

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sweeney II, James R.	2. Court or Organization U.S. District Court, Southern District of Indiana	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 46 E. Ohio Street Indianapolis, IN 46204		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Immediate Past President	The Reserve Officers Association of the United States
2. Chair	ROA STARS (Standing Together for Americas Reservists) Foundation
3. Member	Atir Semaj LLC
4. Member	Brebeuf Preparatory School Alumni Board
5. Member	Homeless Veterans Assistance Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Barnes & Thornburg LLP-Partnership Agreement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	George Mason University, Scalia Law School	04/25/19-04/26/19	Washington, DC	Inaugural Scalia Forum	Transportation, meals, lodging
2.	George Mason University, Scalia Law School, Law & Economics Center	10/16/19-10/20/19	Santa Fe, NM	Workshop	Transportation, meals, lodging
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Individual Assets (H)									
2. Alliant FCU (cash)	A	Interest	M	T					
3. BT Cash Balance Plan (cash)		None			Closed	01/11/19	N		
4. First Financial Bank (formerly Mainsource Bank) (cash)	A	Interest	K	T					
5. NFCU (cash)	A	Interest	P1	T					
6. Navy Mutual Aid Association (whole life insurance policy)	A	Dividend	N	T					
7. Gard Group LLC		None	K	V					
8. Account #1 (H)									
9. Materra LLC (02657429)		None	M	U					
10. Account #2 (H)									
11. Health Equity HSA		None	K	T					
12. Account #3 (H)									
13. Stifel Insured Bank Account (cash)	A	Interest	J	T					
14. IVA Worldwide CI A (IVWAX)	D	Dividend	M	T					
15. Account #4 (H)									
16. Stifel Insured Bank Account (cash)	A	Interest	J	T					
17. ADOBE INC (ADBE)		None	K	T	Sold (part)	02/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18.					Sold (part)	07/16/19	J		
19. ALIBABA GROUP HOLDING LTD SPONS ADS (BABA)		None	J	T	Buy	04/03/19	J		
20. ALPHABET INC CL A (GOOGL)		None	K	T	Sold (part)	07/16/19	J		
21. ALTRIA GROUP INC (MO)	A	Dividend			Sold	07/16/19	J		
22. AMAZON.COM INC (AMZN)		None	J	T					
23. APPLE INC (AAPL)	A	Dividend	K	T	Buy (add'l)	07/16/19	J		
24. BANK OF AMERICA CORP (BAC)	A	Dividend			Sold	01/10/19	J		
25. BERKSHIRE HATHAWAY INC CL B (BRK/B)		None	K	T	Sold (part)	04/03/19	J		
26. BIOGEN INC (BIIB)		None			Sold (part)	01/11/19	J		
27.					Sold (part)	04/25/19	J		
28.					Sold	05/09/19	J		
29. CBOE GLOBAL MARKETS INC (CBOE)		None			Sold	01/10/19	J		
30. CHURCHILL DOWNS INC (CHDN)	A	Dividend	K	T					
31. COMCAST CORP CL A NEW (CMCSA)	A	Dividend	K	T					
32. COSTAR GROUP INC (CSGP)		None	K	T	Sold (part)	04/03/19	J		
33. WALT DISNEY CO (DIS)	A	Dividend	K	T	Buy (add'l)	07/16/19	J		
34. ELECTRONIC ARTS INC (EA)		None	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. FACEBOOK INC CL A (3030M102)		None	K	T	Buy	01/11/19	J		
36.					Buy (add'l)	10/15/19	J		
37. HUNTINGTON INGALLS INDS INC (HII)	A	Dividend	J	T					
38. IAC/INTERACTIVECORP (44919P508)		None	J	T	Buy	11/04/19	J		
39. INTEL CORP (INTC)	A	Dividend	K	T					
40. INTERCONTINENTAL EXCHANGE INC (ICE)	A	Dividend	K	T					
41. INTUITIVE SURGICAL INC (ISRG)		None	J	T					
42. KEYCORP (KEY)	A	Dividend			Sold (part)	04/03/19	J	A	
43.					Sold	10/10/19	J	B	
44. LVMH MOET HENNESSY LOUIS VUITTON ADR (LVMUY)	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
45.					Buy (add'l)	10/16/19	J		
46. ELI LILLY & CO (532457108)	A	Dividend	J	T	Buy	06/05/19	J		
47. FIAT CHRYSLER AUTOMOBILES N V (FCAU)	A	Dividend			Sold	05/23/19	J		
48. MGM RESORTS INTL (MGM)	A	Dividend	J	T					
49. MADISON SQUARE GARDEN CO CL A (MSG)		None	J	T					
50. MASTERCARD INC CL A (MA)	A	Dividend	K	T					
51. MERCK & COMPANY INC (MRK)	A	Dividend	K	T					

