

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JONES III, JOHN E.	2. Court or Organization US COURT-MIDDLE DISTRICT OF PA	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 228 WALNUT STREET HARRISBURG, PA 17108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DIRECTOR	AUBURN GOLF CAR CO. INC.
2. DIRECTOR	BUNKER HILL GOLF COURSE (CORP)
3. DIRECTOR	FAIRVIEW GOLF COURSE INC.
4. DIRECTOR	HIDDEN VALLEY GOLF COURSE INC.
5. DIRECTOR	PAT MAR CO. INC.
6. DIRECTOR	PHOENIX CONTRACTING CO. (CORP)
7. DIRECTOR	WEDGEWOOD GOLF COURSE INC.
8. DIRECTOR	WILLOW HOLLOW GOLF COURSE INC.
9. ADJUNCT LAW PROFESSOR	PENNSYLVANIA STATE UNIVERSITY

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	THE STATE EMPLOYEES RETIREMENT SYSTEM (PENNSYLVANIA) FORMER EMPLOYER - VESTED PENSION
2.	

Name of Person Reporting JONES III, JOHN E.	Date of Report 05/14/2020
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3. _____

Name of Person Reporting	Date of Report
JONES III, JOHN E.	05/14/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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JONES III, JOHN E.	05/14/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
JONES III, JOHN E.	05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BUNKER HILL GOLF COURSE INC. - FAMILY BUSINESS		None	O	W					
2. WILLOW HOLLOW GOLF COURSE INC. - FAMILY BUSINESS		None	N	W					
3. WEDGEWOOD GOLF COURSE INC. - FAMILY BUSINESS	D	Distribution	O	W					
4. HIDDEN VALLEY GOLF COURSE INC. - FAMILY BUSINESS	A	Interest	M	W					
5. FAIRVIEW GOLF COURSE INC. - FAMILY BUSINESS		None	N	W					
6. AUBURN GOLF CAR CO. INC. - FAMILY BUSINESS		None	K	W					
7. PARKWAY ANTHRACITE INC. - FAMILY BUSINESS		None	J	W					
8. PAT MAR CO. INC. - FAMILY BUSINESS		None	M	W					
9. RIVERVIEW BANK - CHECKING		None	K	T					
10. LOAN RECEIVABLE - PHOENIX CONTRACTING	C	Interest	M	T					
11. MRK COMMON STOCK - IRA	A	Dividend	K	T					
12. PEPSICO INC.	A	Dividend	K	T					
13. PFE COMMON STOCK - IRA	A	Dividend			Sold	09/16/19	K	C	
14. VERIZON - COMMON STOCK - IRA	A	Dividend	K	T					
15. WELLS FARGO & CO - NEW - IRA	A	Dividend	K	T					
16. INTEL CORP - COMMON STOCK - IRA	A	Dividend	K	T					
17. MICROSOFT CORP - COMMON STOCK - IRA	A	Dividend	L	T	Sold (part)	04/08/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. WALT DISNEY CO	A	Dividend	J	T					
19. PHOENIX CONTRACTING - FAMILY BUSINESS		None	J	W					
20. AT&T INC - IRA	A	Dividend	K	T					
21. JOHNSON AND JOHNSON - JNJ - IRA	A	Dividend	K	T					
22. ABBVIE INC - IRA	B	Dividend	K	T					
23. AMGEN - IRA	A	Dividend	K	T					
24. APPLE INC - IRA	A	Dividend	L	T					
25. BLACKROCK INC - IRA	A	Dividend	K	T	Sold (part)	03/14/19	J	A	
26. DOW - IRA	A	Dividend	J	T	Sold (part)	04/04/19	J	A	
27. DUKE ENERGY - IRA	A	Dividend			Sold	12/20/19	K	C	
28. JP MORGAN CHASE - IRA	B	Dividend	K	T					
29. KLA CORP - IRA	A	Dividend	K	T					
30. NEXTERA ENERGY INC - IRA	A	Dividend	K	T					
31. TOTAL SA/SPON ADR - IRA	A	Dividend	K	T					
32. RIVERVIEW BANK - SAVINGS ACCT	A	Interest	K	T					
33. HOME DEPOT INC - IRA	A	Dividend	K	T					
34. LYONDELLBASELL IND - IRA	A	Dividend			Sold	07/02/19	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. OCCIDENTAL PETE - IRA	A	Dividend			Sold	04/22/19	J		
36. SUNTRUST BK DEP SWEEP - IRA	A	Interest	M	T					
37. SUNTRUST BANK SWEEP - IRA 2	A	Interest	J	T					
38. SUNTRUST BANK SWEEP - IRA 3	A	Interest	J	T					
39. AT&T	A	Dividend	J	T	Sold (part)	03/12/19	J		
40. ABBVIE INC	A	Dividend	J	T					
41. AMGEN INC	A	Dividend	J	T	Sold (part)	08/05/19	J	A	
42. APPLE INC	A	Dividend	J	T	Sold (part)	06/04/19	J	B	
43. LYONDELLBASELL INC	A	Dividend			Sold	07/02/19	J		
44. BLACKROCK INC	A	Dividend	J	T	Sold (part)	04/15/19	J	A	
45. DOW	A	Dividend	J	T	Sold (part)	04/04/19	J		
46. DUKE ENERGY	A	Dividend			Sold	12/20/19	J	B	
47. HOME DEPOT	A	Dividend	J	T	Sold (part)	04/05/19	J	A	
48. INTEL CORP	A	Dividend	J	T	Sold (part)	04/15/19	J	A	
49. JP MORGAN CHASE	A	Dividend	J	T	Sold (part)	04/15/19	J	B	
50. JOHNSON & JOHNSON	A	Dividend	J	T	Sold (part)	08/05/19	J	A	
51. KLA CORP	A	Dividend	J	T					

