

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FELDMAN, MARTIN L.C.	2. Court or Organization EASTERN DISTRICT OF LOUISIANA	3. Date of Report 08/18/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active U S DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 500 POYDRAS STREET, Rm. 555 NEW ORLEANS, LA 70130-3313		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/06/2018	Rollover IRA Distribution	\$1,050.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Home Life Ins. Co. Whole Life Insurance Policy	A	Dividend	K	T					
2. FHLMC CMO SER 1505-CL Q	A	Interest	J	T					
3. NexPoint Strategic Opportunities Fd.	A	Dividend	J	T					
4. Equity Commonweath Com. Sh Ben.Int.	A	Int./Div.	K	T					
5. GNMA #1924 JUMBO	A	Interest	J	T					
6. Virtus Total Return Fd	A	Dividend	J	T					
7. FNMA CMO SER 1992-195	A	Interest	J	T					
8. AB International Value Fd. C1 C #0436	A	Dividend	J	T					
9. Citigroup	A	Dividend			Sold	01/08/18	K	A	
10. GNMA #2005-5 ea	A	Interest	J	T					
11. Blackrock FLTG Rate Inc. Strategies Fd II	A	Dividend	J	T					
12. Blackrock Ltd Duration Income Trust	B	Dividend	K	T					
13. Archer, Ltd	A	Dividend	J	T					
14. Bank of America	A	Dividend			Sold	02/22/18	J	A	
15. RAIF Financial Trust - 0403	A	Int./Div.	J	T	Sold (part)	08/17/18	J	A	
16. Chase Bank	A	Interest	K	T					
17. Eaton Vance Ltd. Duration Income Fund	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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18. FNMA1993-82H, 0403	A	Interest	J	T					
19. Proshares Ultra Financials-IRA	A	Distribution	J	T					
20. Energy Transfer Partners IRA	A	Distribution			Sold	08/06/18	J	B	
21. Blackrock Enhanced Equity Trust	A	Dividend	J	T					
22. Five Star Senior Living	A	Dividend	J	T					
23. Quicksilver Resources 0403	A	Dividend	J	T					
24. Suburban Propane Partnership LP	A	Distribution	J	T					
25. FHLMC CMO Series 3699 LA	B	Interest	K	T					
26. Eaton Vance Insured Municipal Bond Fund	A	Dividend	J	T					
27. FHLMC CMO Series 3772 NA	A	Interest	J	T					
28. Aegon NV 8% Note	A	Interest			Sold	05/15/18	J	A	
29. FNMA CMO Series 2012-120QA	A	Interest	J	T					
30. FNMA CMO 2012-152 TA 0403	A	Interest			Sold	10/15/18	J	A	
31. Spyglass Res Corporation#0403	A	Dividend	J	T					
32. Eagle Class-JPMorgan Prime MM 0403	A	Int./Div.	J	T					
33. Oaktree Specialty Lending	A	Dividend	J	T					
34. Blackstone Group LP	A	Distribution	K	T	Buy (add'l)	07/20/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. GNMA Remic Trust 3.5% 2013-104	B	Interest	J	T					
36. Eagle Class-JPMorgan Prime Money Market	A	Dividend	J	T					
37. Crestwood Equity Partners LP	A	Distribution	J	T					
38. Fannie Mae 8.25% Pfd. #0403	A	Int./Div.	J	T					
39. KKR & Company LP	A	Distribution			Sold (part)	07/09/18	J	B	
40.					Sold	10/11/18	J	A	
41. GNMA Remic Trust 2013-131 KQ 3.5%	A	Interest	J	T					
42. Brookfield Real Assets Income Fund Inc.	A	Dividend	J	T					
43. Blackrock Muni Income TR II	A	Int./Div.	J	T					
44. HCP Inc. REIT	A	Dividend	J	T					
45. Eagle Class-JPMorgan Prime MM #0436	A	Dividend	J	T					
46. Two HBRS Inv. Corporation REIT #0403	A	Dividend	K	T	Buy (add'l)	10/18/18	J		
47. Sandridge Permian Tr. Com. Unit Ben. Int.#0403	A	Distribution	J	T					
48. Barings Global Short Duration #0403	A	Dividend	K	T					
49. Carlyle Group LP	A	Distribution	K	T	Buy (add'l)	10/04/18	J		
50. Cohen & Steers Quality Rlty Fd	A	Dividend	J	T					
51. Nexpoint Residential Tr. Inc.	A	Dividend			Sold (part)	08/02/18	J	A	

