

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vratil, Kathryn H.	2. Court or Organization United States District Court - District of Kansas	3. Date of Report 07/23/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 500 State Avenue Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1 (reportable assets are included in Part VII)
2. Trustee	Trust #2 (reportable assets are included in Part VII)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Vratil, Kathryn H.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Account #1 (H)									
2. Fidelity Government Cash Reserves (FDRXX) (X)	A	Interest	J	T					
3. Fidelity 500 Index Institutional Prem (FXAIX)	A	Dividend	K	T	Sold (part)	07/30/19	J	A	
4. Matthews Asia Dividend Fund (MAPIX)	A	Dividend	J	T	Sold (part)	07/30/19	J		
5. Technology Select Sector SPDR (XLK)	A	Dividend	K	T	Sold (part)	07/31/19	J	C	
6. Account #2 (H)									
7. American New World Fund CI F2 (NFFFX)	A	Dividend	J	T					
8. Account #3 (H)									
9. Schwab Cash Reserves Sweep Shares (cash)	A	Interest	K	T					
10. Rental Property, West Chester, PA (X)	E	Rent	O	W					
11. Account #4 (H)									
12. Fidelity Government Cash Reserves (FDRXX)	A	Interest	J	T					
13. Fidelity Advisor Small Cap Growth CI Z (FIDGX)	B	Dividend	K	T					
14. Fidelity Select Technology (FSPTX)	A	Dividend	K	T					
15. Fidelity Select Materials Portfolio (FSDPX)		None			Sold	05/06/19	K		
16. Fidelity Select Defense & Aerospace (FSDAX)	A	Dividend	K	T					
17. American Euro Pacific Growth Fund CI F2 (AEPFX)	A	Dividend			Sold	11/04/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard Tax Managed Bal PRTF Adm (VTMFx)	C	Dividend	M	T	Buy (add'l)	05/07/19	K		
19.					Buy (add'l)	06/07/19	K		
20. Vanguard GL Minimum Volatility Investor (VMVFX)	C	Dividend	M	T	Buy (add'l)	07/31/19	J		
21.					Buy (add'l)	09/09/19	J		
22. Fidelity Momentum Factor ETF (FDMO)	A	Dividend	K	T	Sold (part)	05/07/19	K	D	
23. Fidelity Dividend ETF for Rising Rates (FDRR)	B	Dividend	L	T					
24. iShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	K	T					
25. Schwab Strategic TR US Dividend Equity (SCHD)		None	L	T	Buy	12/17/19	L		
26. Vanguard Sector Index FDS (VPU)	A	Dividend	K	T	Buy	05/07/19	K		
27. Vanguard Index FDS Vanguard REIT ETF (VNQ)	A	Dividend	K	T					
28. Brevard Cnty FLA Health Facs (10741LBX3)	A	Interest			Redeemed	04/01/19	J		
29. Arizona St Transn Brd Grant Antic Nts (040647DN6)	A	Interest	K	T					
30. Miami-Dade Cnty Fla Health Facs Auth (59334NEA6)	A	Interest	K	T					
31. Mississippi Dev Bk Spl Oblig Walnut Grove Youth Corr (60534WCG1)	A	Interest	K	T					
32. Washington St Health Care Facs Auth Rev (93978HBN4)	B	Interest	K	T					
33. Middlesex Cnty NJ CTFS PARTN REF COPS Civic Square II (596562HD3)	A	Interest	K	T					
34. Kentucky St Tpk Auth Economic Dev Rd (491552WD3)	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. University Tex Univ Revs Rev Ref Bds (91514AHT5)	A	Interest	J	T					
36. University Alaska Univ Revs Gen Rev Bds (914046ZC4)	A	Interest	J	T					
37. Kentucky St PPPY & BLDGS Commn (49151FCB4)	A	Interest	J	T					
38. Houston Tex Cmnty College Rev (442368LL8)	A	Interest	J	T					
39. University Tex Univ Revs Rev Ref Bds (91514AHX6)	A	Interest	J	T					
40. Washington Fed Hwy Grnt (938823AU5)	A	Interest	K	T					
41. Wyandotte ETC K 4.125% (982674FT5)	A	Interest	J	T					
42. Perry TWP Multi Sch Bldg Corp (715029FZ2)	A	Interest	J	T					
43. District Columbia Income Tax Rev (25477GKB0)	A	Interest	K	T					
44. District Columbia Rev Deed Tax Rev Bds (25483VLH5)	A	Interest	J	T					
45. Corpus Christi Tex Util Sys Rev (220245UC6)	A	Interest	K	T					
46. King Cty Wash School Dist No 400 Mercer (495026RT5)	A	Interest	J	T					
47. Hanover Ind Middle Sch Bldg Corp Ref	A	Interest	J	T					
48. Kyle Tex Go and Ref BDS SER (501552JP9)	A	Interest	K	T	Buy	05/10/19	K		
49. Olathe KS 4% 29 DB (679384CL9)	A	Interest	J	T					
50. Wyandotte ETC KS 4.75% (982674GA5)	A	Interest	J	T					
51. Account #5 (H)									

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52. Commerce Bank (cash)	A	Interest	J	T					
53. Account #6 (H)									
54. Mainstreet Credit Union (cash) (Y)									
55. Series E Savings Bonds (Y)									
56. Account #7 (H)									
57. Fidelity Government Cash Reserves (FDRXX) (cash equivalent)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathryn H. Vratil

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544