

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Sr., Stephen R.	2. Court or Organization United States District Court-Eastern District of Missouri	3. Date of Report 05/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 111 S. 10th Street Room 14.150 Saint Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Managing partner and sole member	Clark Law Firm, LLC d/b/a RUNNYMEDE law group
2. Member, (share held under Trust Agreement #1)	
3. Shareholder (share held under Trust Agreement#1)	
4. Trustee	Trust Agreement #1
5. Trustee	Irrev. Trust #1
6. Trustee	Irrev. Trust #2
7. Plan Trustee	RUNNYMEDE law group 401(K) P/S Plan
8. Plan Administrator	RUNNYMEDE law group Cafeteria Plan
9. Advisor	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 05/22/2019	Stephen R. Clark, Sr. and RUNNYMEDE law group agreee that RUNNYMEDE law group will pay Stephen R. Clark, Sr.all net income of the firm.
2.	

Name of Person Reporting Clark, Sr., Stephen R.	Date of Report 05/15/2021
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3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	RUNNYMEDE law group, payroll income	\$197,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	RUNNYMEDE law group, payroll income
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Federal Bar Association	Contribution towards the cost of reception on the occasion of filer's Investiture.	\$5,000.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Rise Realty, LLC	Lease	J
2. Collector of Revenue	property tax bill	None
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. UMB Bank Personal Accounts	C	Interest	O	T					
2. 401K Account #1 (H)									
3. -American Funds Gr Fund of AM R6 (RGAGX)		None			Sold	09/25/19	M	F	
4. -American Funds Cap Inc. Bdr. R6 (RIRGX)		None			Sold	09/25/19	M	F	
5. -American Funds Wash Mut. Inv. R6 (RWMGX)		None			Sold	09/25/19	M	F	
6. -American Funds EuroPacific Gr R6 (RERGX)		None			Sold	09/25/19	M	E	
7. -Premier Oppenh Fund Inc. Gbl I (MGFZX)		None			Sold	09/25/19	K	E	
8. -AB Discovery Growth Z (CHCZK)		None			Sold	09/25/19	K	E	
9. -Invesco Small Cap Growth R6 (GTSFX)		None			Sold	09/25/19	K	E	
10. -Franklin Small Cap Value R6 (FRCSX)		None			Sold	09/25/19	K	D	
11. -Victory Sycamore Est. Value R6 (VEVRX)		None			Sold	09/25/19	K	D	
12. -Amer Funds Trgt Date Ret 2035 R6 (RFFTXX)		None			Buy (add'l)	01/15/19	J		
13.					Buy (add'l)	01/31/19	J		
14.					Buy (add'l)	02/15/19	J		
15.					Buy (add'l)	02/28/19	J		
16.					Buy (add'l)	03/05/19	K		
17.					Buy (add'l)	03/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.					Buy (add'l)	03/29/19	J		
19.					Buy (add'l)	04/15/19	J		
20.					Buy (add'l)	05/16/19	J		
21.					Buy (add'l)	05/31/19	J		
22.					Buy (add'l)	06/14/19	J		
23.					Buy (add'l)	07/31/19	K		
24.					Sold	09/25/19	M	D	
25. 401K Account #2 (H)									
26. -American Funds Gr Fund of AM R6 (RGAGX)		None			Sold	09/25/19	L	E	
27. -American Funds Cap Inc. Bdr. R6 (RIRGX)		None			Sold	09/25/19	L	E	
28. -American Funds Wash Mut. Inv. R6 (RWMGX)		None			Sold	09/25/19	L	E	
29. -American Funds EuroPacific Gr R6 (RERGX)		None			Sold	09/25/19	L	E	
30. -Premier Oppenh Fund Inc. Gbl I (MGFZX)		None			Sold	09/25/19	K	D	
31. -AB Discovery Growth Z (CHCZK)		None			Sold	09/25/19	K	D	
32. -Invesco Small Cap Growth R6 (GTSFX)		None			Sold	09/25/19	K	D	
33. -Franklin Small Cap Value R6 (FRCSX)		None			Sold	09/25/19	K	D	
34. -Victory Sycamore Est. Value R6 (VEVRX)		None			Sold	09/25/19	K	D	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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35. -American Funds: Amer Funds Trgt Date Ret 2035 R6 (RFFTX)	A	Dividend			Buy (add'l)	01/15/19	J		
36.					Buy (add'l)	01/31/19	J		
37.					Buy (add'l)	02/15/19	J		
38.					Buy (add'l)	02/28/19	J		
39.					Buy (add'l)	03/05/19	J		
40.					Buy (add'l)	03/15/19	J		
41.					Buy (add'l)	03/29/19	J		
42.					Buy (add'l)	04/15/19	J		
43.					Buy (add'l)	05/16/19	J		
44.					Buy (add'l)	05/20/19	J		
45.					Buy (add'l)	05/31/19	J		
46.					Buy (add'l)	06/14/19	J		
47.					Buy (add'l)	06/28/19	J		
48.					Buy (add'l)	07/15/19	J		
49.					Buy (add'l)	07/31/19	J		
50.					Sold	09/25/19	L	D	
51. HSA Optum Bank Account		None	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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52. HSA Optum Investment Account (H)									
53. -American Funds Capital World (CWGFX)	A	Dividend	J	T					
54. -BlackRock Equity Dividend K Fund (MKDVX)	A	Dividend	J	T					
55. -Goldman Sachs Gr & Inc. Strat (GOIAX)	A	Dividend	J	T					
56. -John Hancock Fundamental Large Cap Core Equity (TAGRX)	A	Dividend	J	T					
57. -John Hancock High Yield A (JHHBX)	A	Dividend	J	T					
58. -Keely Small Cap Value (KSCVX)		None			Sold	05/30/19	J	A	
59. -Keely Small Cap Div Value FD (KSDVX)	A	Dividend	J	T	Buy	05/30/19	J		
60. -Neuberger Berman Genesis Fund (NBGIX)	A	Dividend	J	T					
61. -PIMCo Real Return Fund (PCRAX)	A	Dividend	J	T					
62. -Vanguard 500 Index (VFIAX)	A	Dividend	J	T					
63. -Vanguard Global Equity Fund (VHGEX)	A	Dividend	J	T					
64. -Vanguard Treasury Money Market (VUSXX)	A	Dividend	J	T					
65. -Vanguard Wellington Fund (VWENX)	A	Dividend	J	T					
66. Trust #1 (H)									
67. -Real Estate Interest, [REDACTED]		None			Sold	11/30/19	M	G	[REDACTED]
68. -Shareholder, [REDACTED]		None	K	U					