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52.					Sold	08/15/18	J	A	
53. Carlyle Group LP #0403	A	Dividend			Sold	05/14/18	J	A	
54. FHLMC Remic Ser. 4419 PD 3%	A	Interest	J	T					
55. Energy Transfer Partners #0403	A	Distribution			Sold	08/02/18	J	A	
56. FHLMC REMIC Series 4116 GA 2.5%	B	Interest	J	T					
57. First TR High Income L/S Fd.	A	Dividend			Sold	07/26/18	J	A	
58. Quality Care Properties Inc. REIT	A	Dividend			Sold	07/26/18	J	A	
59. Spectra Energy Partners LP	A	Distribution			Sold	01/08/18	J	A	
60. Metlife Inc.	A	Dividend	K	T	Sold (part)	04/05/18	J	A	
61.					Buy	05/17/18	J		
62.					Buy (add'l)	06/11/18	J		
63.					Buy (add'l)	07/11/18	J		
64. Guggenheim Guggenheim Discount Opportunity Strategy Port.	A	Dividend			Sold	12/26/18	J	A	
65. General Electric Company	A	Dividend	J	T					
66. Abbott Labs	A	Dividend			Sold	12/10/18	J	C	
67. Amplify Energy Corp	A	Dividend	J	T					
68. Targa Res Corporation	A	Dividend			Sold	01/16/18	J	B	

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69. Plains All American Pptys Inc.	A	Distribution			Sold	04/18/18	J	A	
70. Hi-Crush Partners LP	A	Distribution			Sold (part)	07/23/18	J	B	
71.					Sold	09/26/18	J	B	
72. Ares Cap Corporation	A	Dividend	K	T	Buy (add'l)	05/17/18	J		
73.					Buy (add'l)	06/11/18	J		
74.					Sold (part)	07/11/18	J	A	
75. Boulder Growth & Income Fd.	A	Dividend			Buy (add'l)	01/03/18	J		
76.					Sold	07/18/18	J	A	
77.					Buy	10/23/18	J		
78.					Sold	12/18/18	J	A	
79. ALERIAN ETF Trust	A	Dividend	J	T					
80. GNMA Remic 2017-130 AB	A	Interest			Sold	01/03/18	J	A	
81. Mueller Wtr. Products Inc. Ser. A #0436	A	Dividend			Sold	02/01/18	J	A	
82. Cohen & Steers Total Return #0403	A	Dividend	J	T					
83. Guggenheim Guggenheim Disc. Opp.Strategy #0403	A	Dividend	J	T					
84. Guggenheim Total Income Port. #0403	A	Dividend	J	T					
85. Granite PT Mtg. Tr.#0403 and IRA	A	Dividend			Sold	02/06/18	J	A	

