

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J.	2. Court or Organization Eastern District of California	3. Date of Report 02/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 02/02/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Family Trust, inclusive of ALL assets listed in Part VII. In addition, Wells Fargo checking and savings accounts, and residences owned.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	State of California Judges Retirement Plan (pension commenced at age 63 in September, 2016)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T					
2. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
3. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T					
4. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T					
5. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T					
6. American Fund New World (Schwab)(IRA)	A	Interest	J	T					
7. Schwab US Treasury Money fund (IRA)	A	Interest	K	T					
8. Wells Fargo Bank Trust #1	A	Interest	J	T					
9. RS Global Natural (Schwab) IRA	A	Interest	J	T					
10. First Eagle Overseas (Schwab)	A	Interest	J	T					
11. American Funds New World (Schwab) IRA	A	Dividend	J	T					
12. Kensington Select Income (Schwab) IRA	A	Dividend	K	T					
13. Clovis Community Bank	A	Interest			Sold	02/14/19	J		
14. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	T					
15. Wells Fargo Bank, acct #2	A	Interest	J	T					
16. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
17. Macwest Riverside Housing Inv, LLC	A	Distribution	M	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. California State University Revenue Muni Bond	A	Interest	K	T					
19. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
20. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
21. San Francisco Intl Airport muni Bond	A	Interest	J	T					
22. St. Louis MO Airport muni bond	A	Interest	J	T					
23. Bank of America Sub Not corporate bonds	B	Interest	K	T					
24. GTE North Inc bond	A	Interest	J	T					
25. Key Bank corporate bond	B	Interest			Sold	02/14/19	K		
26. Laclede Gas Co 1st mortgage	B	Interest	J	T					
27. Pacific Bell corp bond	B	Interest	K	T					
28. Providence Gas Co bond	A	Interest	J	T					
29. Prudential Finl Inc corporate bond	B	Interest	K	T					
30. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
31. American Funds, Fund Inv F-1	A	Dividend	K	T					
32. Capital World Growth and Income mutual fund	A	Interest	J	T					
33. Pureto Rico Convention Center bonds	B	Interest	K	T					
34. Contra Costa Community College bonds	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Encinitas Ca Public Library Construction Bonds	A	Interest	J	T					
36. Schwab Govt Money Fund: IRA--Schwabb	A	Interest	K	T					
37. Morgan Stanley CD (Schwab)	B	Interest	K	T					
38. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					
39. TCW Total Return Bond (schwab) IRA	C	Interest	K	T					
40. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T					
41. Loomis Sayles Bond Cl (Schwab) (IRA)	B	Dividend	K	T					
42. Tweedy Brown Global Fund (schwab--IRA)	B	Dividend	K	T					
43. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
44. Pimco All Asset Fund, Instit class Schwab	B	Interest			Sold	02/14/19	K		
45. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
46. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					
47. Dublin, Calif School Securities	A	Dividend	K	T					
48. First Eagle Overseas IRA (Schwab)	A	Dividend			Sold	02/14/19	K		
49. T Rowe Price new ERA FD	A	Dividend	J	T					
50. State of California Govt Bond	B	Interest	K	T					
51. JP Morgan Highbridge Stat Mkt	A	Dividend	K	T					

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52. Pimco Emrg Local Bd	A	Interest			Sold	06/08/19	K		
53. California Health stock	B	Dividend	K	T					
54. T Rowe Price New Income Fund	C	Dividend	K	T					
55. Permanent Portfolio	A	Dividend	K	T					
56. Berwyn Income Fund	A	Dividend	K	T					
57. American Fund New World	A	Dividend	J	T					
58. Vanguard Ca Interim Term Tax Exempt FD (Schwab)	B	Interest			Sold	06/18/19	L		
59. American Fund New World	B	Interest	K	T					
60. Osterweis Strategic Income Fund	B	Interest	K	T					
61. Highmark Generva Mid Cap Growth	C	Interest	M	T					
62. Merger Fund Reinv Divs	B	Dividend	L	T					
63. RS Global Natural	B	Interest	K	T					
64. Royce PA Mutual Fund	B	Interest	K	T					
65. Dodge and Cox Stock Fund	C	Dividend	M	T					
66. Pimco Emrg Local Bond Fund	C	Interest	L	T					
67. Saratoga California UN	C	Interest	L	T					
68. Pimco Emrg Local Bd Fd Instl C1	A	Interest			Sold	02/19/19	K		

