

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Edith H.	2. Court or Organization 5th Circuit Court of Appeals	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 12505 U.S. Courthouse 515 Rusk Houston, TX 77002-2600		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	The Blackstone Center for Law & Liberty, Faulkner University
2. President	Garland Walker Inn of Court
3. Board Member	Calvin Coolidge Presidential Foundation
4. Trustee	Trust#1
5. Trustee	Trust #2
6. Trustee	Trust #3
7. Secretary-Treasurer	Hollan Maine LLC (listed in Part VII)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jones, Edith H.

Date of Report

05/15/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/2-4/19	Hillsdale College - Lecture Fee--Teaching Pre-law course	\$500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Prudential Ins. Co., Pension Annuity, Occidental Petrol
2. 2019	Hunton AK Plan A retirement plan
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Texas Federalist Society	January 26, 2019	Austin, Texas	Panel Moderator	Mileage, Parking, Meal
2.	Heritage Foundation	February 19-22, 2019	Washington, DC	Federal Law Clerk Panel Member	Airfare, Mileage, Taxi, Lodging, Meals
3.	Arizona State -Sandra Day O'Connor Law School Federalist Society	March 15-16-2019	Phoenix, AZ	Panel Member	Airfare, Mileage, Taxi, Lodging, Meals
4.	Coolidge Foundation	April 26-28, 2019	Plymouth, VT	Board Meeting & Scholarship Selection Committee	Lodging, Meals

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Name of Person Reporting Jones, Edith H.	Date of Report 05/15/2020
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5.	Federalist Society - Fort Worth Lawyers Chapter	July 18, 2019	Fort Worth, TX	Speech	Parking, Taxi, Airfare, Meal
6.	Hillsdale College	October 2-4, 2019	Hillsdale, Michigan	Teaching	Lodging, Meals
7.	Heritage Foundation	October 15-17, 2019	Washington, DC	Speech-Joseph Story Distinguished Lecture	Airfare, Lodging, Taxis, Meals
8.	Federalist Society	November 13-16, 2019	Washington, DC	Speaker	Airfare, Lodging, Meals

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Jones, Edith H.	05/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS									
2. Bank of America - Accounts	A	Interest	M	T					
3. J.P. Morgan Chase Bank - Accounts	A	Interest	K	T					
4. Morgan Stanley Bank	B	Interest	M	T					
5. STOCKS, BONDS & NOTES									
6. AT&T Common Stock	A	Dividend	J	T					
7. Invesco Comstock A (ACSTX) (fmr. Invest. Co. of America)	A	Dividend	K	T					
8. Dowdupont - common	A	Dividend			Sold	11/22/19	J	B	
9. Nestle - common	A	Dividend	L	T					
10. Newmont Gold - common	A	Dividend	J	T					
11. Intel Corporation	A	Dividend	K	T					
12. Procter & Gamble	B	Dividend	L	T					
13. Tortoise Energy Corp.	A	Dividend	J	T					
14. Pepsico	B	Dividend	L	T					
15. NCR		None	J	T					
16. MS Global Infrastructure A, (MTIPX)	A	Dividend	K	T					
17. Am. Inv. Co. (AIVSX)	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Franklin Insd. Tax Free Fund-FKTIX	B	Interest	K	T	Sold (part)	07/15/19	J	A	
19. Franklin Mut. Shares Fund TESIX	A	Dividend	J	T					
20. Magellan Midstream Partners	A	Dividend	J	T					
21. Verizon Comm	A	Dividend	J	T					
22. Fidelity Fund (FFFCX)	D	Int./Div.	N	T					
23. Smucker J M Co	A	Dividend	J	T					
24. Lord Abbett Sht. Fund (LDLAX)	C	Dividend	M	T					
25. Pioneer Mlti Ast Ult. (MCFRX)	C	Dividend	M	T					
26. Templeton Global Bond FdC	B	Dividend	L	T	Sold (part)	11/20/19	K	A	
27. Templeton Global Bond FdC	B	Dividend	L	T	Sold (part)	11/22/19	K	A	
28. Goldman Sachs MLP Inc. (GMZ)	B	Dividend	J	T					
29. Tekla Healthcare Opp Fund (THO)	A	Dividend	K	T					
30. Pfizer, Inc.	A	Dividend			Sold	11/12/19	J	A	
31. AmCap Fund (AMCPX)	B	Dividend	L	T					
32. Fidelity Puritan Trust (FPURX)	A	Dividend	J	T					
33. Fidelity Sec's Fd. (FBGRX)	A	Dividend	J	T					
34. Columbia Funds (AUGAX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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P2 =\$5,000,001 - \$25,000,000

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FINANCIAL DISCLOSURE REPORT

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Jones, Edith H.	05/15/2020

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☐ NONE (No reportable income, assets, or transactions.)

