

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dever, James C.	2. Court or Organization U.S. District Court, EDNC	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court 310 New Bern Ave. Raleigh, NC 27601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Law Professor	Campbell University, N.A. Wiggins School of Law
2. Senior Lecturing Fellow	Duke University School of Law
3. Board of Visitors	Duke University School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	Agreement to teach as an adjunct law professor at Campbell University
2. 2016	Agreement to teach as a senior lecturing fellow at Duke University
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Campbell University (wages)	\$6,000.00
2. 2016	Duke University (wages)	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Teacher, Our Lady of Lourdes Catholic School, Raleigh, North Carolina (wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Policy	A	Int./Div.	K	T					
2. Northwestern Mutual Life Policy	A	Int./Div.	K	T					
3.									
4. PNC Bank Account	A	Interest	K	T					
5. IRA									
6. -Cash Deposit-- Northwestern Mutual Investment Services	A	Interest	J	T					
7.									
8. -DODFX (Dodge & Cox International Fund)	A	Int./Div.	J	T	Sold (part)	12/16/16	J	A	
9.									
10. -FVHIX (Franklin High Income Fund Advisor)	A	Int./Div.			Sold	04/22/16	J	A	
11.									
12.									
13.									
14. -ODVYX (Oppenheimer Developing Markets)	A	Int./Div.			Sold	11/28/16	K	B	
15.									
16.									
17.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18.									
19.									
20.									
21.									
22.									
23.	-VSMAX (Vanguard Small-Cap Index Fund Admiral)	C	Int./Div.	K	T	Sold (part)	12/16/16	J	A
24.									
25.	-VIMAX (Vanguard Mid-Cap Fund Admiral)	D	Int./Div.	K	T				
26.									
27.	-VTSAX (Vanguard Total Stock Market Index)	D	Int./Div.	K	T	Sold (part)	01/08/16	J	A
28.						Sold (part)	04/01/16	J	A
29.						Sold (part)	07/25/16	J	A
30.	-XLE (Select Sector SPDR Tr Energy)	A	Int./Div.			Sold	12/16/16	J	A
31.	-DODIX (Dodge & Cox Income Fund)	A	Int./Div.	K	T	Buy (add'l)	12/16/16	J	
32.									
33.	-VGSLX (Vanguard REIT Index Fund Admiral)	C	Int./Div.	J	T				
34.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -DRGTX (Allianzgi Technology Fund)	A	Int./Div.	J	T					
36. -FSRIX (Fidelity Advisor Strategic Income)	A	Int./Div.	K	T	Sold (part)	01/22/16	J	A	
37.					Buy (add'l)	04/22/16	J		
38.					Buy (add'l)	12/16/16	J		
39.									
40. -WGIFX (Capital World Growth & Income)	A	Int./Div.			Sold	06/27/16	K	B	
41. -DODWX (Dodge & Cox Global Stock Fund)	A	Int./Div.	K	T					
42.									
43.									
44. -FINFX (Fundamental Investor Class F-2)	A	Int./Div.	K	T					
45. -VWEAX (Vanguard High Yield Corporate Bond Fund)	A	Int./Div.	J	T	Sold (part)	12/16/16	J	A	
46. -ABMIX(Aston Fairpointe Midcap Fund Class I)	C	Int./Div.	J	T	Buy (add'l)	01/22/16	J		
47.					Sold (part)	11/08/16	J	A	
48.					Sold (part)	12/16/16	J	A	
49. -AMCFX (AMCAP Fund Class 2)	A	Int./Div.	K	T	Buy (add'l)	12/16/16	J		
50. -SMCFX (Small Cap World Fund Class F2)	A	Int./Div.	K	T					
51. -VFIDX (Vanguard Intermediate Term)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.									
53.									
54. -LSBRX (Loomis Sayles Bond Fund Retail)	A	Int./Div.			Sold	07/29/16	J	A	
55.									
56. -TTRZX (Templeton Global Return Fund)	A	Int./Div.	J	T					
57. ABNFX (Bond Fund of America Class F-2)	A	Int./Div.	K	T	Buy	08/01/16	J		
58.					Buy (add'l)	12/16/16	J		
59. ANWFX (New Perspective Fund Class F-2)	B	Int./Div.	K	T	Buy	06/27/16	K		
60. NFFFX (New World Fund Class F-2)	A	Int./Div.	K	T	Buy	11/28/16	K		
61. VDADX (Vanguard Dividend Appreciation Index)	A	Int./Div.	J	T	Buy	01/08/16	K		
62.					Sold (part)	11/29/16	J	A	
63. VSGAX (Vanguard Small Cap Growth Index Fund)	A	Int./Div.	J	T	Buy	07/25/16	J		
64.					Buy (add'l)	12/16/16	J		
65. VAIPX (Vanguard Inflation Protected Fund)	A	Int./Div.	J	T	Buy	04/01/16	J		
66.					Buy (add'l)	12/16/16	J		
67. VIGAX (Vanguard Growth Index Fund Admiral)	A	Int./Div.	J	T	Buy	11/29/16	J		
68.									

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69.									
70. Trust									
71. -Wachovia (now Wells Fargo) checking acct.	A	Interest	J	T					
72. -Wachovia (now Wells Fargo) savings acct.	A	Interest	K	T					
73. -Kinecta Federal Savings & Loan checking acct.	A	Interest	L	T					
74. -Kinecta Federal Savings & Loan savings acct.	A	Interest	J	T					
75. -Kinecta Federal Savings and Loan Certificate of Deposit	A	Interest	M	T					
76. -New York Life whole life insurance policy	A	Int./Div.	K	T					
77. -New York Life family life insurance policy	A	Int./Div.	J	T					
78. -New York Life variable annuity	A	Int./Div.	J	T					
79. -USAA whole life insurance policy	B	Int./Div.	L	T					
80.									
81. -Comcast Corp Cl A stock	A	Dividend	K	T					
82. -General Electric Co stock	C	Dividend	M	T					
83. -Twenty First Century Fox Class A stock	A	Dividend	K	T					
84. -Northrop Grumman Corp stock	C	Dividend	M	T					
85. -Raytheon Company New stock	D	Dividend	O	T					

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86. -3M Company stock	B	Dividend	L	T					
87. -Wells Fargo checking	A	Interest	J	T					
88. -Fidelity US Treasury Money Mkt	A	Interest	M	T					
89. -Huntington Ingalls Indus stock	A	Dividend	K	T					
90. -Chevron Corp stock	D	Dividend	M	T					
91. Fidelity Portfolio Advisory Service	D	Int./Div.	N	T					
92. -United Technologies Corp stock	A	Dividend	K	T					
93. Johnson and Johnson stock	B	Dividend	L	T	Buy (add'l)	03/16/16	L		
94. News Corp New Class A stock	A	Dividend	J	T					
95. Morgan Stanley & Co. stock	A	Dividend	K	T	Buy	12/19/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to the fund listed in Part VII, line 45, the symbol for the fund has changed from VWEHX to VWEAX. My advisor explained that Vanguard changed the share class from investor shares to admiral shares. It is the same fund listed in Part VII, line 43 in last year's report.

With respect to the certificate of deposit ("CD") with Kinecta Federal Savings and Loan listed in Part VII, line 75, I inadvertently included the savings in that CD within the Kinecta Federal Savings and Loan checking account on last year's report at Part VII, line 59. The money was put into the CD approximately four years ago.

With respect to the Wells Fargo account listed in part VII, line 87, I inadvertently listed the Wells Fargo account as a CD in last year's report in Part VII, line 73. It is not a CD. It is a checking account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James C. Dever**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544