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52. MERCK & CO INC	A	Dividend	J	T	Sold (part)	08/09/19	J	B	
53. MICROSOFT CORP	A	Dividend	J	T	Sold (part)	04/15/19	J	D	
54. NEXTERA ENERGY	A	Dividend	J	T	Sold (part)	04/15/19	J	B	
55. OCCIDENTAL PETROLEUM	A	Dividend			Sold	04/22/19	J		
56. PEPSICO INC	A	Dividend	J	T	Sold (part)	03/12/19	J	A	
57. PFIZER INC	A	Dividend			Sold	09/19/19	J	B	
58. TOTAL S.A. SPONS. ADR	A	Dividend	J	T	Sold (part)	03/12/19	J		
59. VERIZON COMM	A	Dividend	J	T	Sold (part)	03/12/19	J	A	
60. WELLS FARGO & CO NEW	A	Dividend	J	T	Sold (part)	04/15/19	J		
61. SUNTRUST M/M AK	A	Interest	J	T					
62. CME GROUP INC	A	Dividend	J	T	Sold (part)	06/04/19	J	B	
63. CMS ENERGY	A	Dividend	J	T	Sold (part)	08/05/19	J	B	
64. CISCO SYSTEMS	A	Dividend	J	T	Sold (part)	08/05/19	J	B	
65. INTERNATIONAL PAPER	A	Dividend			Sold	06/19/19	J		
66. INTERNATIONAL PAPER IRA	A	Dividend			Sold	06/19/19	J		
67. CME GROUP INC IRA	A	Dividend	K	T					
68. CMS ENERGY CORP IRA	A	Dividend	K	T					