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35. Wash. Mut. Inv's Fund (AWSHX)	A	Dividend	L	T					
36. First Tr. En. Income	A	Dividend	J	T					
37. USAA Cornerstone (USCRX)	C	Dividend	K	T					
38. Vanguard stock Market Index Fund (VTSAX)	B	Dividend	L	T					
39. Vanguard 500 Index Fund	B	Dividend	K	T					
40. Colgate Palmolive	A	Dividend	J	T					
41. John Hancock Venture Variable Annuity	A	Interest			Redeemed	11/05/19	K	A	
42. -Hollan Maine LLC, property in York County, Maine	A	Rent	N	W					
43. Alphabet Inc.	B	Dividend	K	T					
44. Blackstone Group	B	Dividend	K	T					
45. Enterprise Prod. Ptnrs LP	A	Dividend	K	T					
46. Lyondell Basel	A	Dividend			Sold (part)	11/20/19	J	B	
47. Lyondell Basel	A	Dividend			Sold (part)	11/22/19	J	B	
48. Lyondell Basel	A	Dividend			Sold	12/06/19	J	B	
49. Rio Tinto PLC	A	Dividend	K	T					
50. Rockwell Automation	A	Dividend			Sold (part)	11/20/19	J	A	
51. Rockwell Automation	A	Dividend			Sold	11/22/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Visa, Inc.	B	Dividend	L	T					
53. Walgreen Boots	A	Dividend	J	T	Sold (part)	11/22/19	J	A	
54. Amgen, Inc. [Bond]	B	Interest	L	T					
55. Merck		None	K	T	Buy	11/29/19	K		
56. Merck		None			Sold	12/03/19	K	A	
57. Corveva* See Note, Part VIII	A	Dividend	J	T	Buy	06/01/19	J		
58. Walmart	A	Dividend	K	T	Buy	11/22/19	K		
59. Accenture	A	Dividend	J	T	Buy	12/06/19	J		
60. Walt Disney	A	Dividend	J	T	Buy	12/06/19	J		
61. United Health Gp.	A	Dividend	J	T	Buy	12/06/19	J		
62. Permanent Porfolio	A	Dividend	L	T	Buy	11/26/19	L		
63. Apple, Inc.	A	Interest	L	T	Buy	09/06/19	L		
64. United Healthgrp	A	Interest	L	T	Buy	09/05/19	L		
65. Microsoft	A	Interest	L	T	Buy	09/05/19	L		
66. JP Morgan Chase	A	Interest	L	T	Buy	09/05/19	L		
67. Trust #1									
68. -Invesco Comstock A (ACSTX)	A	Dividend	K	T					

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3 Value Method Codes (See Column C2)					

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69. -Magellan Midstream Partners	A	Dividend	K	T					
70. -Morgan Stanley Bank	A	Interest	J	T					
71. -Toyota Motor Bond	A	Interest	K	T	Buy	09/06/19	L		
72. Trust #2									
73. -Bank of America	A	Dividend	J	T					
74. -Coca Cola	A	Dividend	J	T					
75. -Eastman Chem	A	Dividend	J	T					
76. -General Electric	A	Dividend			Sold	08/20/19	J	A	
77. -Morgan Stanley Bank	A	Interest	J	T					
78. -Wabtec* See Note, Part VIII	A	Dividend	J	T	Buy	02/26/19	J		
79. -Toyota Motor Bond	A	Interest	K	T	Buy	09/06/19	K		
80. Trust #3									
81. -American Elec Power	A	Dividend	J	T					
82. -Chemours Co.	A	Dividend	J	T					
83. -Dow Dupont	A	Dividend	J	T					
84. -Emerson Elec Co.	A	Dividend	J	T					
85. -Hershey Co.	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -Scana Corp. *	A	Dividend			Sold	01/02/19	J	A	
87. -Dominion Energy	A	Dividend	J	T	Buy	01/02/19	J		
88. -Morgan Stanley Bank	A	Interest	J	T					
89. -Corteva* See Note, Part VIII	A	Dividend	J	T	Buy	06/01/19	J		
90. -Toyota Motor Bond	A	Interest	K	T	Buy	09/06/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts

Corteva was spun off from Dow DuPont, and we and Trust #3 received shares in the spinoff.

Likewise, Wabtec was spun off from General Electric before Trust #2 sold GE, so Wabtec shares were received from GE.

Scana, in Trust #3, was bought by Dominion Energy, so Trust #3 Scana shares were exchanged for Dominion.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edith H. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544