

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stong, Elizabeth S.	2. Court or Organization U.S. Bankruptcy Court, EDNY	3. Date of Report 5/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. Bankruptcy Court - EDNY 271-C Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Chair, Council on the Profession	NY City Bar Association
2. Board Member	ABA Center for Innovation
3. Council Member, Membership Committee Member	American Law Institute
4. Co-Chair, Pro Bono Committee	ABA Business Law Section
5. Senior Advisory Board Member	Harvard Law School Association
6. Board Member	New York Law Institute
7. Executive Committee Member, Delegate to ABA House of Delegates	ABA Judicial Division National Conference of Federal Trial Judges
8. Board Member	Practising Law Institute
9. Board Member	P.R.I.M.E. Finance

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Brooklyn Law School - teaching	\$10,000.00
2. 2019	Fordham Law School - teaching	\$800.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Federal Reserve Bank of New York retirement benefit (██████)
2. 2019	HSBC Technology and Services Inc. (salary)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	January 17-19, 2019	Amelia Island, FL	ABA Business Law Section meeting	transportation, meals, lodging
2.	PRIME Finance	February 1-6, 2019	The Hague, Netherlands	PRIME Finance conference	transportation, meals, lodging
3.	American Bar Association	January 24-28, 2019	Las Vegas, NV	ABA Midyear meeting	transportation, meals, lodging
4.	Legal Services Corporation	March 14-15, 2019	Miami, FL	LSC Disaster Recovery Task Force field hearing	transportation, meals, lodging
5.	American Bar Association	March 26-30, 2019	Vancouver, BC Canada	ABA Business Law Section meeting	transportation, meals, lodging