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69. CISCO SYSTEMS IRA	A	Dividend	K	T					
70. PRIMAL SPACE SYS INC		None	L	W					
71. EATON CORP PLC IRA	A	Dividend	K	T					
72. CHEVRON CORP IRA	A	Dividend	K	T					
73. TAPESTRY IRA	A	Dividend			Sold	04/22/19	J		
74. CORNING INC IRA	A	Dividend	J	T					
75. CROWN CASTLE INTL IRA	A	Dividend	K	T					
76. DIGITAL REALTY TR. IRA	A	Dividend	J	T	Sold (part)	11/08/19	J	A	
77. FT UNIT 5986 HIGH INC. SER 19 IRA	E	Dividend	N	T	Sold (part)	07/25/19	K		
78. HELMERICH & PAYNE INC. IRA	A	Dividend			Sold	11/08/19	J		
79. GENUINE PARTS CO. IRA	A	Dividend			Sold	05/31/19	J		
80. RAYTHEON IRA	A	Dividend	K	T					
81. SCHUMBERGER IRA	A	Dividend	J	T					
82. 3 M COMPANY IRA	A	Dividend	J	T					
83. EATON CORP PLC	A	Dividend	J	T					
84. TAPESTRY	A	Dividend			Sold	04/22/19	J		
85. CORNING INC.	A	Dividend	J	T	Sold (part)	03/12/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. CROWN CASTLE INTL	A	Dividend	J	T	Sold (part)	03/12/19	J	A	
87. DIGITAL REALTY TR INC.	A	Dividend	J	T	Sold (part)	03/12/19	J	A	
88. GENUINE PARTS CO.	A	Dividend			Sold	05/31/19	J	A	
89. HELMERICH & PAYNE	A	Dividend			Sold	11/08/19	J		
90. RAYTHEON CORP.	A	Dividend	J	T	Sold (part)	03/12/19	J	A	
91. SCHLUMBERGER LTD.	A	Dividend	J	T					
92. 3 M COMPANY	A	Dividend	J	T					
93. CONTINUOUS PRECISION MEDICINE INC.		None	J	W					
94. MERRILL LYNCH CMA A/C	A	Dividend	J	T					
95. CHEVRON CORP.	A	Dividend	J	T	Sold (part)	11/08/19	J		
96. DELTA AIRLINES	A	Dividend			Sold	04/10/19	J	A	
97. DELTA AIRLINES IRA	A	Dividend			Sold	04/10/19	J	B	
98. US BANCORP DEL	A	Dividend	J	T	Sold (part)	03/12/19	J		
99. UNION PACIFIC	A	Dividend	J	T	Sold (part)	08/05/19	J	B	
100. AB LARGE CAP GROWTH - ML	A	Dividend	J	T					
101. ISHARES CORE S&P MID - CORP	A	Dividend	J	T					
102. DEVON ENERGY CORP - ML	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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103. VANGUARD IDX S&P 500 IRA (2)	A	Dividend	K	T	Buy (add'l)	10/03/19	J		
104. ISHARES CORE S&P 500 ETF - IRA (3)	A	Dividend	K	T	Sold (part)	11/25/19	J	A	
105. ISHARES CORE MSCI EMERGING - IRA (3)	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
106. ISHARES SELECT IRA (3)	A	Dividend			Sold	06/03/19	J	A	
107. ISHARES TR EAFE SML CP IRA (3)	A	Dividend	J	T	Buy (add'l)	12/16/19	J		
108. ISHARES TR RUS MID CAP IRA (3)	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
109. ISHARES TR CORE MSCI EAFE IRA (3)	A	Dividend	J	T	Sold (part)	12/16/19	J	A	
110. ISHARES TR IBOXX HI YD ETF IRA (3)	A	Dividend	J	T	Buy	06/03/19	J		
111. ISHARES TR MBS IRA (3)	A	Dividend	J	T	Sold (part)	06/03/19	J	A	
112. ISHARES TR US TREAS BD IRA (3)	A	Dividend	J	T	Sold (part)	06/03/19	J	A	
113. POWERSHARES EXCHANGE TRADED TR II IRA (3)	A	Dividend			Sold	02/04/19	J	A	
114. UNITED PARCEL SERVICES	A	Dividend	J	T					
115. BROADCOM INC	A	Dividend	J	T	Sold (part)	03/12/19	J		
116. SYSCO CORP	A	Dividend	J	T	Buy (add'l)	05/31/19	J		
117. BROADCOM INC IRA	B	Dividend	K	T	Sold (part)	03/14/19	J		
118. CV SCIENCES INC IRA		None	J	T					