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86. Consolidatd Comm. Hldgs. Inc. #0403	A	Dividend	J	T					
87. Guggenheim Global Balanced Income Bldg Port..	E	Dividend	J	T	Buy	01/10/18	K		
88. Univar Inc.	A	Dividend			Buy	01/17/18	J		
89.					Sold	10/02/18	J	A	
90. HD Supply Holdings Inc	A	Dividend			Buy	01/25/18	J		
91.					Sold	04/05/18	J	A	
92. Dominion Energy Inc.	A	Dividend			Buy	02/26/18	J		
93.					Sold	10/23/18	J	A	
94. BGC Partners Inc.	A	Dividend	J	T	Buy	04/09/18	J		
95. Guggenheim MLP & Energy Fds. Port.	A	Dividend	K	T	Buy	04/20/18	K		
96.					Buy (add'l)	06/11/18	K		
97.					Buy (add'l)	07/25/18	K		
98. AT&T Inc.	A	Dividend			Buy	06/15/18	K		
99.					Buy (add'l)	06/20/18	J		
100.					Sold (part)	07/31/18	K	A	
101.					Sold	08/15/18	J	A	
102. Merck & Co.	A	Dividend	K	T	Buy	06/15/18	K		

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103. Nuveen S&P 500 Buy-Write Inc. Fd.	A	Dividend	J	T	Buy	06/15/18	K		
104. Guggenheim Dow Jones Value Div. Focus Portfolio	A	Dividend	K	T	Buy	06/15/18	K		
105.					Buy (add'l)	06/20/18	K		
106. Putnam Premier Income Trust	A	Dividend	J	T	Buy	06/22/18	J		
107. Laredo Petroleum Inc.	A	Dividend	J	T	Buy	06/28/18	J		
108. First Trust Sabrient Dividend Portfolio Ser. 23	A	Dividend	K	T	Buy	06/29/18	K		
109. Invesco Divident Income Leaders Portfolio	A	Dividend	K	T	Buy	06/29/18	K		
110. First Horizon Natl. Corp.	A	Dividend			Buy	07/03/18	J		
111.					Sold	12/10/18	J	A	
112. Eagle Growth and Income Opportunities Fd.	A	Dividend	K	T	Buy	06/12/18	K		
113. Petiq Inc. Common Cl A	A	Dividend			Buy	06/12/18	J		
114.					Sold	08/15/18	K	D	
115. MPLX LP	A	Dividend	J	T	Buy	07/31/18	J		
116. Granite PT Mtg Tr. Inc.	A	Dividend	J	T	Buy	09/17/18	J		
117. ADT Inc.	A	Dividend	J	T	Buy	09/17/18	J		
118. Starwood Property Trust	A	Dividend	J	T	Buy	09/28/18	J		
119. Two Harbors Invest. Corp. New Reit	A	Dividend			Buy	10/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FELDMAN, MARTIN L.C.

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08/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	12/20/18	J	A	
121. Tortoise Pipeline & Energy #0403	A	Dividend	J	T	Buy	02/08/18	J		
122. TPG Specialty Lending Inc. #0403	A	Dividend			Buy	05/25/18	J		
123.					Sold	12/20/18	J	A	
124. Starwood Property Trust #0403	A	Distribution	K	T	Buy	08/23/18	J		
125.					Buy (add'l)	09/24/18	J		
126. Ares Mgmt. LP #0403	A	Dividend			Buy	06/22/18	J		
127.					Sold	09/17/18	J	A	
128. Boulder Growth and Income Fund #0436	A	Dividend			Buy (add'l)	02/01/18	J		
129.					Sold	09/11/18	J	A	
130. Monroe Cap. Corp.	A	Dividend	K	T	Buy	09/13/18	J		
131. Orbcomm Inc. #0436	A	Dividend	J	T	Buy	09/13/18	J		
132. Patterson Companies	A	Dividend	J	T	Buy	01/18/18	J		
133. Newmark Group Inc. Cl. A	A	Dividend	J	T	Spinoff (from line 94)	12/03/18	J		
134. Alerian MLP ETF IRA08/02/18	A	Int./Div.			Buy	08/02/18	J		
135.					Sold	10/11/18	J	A	
136. Boulder Growth and Income Fd.	A	Dividend			Buy	10/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FELDMAN, MARTIN L.C.

Date of Report

08/18/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	11/12/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII.

Line 3 - Name updated from NexPoint Credit Strategies.

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Name of Person Reporting

FELDMAN, MARTIN L.C.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARTIN L.C. FELDMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544