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52. MICROSOFT CORP (MSFT)	A	Dividend	K	T					
53. MOODYS CORP (MCO)		None			Sold	01/11/19	J		
54. MOTOROLA SOLUTIONS INC NEW (620076307)	A	Dividend	J	T	Buy	01/11/19	J		
55.					Buy (add'l)	04/03/19	J		
56. NORTHROP GRUMMAN CORP (NOC)	A	Dividend	K	T					
57. PAYPAL HOLDINGS INC (PYPL)		None	J	T					
58. PFIZER INC (PFE)	A	Dividend	K	T					
59. PIONEER NATURAL RESOURCES CO (PXD)		None			Sold	02/14/19	J	A	
60. REGIONS FINANCIAL CORP (RF)	A	Dividend			Sold (part)	01/11/19	J	A	
61.					Sold (part)	04/05/19	J	A	
62.					Sold	10/10/19	J	C	
63. SHENZHOU INTL GROUP HOLDINGS LTD ADR (82322R109)	A	Dividend	J	T	Buy	04/03/19	J		
64.					Buy (add'l)	10/16/19	J		
65. SIRIUS XM HLDGS INC (SIRI)	A	Dividend	J	T					
66. STANLEY BLACK & DECKER INC (SWK)	A	Dividend	J	T					
67. TENCENT HOLDINGS LTD UNSPON ADR (8803Q109)	A	Dividend	J	T	Buy	04/03/19	J		
68. TEXAS INSTRUMENTS INC (TXN)	A	Dividend	J	T					

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69. TWITTER INC (90184L102)		None	J	T	Buy	01/10/19	J		
70.					Buy (add'l)	10/24/19	J		
71. UNION PACIFIC CORP (UNP)	A	Dividend	K	T					
72. UNITEDHEALTH GROUP INC (UNH)	A	Dividend	K	T	Buy (add'l)	10/15/19	J		
73. WALMART INC (WMT)	A	Dividend	K	T	Buy (add'l)	05/23/19	J		
74. WASTE MANAGEMENT INC DEL (94106L109)	A	Dividend	J	T	Buy	05/23/19	J		
75. ZEBRA TECHNOLOGIES CORP CL A (ZBRA)		None	J	T	Buy (add'l)	05/23/19	J		
76. PERNOD RICARD (PDRDF)	A	Dividend	K	T	Buy (add'l)	10/16/19	J		
77. FERRARI NV (RACE)	A	Dividend	K	T	Buy (add'l)	10/16/19	J		
78. ISHARES U S OIL & GAS EXPLORATION & PRODUCTION ETF (464288851)	A	Dividend	J	T	Buy	11/22/19	J		
79. SOUTHWEST AIRLINES CO (LUV)	A	Dividend			Sold	01/10/19	J	B	
80. VAIL RESORTS INC (MTN)	A	Dividend			Sold	04/03/19	J		
81. VIPER ENERGY PARTNERS LP (92763M105)	A	Dividend			Buy	02/14/19	J		
82.					Buy (add'l)	07/16/19	J		
83.					Sold	11/21/19	J		
84. Account #5 (H)									

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85. Fidelity Low-Priced Stock (FLPSX)	C	Dividend	L	T					
86. Harbor Capital Appr Ret (HNACX)	B	Dividend	J	T					
87. Keybank EB Magic 20 Fund (EBMGICX)		None	N	T	Buy (add'l)	01/11/19	N		
88. Pimco Total Return Instl (PTTRX)	D	Dividend	N	T	Buy (add'l)	01/11/19	J		
89. Schwab Treas Infl-Prot Sec Idx	C	Dividend	M	T	Buy (add'l)	01/11/19	J		
90. Vanguard Retirement Inc Instl (VTINX)	A	Dividend	L	T					
91. Vanguard Windsor II Fund (VWNAX)	E	Dividend	M	T	Buy (add'l)	01/11/19	J		
92. Fidelity 500 Index Institutional	A	Dividend	M	T					
93. Account #6 (H)									
94. Ferrarri NV (RACE)	A	Interest	J	T	Buy (add'l)	10/16/19	J		
95. Alibaba Group Holding LTD (BABA)		None	J	T	Buy	04/03/19	J		
96. Amazon Com Inc (AMZN)		None	J	T					
97. Bank of America Corp (BAC)		None			Sold	01/10/19	J	B	
98. CBOE Global Markets Inc (CBOE)		None			Sold	01/10/19	J	A	
99. Churchill Downs Inc (CHDN)	A	Dividend	J	T					
100. LVMH Moet Hennessy Louis (LVMUY)	A	Dividend	J	T	Buy (add'l)	10/16/19	J		
101. MGM Resorts Intl (MGM)	A	Dividend	J	T					

