AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Woodcock , John A.	Maine	05/01/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Senior Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
156 Federal Street Portland, ME 04101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Emeritus Member of Board of Trustees	Bowdoin College
2.	Member Board of Visitors	University of Maine School of Law
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Woodcock , John A.	05/01/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Woodcock , John A.	05/01/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merck Common Stock	B	Dividend	L	T					
2. Netflix, Inc. Common Stock		None	K	T					
3. Boeing Common Stock	B	Dividend	L	T					
4. Cisco Common Stock	B	Dividend	L	T					
5. MetLife Common Stock	A	Dividend	K	T					
6. Wells Fargo Common Stock	D	Dividend	M	T					
7. Union Pacific Common Stock	A	Dividend	K	T					
8. Invesco QQQ Trust, Series 1	A	Dividend	M	T					
9. Mitsui Common Stock - ADRs	C	Dividend	L	T					
10. Apple, Inc. Common Stock	C	Dividend	N	T					
11. MorganStanley Bank Deposits (Comment)	B	Int./Div.	M	T					
12. Amazon.com, Inc.		None	M	T					
13. ExxonMobil Common Stock		None			Sold	01/10/19	K	E	
14. 21st Century Bank CD Loretta MN CD	A	Int./Div.	L	T	Buy	09/23/19	L		
15. American Growth Fund of America Mutual Fund	A	Dividend	K	T					
16. American Capital Income Builder	A	Dividend	K	T					
17. Alphabet, Inc. (Google)		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Woodcock , John A.	05/01/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Norfolk Southern Common Stock	B	Dividend	L	T					
19.	UnitedHealth Group Common Stock	A	Dividend	L	T	Buy	03/08/19	K		
20.	Ameritas Life Insurance Corp Flexible Universal Life (Fixed)		None	L	T					
21.	Procter & Gamble Common Stock	B	Dividend	K	T					
22.	Bangor Savings Bank Checking Account (note)	A	Interest	K	T					
23.	ASA (Bermuda) STD Common Stock		None			Sold	01/10/19	J	A	
24.	Health Care Services Group Common Stock		None			Sold	03/08/19	K	E	
25.	SPDR S&P 500 ETF	B	Dividend	M	T					
26.	Spotify Technology SA Common Stock		None	L	T	Buy	02/19/19	K		
27.	Brighthouse Financial, Inc. Common Stock (comment)		None			Sold	11/01/19	J	A	
28.										
29.	United Technologies Common Stock		None			Sold	03/08/19	K	D	
30.										
31.										
32.	ClearBridge Appreciation Fund IRA	A	Dividend	M	T					
33.	ClearBridge Dividend Strategy Fund A	A	Dividend	M	T					
34.										

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Woodcock , John A.	05/01/2020

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☐ NONE *(No reportable income, assets, or transactions.)*

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35.									
36. McDonalds Corp. Common Stock	C	Dividend	M	T					
37.									
38. Johnson & Johnson Common Stock	B	Dividend	K	T					
39.									
40. U.S. Bancorp Common Stock		None			Sold	02/19/19	K	D	
41.									
42. Zimmer Holdings Common Stock	A	Dividend	K	T					
43. MetLife Life Whole Life Insurance Policies	A	Dividend	K	T					
44.									
45. Chevron Corp. Common Stock	A	Dividend	K	T					
46. Rental Property, Hancock, Maine (Comment)		None	O	R					
47. American Income Fund of America Mutual Fund	A	Dividend	K	T					
48.									
49.									
50.									
51.									

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Item 11, MorganStanley Bank Deposits was the recipient of stock sales, interest and dividends during the year. The value indicated is the value at the end of the year.

Regarding Items 20 and 43, the Committee issued a letter dated August 11, 2017, asking for further information concenrning item 43, the MetLife Life Insurance Policies and asking whether the policies were whole or variable life. This report confirms that each of the MetLife life insurance policies is a whole life policy. In addition, item 20 confirms that it is a life insurance policy characterized as a flexible universal life insurance policy (fixed). I have no control over the investments either MetLife or Ameritas makes under any of these policies.

Regarding Item 22, this bank account is used as a personal checking account and varies in amounts during the year based on income received. The value indicated is the value at the end of the year.

Regarding Item 27, I sold 29 shares in Brighthouse Financial on November 1, 2019. I obtained these shares by spinoff on August 4, 2017 from MetLife and they should have been reported on the Financial Disclosures of 2017 and 2018, but I did not realize that I owned them. They paid no dividend and I did not purchase them but received them as a spinoff. I sold all my shares on November 1, 2019 for $1,209.30.

Regarding Item 46, the property was purchased on June 29, 2011 for $573,000. The property was not rented in 2018.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John A. Woodcock

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544