

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Yeakel III, Earl L.	2. Court or Organization District Court, W.D. Texas	3. Date of Report 05/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 501 West 5th Street Austin, Texas 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	University of Texas Longhorn Foundation Advisory Council
2. Member	Texas Commission on Uniform State Laws
3. Member	National Conference of Commissioners on Uniform State Laws
4. Member	Board of Advisors, Austin Lawyers Chapter, The Federalist Society
5. President	Hon. Lee Yeakel Intellectual Property American Inn of Court
6. Trustee	American Inns of Court Foundation
7. Trustee & Member of Executive Committee	Headliners Club of Austin

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Employees Retirement System of Texas - Retirement
2. 2019	Fisher Winslow Risk Advisors - Commission Sales
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Commissioners on Uniform State Laws	January 23-27, 2019	Tucson, Arizona	Committee on Style Meeting	Transportation, Meals, & Lodging
2.	Austin College	February 19-21, 2019	Sherman, Texas	Austin College Law Symposium	Transportation, Meals, & Lodging
3.	National Conference of Commissioners on Uniform State Laws	May 2-5, 2019	Washington, D.C.	Committee on Style Meeting	Transportation, Meals, & Lodging
4.	National Conference of Commissioners on Uniform State Laws	June 16-July 3, 2019	The Hague, The Netherlands	Hague Convention on Recognition & Enforcement of Foreign Judgments	Transportation, Meals, & Lodging

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5.	National Conference of Commissioners on Uniform State Laws	September 4-7, 2019	Chicago, Illinois	Committee on Style Meeting	Transportation, Meals, & Lodging
6.	The Eastern District of Texas Bar Association	September 22-25, 2019	Plano, Texas	Eastern District of Texas Bench-Bar Conference	Transportation, Meals, & Lodging
7.	Houston Intellectual Property Law Association	September 26-28, 2019	Galveston, Texas	35th Annual Fall Institute on Intellectual Property Law	Transportation, Meals, & Lodging
8.	National Conference of Commissioners on Uniform State Laws	October 31-November 1, 2019	Scottsdale, Arizona	International Legal Developments Committee Meeting	Transportation, Meals, & Lodging
9.	George Mason University Foundation, Inc.	December 7-14, 2019	Honolulu, Hawaii	Judicial Educational Series Program, Economics from Classroom to Courtroom	Transportation, Meals, & Lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SCHW Common Stock	A	Dividend	J	T					
2. CVX Common Stock	C	Dividend	M	T					
3. XOM Common Stock	A	Dividend			Sold	07/05/19	K	D	
4. Berkshire Hathaway, Inc. Class B Common Stock		None	K	T	Buy	07/05/19	K		
5. F Common Stock	A	Dividend	J	T					
6. MSFT Common Stock	A	Dividend	L	T					
7. Rogers Communications, Inc. Class B Common Stock	A	Dividend	K	T					
8. Royal Dutch Shell PLC Common Stock	B	Dividend	K	T					
9. LUV Common Stock	C	Dividend	N	T					
10. SPND.OB Common Stock		None	J	T					
11. DIS Common Stock	B	Dividend	L	T					
12. Fidelity Blue Chip Growth Mutual Fund	A	Dividend	M	T					
13. Fidelity Blue Chip Growth Mutual Fund	C	Distribution	M	T					
14. Fidelity China Region Mutual Fund	A	Dividend	K	T					
15. Fidelity New Millenium Mutual Fund	A	Dividend	K	T					
16. Fidelity New Millennium Mutual Fund	C	Distribution	K	T					
17. Fidelity Municipal Money Market Mutual Fund	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Fidelity Gov't Money Market Premium Class	A	Dividend	K	T					
19. Vanguard Total Stock Market Index Admiral Mutual Fund	A	Dividend	K	T					
20. Prosperity Bank (Checking)	A	Interest	K	T					
21. Wells Fargo Bank (Checking)	A	Interest	J	T					
22. Wells Fargo Bank (Checking)	A	Interest	J	T					
23. Wells Fargo Bank (Savings)	C	Interest	M	T					
24. IBC Bank (Checking)		None	J	T					
25. Federated Gov't Obl. Tax Mgd. Fund #636	A	Dividend	J	T					
26. Federal Farm Credit Bank 3.45% Note	B	Interest	K	T					
27. U.S. Treasury 5.5% Note	D	Interest	M	T					
28. Vanguard Wellesley Inc. Fund Admiral Shares	B	Dividend	L	T					
29. Luby's Inc.		None	J	T					
30. United States Series I Savings Bonds	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Earl L. Yeakel III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544