schwab U.S. Sm. Cap. ETF	A	Dividend	J	T	Buy (add'l)	10/18/19	J		
15. -Van Eck Vectors Long Muni Index ETF	B	Dividend	L	T	Buy	12/16/19	L		
16. -Vanguard Global EX US ETF	A	Dividend	J	T					
17. -Vanguard Sm. Cap. ETF	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -Vanguard S&P 500 ETF	A	Dividend	K	T					
19. -XTrackers HiYLD Corp. Bnd. ETF	A	Dividend			Sold	12/09/19	J	A	
20. -Invesco FTSE RAFI Dev. Mkts. ETF	A	Dividend			Buy	01/07/19	J		
21.					Sold	08/15/19	J		
22. -Invesco RAFI Emerg. Mkts. ETF	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
23. -Invesco RAFI U.S. 1000 ETF	A	Dividend	K	T					
24. -Invesco RAFI U.S. 1500 ETF	A	Dividend	J	T					
25. -iShares Natl. Muni Bond ETF	A	Dividend	K	T	Buy	12/06/19	K		
26. -iShares Core MSCI Emerg. ETF	A	Dividend	J	T					
27. -iShares Core US REIT ETF	A	Dividend	J	T					
28. -SPDR Muni Bond ETF	A	Dividend			Sold	12/09/19	K	B	
29. -SPDR TIPS ETF	A	Dividend			Sold	10/18/19	J	A	
30. -SPDR EMERG. MKTS. ETF	A	Dividend	J	T					
31. Bank OZK Account	D	Interest	N	T					
32. Comm. Natl. Accounts	A	Interest			Closed	05/29/19	J		
33. Wells Fargo Accounts	A	Interest	K	T					
34. Guaranty Bond Accounts	D	Interest	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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35. SEP #1 (H)									
36. Amer. Funds. The Bond Fund of America	A	Dividend	K	T					
37. Amer. Funds Capital Income Building	A	Dividend			Sold	10/28/19	K		
38. Amer. Funds Capital World Growth & Income	B	Dividend	M	T					
39. Amer. Funds Europacific Growth Fund	A	Dividend	K	T					
40. Amer. Funds The Growth Fund of America	B	Dividend	M	T	Buy (add'l)	10/28/19	K		
41. Amer. Funds New Perspective Fund	A	Dividend	K	T					
42. Amer. Funds Washington Mutual Investors Fund	B	Dividend	L	T					
43. 403(b) #1 (H)									
44. -Capital Group Growth Portfolio-A	A	Dividend	K	T					
45. Real Estate Parcel 1 - Texarkana, TX (2011 \$180,000)(X)		None			Sold	09/05/19	M	D	
46. Farmers Bank Account	D	Interest	N	T					
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert W. Schroeder III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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