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69. T Rowe Price New Income Fund	A	Interest	K	T					
70. Market Vectors ETF Trustgold Miners	A	Interest	J	T					
71. Berwyn Income Fund	A	Int./Div.	K	T					
72. T Rowe Price Short Term Bond	B	Interest	L	T					
73. Primecap Odyssey Growth	C	Int./Div.	M	T					
74. Tweedy Browne Global	C	Interest			Sold	06/18/19	K		
75. FPA New Income Cl A	C	Interest	L	T					
76. American Fund Cap World	C	Interest			Sold	06/19/19	L		
77. American Fund Cap World	C	Int./Div.			Sold	02/14/19	K		
78. BMW North America Bk	C	Interest	M	T					
79. Longleaf Partners Small Cap Fund	A	Interest	K	T					
80. Market Vectors ETF Trustgold Miners Fund	A	Interest	K	T					
81. American Fund Fundamental Inv Fd	C	Interest			Sold	02/14/19	N		
82. American Fund New World Fd CL F1	B	Int./Div.			Sold	06/19/19	M		
83. Berwyn Income Fund Reinv Divs/Reinv	B	Dividend	L	T					
84. Vanguard Inflation	B	Interest			Sold	02/14/19	L		
85. Osterweis Strategic Income Fund	B	Int./Div.	L	T					

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86. Bank of China NY	D	Int./Div.	M	T					
87. Osterweis Strategic Income Fund	B	Int./Div.	L	T					
88. Primecap Idyssey Growth	B	Int./Div.	K	T					
89. American Fund New World	B	Int./Div.	L	T					
90. FPA New Income CL	B	Int./Div.	M	T					
91. Exchange Trad FD	A	Int./Div.	J	T					
92. Vanguard MSCI	A	Int./Div.			Sold	06/19/19	J		
93. iShares TR Barclays Tipsbonds	B	Int./Div.	K	T					
94. iShares TR Barclays, Treasury Bond Fund	A	Int./Div.	J	T					
95. SPDR Barclays Short Term Corp bond	B	Int./Div.	K	T					
96. Vangurad MSCI EAFE ETF	A	Int./Div.			Sold	06/19/19	J		
97. Blackrock Global	D	Int./Div.	M	T					
98. American FD Cap World F1	B	Int./Div.			Sold	02/14/19	K		
99. American FD Fund Inv Fd CL F-1	C	Int./Div.	M	T					
100. American Fund New World Fd Cl F1	B	Dividend	K	T					
101. Dodge and Cox Stock Fund	B	Dividend	L	T					
102. First Eagle Gold Fund CL A	A	Int./Div.			Sold	02/14/19	L		

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103. Highmark Geneva Mid Cap Gwth A	C	Int./Div.	L	T					
104. Pimco Emrg Local Bd Fd	B	Int./Div.			Sold	02/14/19	L		
105. RS Global Natural	A	Int./Div.	K	T					
106. T Rowe Price New Era Fd	B	Int./Div.	L	T					
107. Primecap Odyssey Growth	B	Interest	K	T					
108. American FD Cap World	A	Int./Div.			Sold	06/18/19	K		
109. Forward Select Income	C	Int./Div.	K	T					
110. Berwyn Income Fund	C	Int./Div.	K	T					
111. Egshares ETF	A	Int./Div.	J	T					
112. First Eagle Gold Fund	C	Int./Div.			Sold	06/18/19	M		
113. Santa Clara, Calif Uni School bonds	B	Int./Div.	L	T					
114. T.Rowe Price New Income Fund	D	Int./Div.	M	T					
115. Vanguard Primecap Core Fund	B	Int./Div.			Sold	02/14/19	K		
116. Pimco ETF	A	Interest	J	T					
117. Ally Bank Common Stock	B	Int./Div.	M	T					
118. Goldman Sachs Bank Common Stock	C	Int./Div.	M	T					
119. Fifth Third Bank Common Stock	B	Int./Div.	M	T					