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102. Madison Square Garden Co (MSG)		None	J	T					
103. Shenzhou Internati-Unsp Adr (SHYHY)	A	Dividend	J	T	Buy	04/03/19	J		
104.					Buy (add'l)	10/16/19	J		
105. Pernod Ricard (PDRDF)	A	Dividend	J	T	Buy (add'l)	10/16/19	J		
106. Walmart Inc (WMT)	A	Dividend	J	T					
107. Berkshire Hathaway Inc (BRKB)		None	J	T	Sold (part)	04/03/19	J	A	
108. Intercontinental Exchange Inc (ICE)	A	Dividend	J	T					
109. Intuitive Surgical Inc (ISRG)		None	J	T	Sold (part)	02/14/19	J		
110. Lilly Eli & Co (LLY)	A	Dividend	J	T	Buy	06/05/19	J		
111. Merck & Co Inc (MRK)	A	Dividend	J	T					
112. Pfizer Inc (PFE)	A	Dividend	J	T					
113. Unitedhealth Group Inc (UNH)	A	Dividend	J	T	Buy (add'l)	10/15/19	J		
114. Costar Group Inc (CSGP)		None	J	T	Sold (part)	04/03/19	J	A	
115. Huntington Ingalls Inds Inc (HII)	A	Dividend	J	T					
116. Northrop Grumman Corp (NOC)	A	Dividend	J	T					
117. Southwest Airlines Co (LUV)	A	Dividend			Sold	01/10/19	J		
118. Stanley Black & Decker Inc (SWK)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119. Union Pacific Corp (UNP)	A	Dividend	J	T					
120. Waste Management Inc (IWM)	A	Dividend	J	T	Buy	05/23/19	J		
121. Adobe Systems Inc (ADBE)		None	J	T	Sold (part)	02/14/19	J	A	
122.					Sold (part)	07/16/19	J		
123. Apple Inc (AAPL)	A	Dividend	K	T	Buy (add'l)	07/16/19	J		
124. Biogen Inc (BIIB)		None			Sold (part)	01/11/19	J	A	
125.					Sold (part)	04/25/19	J		
126.					Sold	05/21/19	J		
127. Fiat Chrysler Auto (FCAU)	A	Dividend			Sold	05/23/19	J		
128. Intel Corp (INTC)	A	Dividend	J	T					
129. Mastercard Inc Cl A (MA)	A	Dividend	J	T	Sold (part)	04/03/19	J	B	
130.					Sold (part)	07/16/19	J		
131. Microsoft Corp (MSFT)	A	Dividend	K	T					
132. Paypal Holdings Inc-W/I (PYPL)		None	J	T	Sold (part)	04/03/19	J	A	
133. Regions Financial Corp (RF)	A	Dividend			Sold (part)	04/03/19	J	A	
134.					Sold	10/10/19	J	B	
135. Tencent Holdings Ltd (TCEHY)	A	Dividend	J	T	Buy	04/03/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Sweeney II, James R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
136. Texas Instruments Inc (TXN)	A	Dividend	J	T					
137. Twitter Inc (TWTR)		None	J	T	Buy	01/10/19	J		
138.					Buy (add'l)	10/24/19	J		
139. Zebra Technologies Corp Class A (ZBRA)		None	J	T	Sold (part)	04/25/19	J	A	
140.					Buy (add'l)	05/23/19	J		
141. Alphabet Inc/CA-CL A (GOOGL)		None	K	T	Sold (part)	07/16/19	J		
142. Altria Group Inc (MO)	A	Dividend			Sold	07/16/19	J		
143. Comcast Corp (CMCSA)	A	Dividend	J	T					
144. Disney Walt Co (DIS)	A	Dividend	J	T	Buy (add'l)	07/16/19	J		
145. Electronic Art (EA)		None	J	T					
146. Facebook Inc (FB)		None	J	T	Buy	01/11/19	J		
147.					Buy (add'l)	10/16/19	J		
148. IAC/InteractiveCorp-W/I (IAC)		None	J	T	Buy	11/04/19	J		
149. Keycorp New (KEY)	A	Dividend			Sold (part)	04/03/19	J	A	
150.					Sold	10/10/19	J	A	
151. Moody's Corp (MCO)		None			Sold	01/11/19	J		
152. Motorola Solutions Inc (MSI)	A	Dividend	J	T	Buy	01/11/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.					Buy (add'l)	04/03/19	J		
154. Pioneer Nat Res (PXD)		None			Sold	02/14/19	J		
155. Sirius XM Holdings (SIRI)	A	Dividend	J	T					
156. Vail Resorts Inc (MTN)	A	Dividend			Sold	04/03/19	J	A	
157. Viper Energy Partners LP (VNOM)	A	Dividend	J	T	Buy	02/14/19	J		
158. Account #7 (H)									
159. Stifel Insured Bank Account (cash)	A	Interest	J	T					
160. FISHERS IN REDEV DIST EXIT 10 INFRA SER A B/E OID (33803KBB0)	A	Interest	J	T					
161. INDIANA ST FIN AUTH REV RFDG FACS SER C B/E Cpn 5.000% (45506DBD6)	A	Interest	K	T					
162. INDIANA ST FIN AUTH REV RFDG EDL FAC PURDUE RESH FNDTN (4550575W5)	A	Interest			Redeemed	07/01/19	J		
163. INDIANAPOLIS IN LOC PUB IMPT BD BK RFDG SER E REV AMBAC (45528SYH3)	A	Interest			Redeemed	08/01/19	J		
164. HAMILTON STHESTRN IN CONS SCH BLDG CORP REV RFDG 1ST MTG (40785EJ49)	B	Interest	K	T					
165. CARLISLE SULLIVAN IN SCH BLDG CORP RFDG 1ST MTG REV (142523BX6)	B	Interest	K	T					
166. FRANKLIN TWP IN MULT SCH BLDG CORP RFDG B/E 1ST MTG SER A (355137BU3)	A	Interest	J	T					
167. CROWN POINT IN MLTI SCH BLDG CORP REV RFDG 1ST MTG B/E (228485KN7)	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Sweeney II, James R.