119. SYSCO CORP IRA	A	Dividend	J	T	Sold (part)	11/08/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. UNION PACIFIC IRA	A	Dividend	K	T					
121. UNITED PARCEL SERVICES IRA	A	Dividend	J	T					
122. U.S. BONCORP DEL IRA	A	Dividend	K	T					
123. FIRST TR LGE CAP CORE ALPHA IRA	A	Dividend			Sold	03/14/19	L	C	
124. VANGUARD SM CAP VALUE IRA-2	A	Dividend	J	T					
125. DFA TX MGT U.S. MKTW IRA-2	A	Dividend			Sold	10/03/19	J		
126. DFA U.S. LG CAP IRA-2	A	Dividend			Sold	10/03/19	J		
127. LORD ABBETT FL RATE IRA-2	A	Dividend	J	T	Sold (part)	10/03/19	J		
128. FIRST TRUST NASDAQ 100 TECH IRA-2	A	Dividend	J	T	Sold (part)	09/05/19	J	A	
129. INVESCO ETF TR II IRA-2	A	Dividend	J	T	Sold (part)	10/03/19	J		
130. ISHARES TIPS BOND ETF IRA-2	A	Dividend	K	T	Sold (part)	06/05/19	J	A	
131. VANGUARD BD INDEX ST IRA-2	A	Dividend	K	T	Sold (part)	06/05/19	J	A	
132. VANGUARD HEALTHCARE IRA-2	A	Dividend	J	T	Sold (part)	11/25/19	J	A	
133. VANGUARD INT'L EQ INDEX FG IRA-2	A	Dividend	J	T					
134. I SHARES MSCI JPN IRA (3)	A	Dividend			Sold	06/03/19	J		
135. CORTEVA INC.	A	Dividend	J	T	Spinoff (from line 45)	06/05/19	J		
136. AMERICAN ELECTRIC POWER		None	J	T	Buy	12/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. BOEING	A	Dividend	J	T	Buy	04/10/19	J		
138. BOEING	A	Dividend			Sold (part)	11/08/19	J		
139. COMCAST CORP. NEW	A	Dividend	J	T	Buy	04/08/19	J		
140. DUPONT DE NEMOURS	A	Dividend	J	T	Spinoff (from line 45)	04/01/19	J		
141. DUPONT DE NEMOURS	A	Dividend			Sold (part)	11/08/19	J		
142. HONEYWELL	A	Dividend	J	T	Buy	06/19/19	J		
143. OUTFRONT MEDIA INC.	A	Dividend	J	T	Buy	11/08/19	J		
144. NOVORTIS A G SPON SARAT ADR	A	Dividend	J	T	Buy	09/16/19	J		
145. TARGET	A	Dividend	J	T	Buy	04/22/19	J		
146. AMERICAN ELECTRIC POWER - IRA		None	K	T	Buy	12/20/19	K		
147. BOEING - IRA	A	Dividend	J	T	Buy	04/10/19	J		
148. COMCAST CORP. NEW - IRA	A	Dividend	J	T	Buy	04/08/19	J		
149. CORTEVA - IRA	A	Dividend	J	T	Spinoff (from line 26)	06/05/19	J		
150. CORTEVA - IRA	A	Dividend			Sold (part)	06/05/19	J	A	
151. DUPONT DE NEMOURS - IRA	A	Dividend	J	T	Spinoff (from line 26)	04/01/19	J		
152. DUPONT DE NEMOURS - IRA	A	Dividend			Sold (part)	06/03/19	J	A	
153. HONEYWELL - IRA	A	Dividend	J	T	Buy	06/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. NOVARTIS A G SPONSORED ADR - IRA	A	Dividend	K	T	Buy	09/16/19	K		
155. OUTFRONT MEDIA INC. - IRA	A	Dividend	J	T	Buy	11/08/19	J		
156. TARGET - IRA	A	Dividend	K	T	Buy	04/22/19	K		
157. TARGET - IRA	A	Dividend			Sold (part)	11/08/19	J	A	
158. ISHARES TR CORE DIV. GROWTH - IRA (3)	A	Dividend	J	T	Buy	06/03/19	J		
159. ISHARES TR USD INV. GRADE - IRA (3)	A	Dividend	J	T	Buy	02/04/19	J		
160. VANGUARD INDEX FUNDS S&P 500 - IRA (4)	A	Dividend	K	T	Buy	06/12/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/14/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII - NAME CHANGE:

DUPONT SPINOFF CORTEVA IN JUNE 2019.

DOWDUPONT SEPERATED INTO DOW AND DUPONT IN APRIL 2019.

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Name of Person Reporting

JONES III, JOHN E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JOHN E. JONES III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544