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6.	PRIME Finance	March 30-April 6, 2019	Singapore, Singapore	INSOL conference	transportation, meals, lodging
7.	China University of Political Science and Law	April 27-May 1, 2019	Beijing, China	Bankruptcy colloquium and workshop	transportation, meals lodging
8.	American Bar Association Rule of Law Initiative	April 27-May 1, 2019	Manama, Bahrain	judicial technical assistance	transportation, meals, lodging
9.	American Bar Association	May 1-4, 2019	The Hague, Netherlands	World Justice Forum meeting	transportation, meals
10.	American Law Institute	May 19-22, 2019	Washington, DC	ALI Annual meeting	transportation, meals, lodging
11.	American Bar Association Rule of Law Initiative	May 26-June 3, 2019	Astana, Kazakhstan	judicial technical assistance	transportation, meals, lodging
12.	International Insolvency Institute	June 14-June 19, 2019	Barcelona, Spain	III Annual conference	transportation, meals, lodging
13.	American Bankruptcy Institute	July 11-13, 2019	Newport, RI	ABI Northeast conference	transportation, meals, lodging
14.	American Bar Association	August 7-13, 2019	San Francisco, CA	ABA Annual meeting	transportation, meals, lodging
15.	American Bankruptcy Institute	September 9-11, 2019	Washington, DC	ABI video program	transportation, meals, lodging
16.	American Bar Association	September 11-14, 2019	Washington, DC	ABA Business Law Section Annual meeting	transportation, meals, lodging
17.	Connecticut Bar Association	October 2-3, 2019	Middletown, CT	Connecticut State Bar Bankruptcy Committee meeting	transportation, meals, lodging
18.	American Bar Association	October 29-30, 2019	Chicago, IL	ABA Center for Innovation business meeting	transportation, meals, lodging
19.	American Bar Association and National Conference of Bankruptcy Judges	October 29-30, 2019	Washington, DC	ABA and NCBJ conference	transportation, meals, lodging
20.	INSOL	November 18-23, 2019	Swakopmund, Namibia	INSOL Africa Round Table	meals, lodging
21.	Turnaround Managemenet Association - Brazil	November 24-27, 2019	Sao Paulo, Brazil	TMA Brazil Annual conference	transportation, meals, lodging
22.	American Bankruptcy Institute	December 5-7, 2019	Rancho Palos Verdes, CA	ABI Winter Leadership Conference	transportation, meals, lodging
23.	George Mason University	December 7-15, 2019	Honolulu, HI	Law and Economics seminar	transportation, meals, lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. JP Morgan accounts	A	Interest	O	T					
2. Citibank accounts	D	Interest	O	T					
3. HSBC accounts	B	Interest	N	T					
4. Teachers Credit Union accounts	A	Interest	K	T					
5. Fidelity Tax Free NY Insured Bond Fund	D	Dividend	N	T					
6. AT&T Corp. common stock	B	Dividend	K	T					
7. ExxonMobil Corp. common stock	B	Dividend	L	T					
8. Comcast Corp. common stock	A	Dividend	J	T					
9. US Treas Bills 01/10/19	A	Interest			Redeemed	01/10/19	M		
10. US Treas Bills 02/28/19	A	Interest			Redeemed	02/28/19	M		
11. US Treas Bills 03/12/19	A	Interest			Buy	01/14/19	M		
12. US Treas Bills 03/12/19	A	Interest			Redeemed	03/12/19	M		
13. US Treas Bills 05/30/19	A	Interest			Buy	02/28/19	M		
14. US Treas Bills 05/30/19	A	Interest			Redeemed	05/30/19	M		
15. US Treas Bills 05/07/19	A	Interest			Buy	03/11/19	M		
16. US Treas Bills 05/07/19	A	Interest			Redeemed	05/07/19	M		
17. US Treas Bills 04/04/19	A	Interest			Buy	01/02/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. US Treas Bills 04/04/19	A	Interest			Redeemed	04/04/19	M		
19. US Treas Bills 04/30/19	A	Interest			Buy	04/03/19	M		
20. US Treas Bills 04/30/19	A	Interest			Redeemed	04/30/19	M		
21. US Treas Bills 06/04/19	A	Interest			Buy	04/30/19	M		
22. US Treas Bills 06/04/19	A	Interest			Redeemed	06/04/19	M		
23. US Treas Bills 06/25/19	A	Interest			Buy	04/30/19	M		
24. US Treas Bills 06/25/19	A	Interest			Redeemed	06/25/19	M		
25. US Treas Bills 07/02/19	A	Interest			Buy	06/13/19	M		
26. US Treas Bills 07/02/19	A	Interest			Redeemed	07/02/19	M		
27. US Treas Bills 07/09/19	A	Interest			Buy	06/13/19	M		
28. US Treas Bills 07/09/19	A	Interest			Redeemed	07/09/19	M		
29. US Treas Bills 07/30/19	A	Interest			Buy	07/01/19	M		
30. US Treas Bills 07/30/19	A	Interest			Redeemed	07/30/19	M		
31. US Treas Bills 08/27/19	A	Interest			Buy	07/01/19	M		
32. US Treas Bills 08/27/19	A	Interest			Redeemed	08/27/19	M		
33. US Treas Bills 10/03/19	A	Interest			Buy	07/08/19	M		
34. US Treas Bills 10/03/19	A	Interest			Redeemed	10/03/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. US Treas Bills 11/07/19	A	Interest			Buy	07/29/19	M		
36. US Treas Bills 11/07/19	A	Interest			Redeemed	11/07/19	M		
37. US Treas Bills 11/21/19	A	Interest			Buy	08/26/19	M		
38. US Treas Bills 11/21/19	A	Interest			Redeemed	11/21/19	M		
39. US Treas Bills 12/24/19	A	Interest			Buy	11/27/19	M		
40. US Treas Bills 12/24/19	A	Interest			Redeemed	12/24/19	M		
41. US Treas Bills 01/21/20	A	Interest	M	T	Buy	11/27/19	M		
42. US Treas Bills 02/27/20	A	Interest	M	T	Buy	11/27/19	M		
43. US Treas Bills 03/19/20	A	Interest	M	T	Buy	12/24/19	M		
44. Orange City NY Pub Impt Bds	A	Interest	K	T					
45. Metropolitan Transn Auth NY	B	Interest	K	T					
46. New York NY City Transitional Fin Auth	E	Interest			Redeemed	11/01/19	K		
47. New York NY Ser 1 Subser 1-1	B	Interest	K	T					
48. New York St Hsg Fin Agy Rev Issues	A	Interest	K	T					
49. Yonkers NY Rfdg-Sch-Ser B	A	Interest	K	T					
50. Somers NY Cent Sch Dist	A	Interest	K	T					
51. New York St Dorm Auth Pers Inc Tax Ser D	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Port Auth NY & NJ Cons Bonds	A	Interest	K	T					
53. Oneida NY Pub Impt	A	Interest	K	T					
54. East Hampton TWN NY RFDG	A	Interest	K	T					
55. Western Asset Instl Liquid Res	A	Dividend	K	T					
56. WF&G DC Plan-Legal Serv Pers-Scudder Stable Value Fund	C	Dividend	N	T					
57. WF&G 401K Plan-Scudder Stable Value Fund	C	Dividend	N	T					
58. Farm Property, Lawrence County, Pennsylvania	B	Rent	M	W					
59. Rental Property, Kings County, New York	E	Rent	N	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Elizabeth S. Stong**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544