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120. Spdr Gold Trust	A	Dividend	K	T					
121. Market Vectors ETF Trustgold Miners Fund	C	Int./Div.	L	T					
122. GE Money Bank Common Stock	B	Int./Div.	M	T					
123. MSCI UTD Kingdm United Kingdom Index Fund	A	Int./Div.	K	T					
124. ishares TR Russell 2000 Index fund	A	Int./Div.			Sold	02/14/19	K		
125. SPDR Barclarys ETF	A	Int./Div.	K	T					
126. Microsoft Stock	E	Dividend	M	T					
127. Pepsico Stock	D	Dividend	M	T					
128. Berwyn Income Fund	E	Dividend	O	T					
129. Primecap Odyssey Growth	E	Dividend	P1	T					
130. Premecap Odyssey Growth	E	Dividend			Sold	06/18/19	O		
131. Selma, Ca Bonds	D	Int./Div.	M	U					
132. Sierra Kings Hospital Bonds	D	Int./Div.	M	U					
133. Vacaville, Cal Bonds	D	Int./Div.	M	U					
134. Empire Business Park, Pittsburg, Cal : see sec VIII re appraisal	G	Distribution	P2	Q					
135. Kingsburg, Ca Muni Bonds	C	Int./Div.	L	U					
136. Mendota, Ca Muni Bonds	D	Int./Div.			Sold	02/14/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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O'Neill, Lawrence J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Poway Muni Bonds	D	Int./Div.	M	U					
138. Tejon Ranch Muni Bond	D	Int./Div.			Sold	02/14/19	M		
139. Waxco, Ca Munit Bonds	D	Int./Div.	M	U					
140. Wisdomtree JPN Hdgd Eqty Fnd	A	Int./Div.	K	T					
141. Huntington Beach, Ca Bonds	D	Int./Div.	M	T					
142. IShares China Large Cap	B	Int./Div.	K	T					
143. IShares TR MSCU Untd Kngdm	B	Int./Div.	K	T					
144. Arctrust Properties, Inc	C	Royalty	N	T					
145. IShares Core MSCI EAFE	E	Interest	O	T					
146. Ishares 3-7 yr Treasury Bond ETF	B	Int./Div.	K	T					
147. Sch Adv Cash Res Prem	A	Int./Div.	J	T					
148. Ishares Core MSCI EAFE ETF	E	Int./Div.	O	T					
149. Dixon CA USD Bonds	C	Int./Div.	L	T					
150. Ross VY CA SD 0% Bonds	D	Interest	M	T					
151. Vanguard Total Stock Mkt ETF	A	Int./Div.			Sold	06/18/19	J		
152. IShares TR MSCI UK ETF	A	Int./Div.	J	T					
153. S. San Francisco USD Go	D	Int./Div.	M	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Napa Vy CCD Dist Go	D	Int./Div.	M	T					
155. Glendale Ca USC Go	D	Int./Div.	M	T					
156. Ishares MSCI AI Cry Wrld Min ETF	C	Int./Div.	K	T					
157. SEI GLBL MGD Volatility	D	Int./Div.	M	T					
158. Aspiriant Risk MGD Equity Alloc	E	Int./Div.			Sold	02/14/19	M		
159. Aspiriant Risk MGD Equity Alloc	E	Int./Div.			Sold	06/18/19	N		
160. Aspiriant Risk MGD Muni Bond	F	Int./Div.	P1	T	Sold (part)	07/15/19	M		
161. same as 160, above (X)	D	Int./Div.	N	T	Buy	05/09/19	N		
162. Clovis Unified School Dist Bonds	D	Int./Div.	M	T					
163. Metro West Bond	C	Int./Div.	L	T					
164. Vanguard Total Bond Mkt ETF	B	Int./Div.			Sold	05/09/19	K		
165. DFA Intl Core Eqty Port	B	Int./Div.	K	T					
166. DFA Emerging Mksts Core Eqty Port	C	Int./Div.	L	T					
167. Aspiriant Risk Mgd Eqty Alloc Fd	D	Int./Div.			Sold	06/18/19	L		
168. iSharesNatl Muni Bond	F	Int./Div.	P1	T					
169. Schwabb Ca Muni Money Fd Investors Shares	D	Int./Div.	N	T					
170. Schwab Ca Muni Money FD Investor Shares	E	Int./Div.	N	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Schwab Ca Muni Money FD Investor Shares	E	Int./Div.	M	T					
172. DFA Intl Core Eqty Port Instl	E	Int./Div.	N	T					
173. same as 172, above (X)	B	Int./Div.	K	T	Buy	05/09/19	K		
174. same as 172, above (X)	B	Int./Div.	K	T	Buy	05/09/19	K		
175. Vanguard Interm Term Tax Exempt	E	Int./Div.			Sold	06/18/19	N		
176. IQ Hedge Multi Strategy Tracker ET	E	Int./Div.	O	T					
177. IShares National Muni Bond ETF	F	Int./Div.	P1	T					
178. I shares national muni Bond ETF	F	Int./Div.	P1	T					
179. Vanguard Total Bond Marker ETF (X)	B	Int./Div.	K	T	Buy	05/10/19	K		
180. Aspiriant Risk MGD EQTY	B	Int./Div.	K	T	Buy	05/09/19	K		
181. Schwab Ca Muni Money FD Investor Shares	E	Int./Div.			Sold	02/14/19	N		
182. Aspiriant Risk MDG Muni Bond	F	Int./Div.			Sold	02/14/19	O		
183. Schwab Ca Muni Money FD short term Investor Shares (X)	E	Int./Div.	O	T	Buy	07/01/19	O		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Argonaut Investment at VII, line 17 was purchased more than 30 years ago (prior to taking the Bench) at an initial investment of \$50,000.

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All of the assets in Part VII are in the Living Trust referred to in Part I, line 1.

Part VII, Line 146: This property was inherited in 2014, after having been formally appraised on 01-24-2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence J. O'Neill**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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