Date of Report

08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
168. IVY TECH CMNTY CLLG IN RFDG STDNT FEE SER O REV B/E (46603ACS8)	A	Interest	K	T					
169. GREENFIELD IN SEW WKS REV B/ E PTC Cpn 3.000% Due 01/01/26 (395028DP5)	A	Interest	K	T					
170. HAMILTON STHESTRN IN B/E CONS SCH BLDG CORP RFDG 1ST MTG (40785EWZ5)	B	Interest	K	T					
171. PLAINFIELD IN CMNTY HIGH SCH BLDG CORP RFDG 1ST (726286EM5)	A	Interest	K	T					
172. DECATUR TWP MARION CNTY IN MULT SCH BLDG CORP 1ST (243360EZ3)	A	Interest	K	T					
173. SOUTH ADAMS IN SCH BLDG CORP 1ST MTG RFDG & IMP (836193DB5)	A	Interest	K	T					
174. MT VERNON IN SCH BLDG CORP RFDG (623495EQ7)		None	K	T	Buy	08/22/19	K		
175. Account #8 (H)									
176. Stifel Insured Bank (cash)	A	Interest	L	T					
177. BANK OF INTERNET USA SAN DIEGO CA CD FDIC #35546 Cpn 3.600 (06279LAT1)	A	Interest			Redeemed	12/16/19	J		
178. CAPITAL ONE BANK USA NA GLEN ALLEN VA CD FDIC #33954 (140420TX8)	A	Interest	K	T					
179. BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC #35141 (05580ADF8)	A	Interest	K	T					
180. BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC #35141 (05580ADU5)	A	Interest	J	T					
181. CIT BANK SALT LAKE CITY UT CD FDIC #35575 Cpn 4.000% (17284P2S3)	A	Interest			Redeemed	03/18/19	J		
182. Account #9 (H)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
183. Schwab Cash & Money Market Account (cash)	A	Interest	L	T					
184. COHEN & STEERS CLOSED EN (FOF)	A	Dividend	J	T					
185. VANGUARD EXTENDED DURATION ETF (EDV)	A	Dividend	J	T					
186. CALL PROSHR (X) (Y)									
187. CALL B W X TECHNOLOGIES I		None			Buy	08/07/19	J		
188.					Buy (add'l)	08/08/19	J		
189.					Sold	09/03/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Sweeney II, James R.

Date of Report

08/11/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 7: Valuation is based upon the original purchase price.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Fidelity 500 Index Institutional was substituted by the plan sponsors for Vanguard Inst Index Fund (VINIX). This substitution was exclusively the result of corporate action during the 4th quarter of 2019; no further information has been provided to the filer.

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Sweeney II, James R.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James R. Sweeney II**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544