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69. Member, RUNNYMEDE law group	E	Distribution	M	U					
70. Trust #2 (H)									
71. -Microsoft Corp Stock (MSFT)	A	Dividend	J	T					
72. -Real Estate Interest, [REDACTED] (Y)									
73. Trust #3 (H)									
74. -Microsoft Corp stock (MSFT)	C	Dividend	M	T	Sold (part)	07/24/19	K	E	
75.					Sold (part)	08/02/19	M	G	
76. -International Business Machines Corp (IBM)	A	Dividend	J	T					
77. -Fidelity Government MMKT Capital Reserves (FZAXX)	A	Dividend	M	T	Buy	07/26/19	K		
78.					Sold (part)	07/29/19	K		
79.					Buy (add'l)	08/06/19	M		
80.					Sold (part)	10/07/19	J		
81.					Sold (part)	12/17/19	J		
82. IRA #1 (H)									
83. -AMCAP Fund A (AMCPX)	D	Dividend	M	T	Buy (add'l)	10/08/19	L		
84. -Capital Income Builder-A (CAIBX)	B	Dividend	M	T	Buy (add'l)	10/08/19	L		
85. -Capital World Bond Fund-A (CWBFX)	B	Dividend	L	T	Buy (add'l)	10/08/19	K		

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86. -Capital World Growth and Income Fund-A (CWGIX)	B	Dividend	M	T	Buy (add'l)	10/08/19	L		
87. -EuroPacific Growth Fund-A (AEGPX)	C	Dividend	M	T	Buy (add'l)	10/08/19	L		
88. -Global Balanced Fund-A (GBLAX)	A	Dividend	L	T	Buy (add'l)	10/08/19	K		
89. -The Growth Fund of America-A (AGTHX)	D	Dividend	M	T	Buy (add'l)	10/08/19	L		
90. -The Investment Company of America-A (AIVSX)	D	Dividend	M	T	Buy (add'l)	10/08/19	M		
91. -New World Fund-A (NEWFX)	B	Dividend	L	T	Buy (add'l)	10/08/19	K		
92. -SMALLCAP World Fund-A (SMCWX)	C	Dividend	L	T	Buy (add'l)	10/08/19	K		
93. -Washington Mutual Investors Fund-A (AWSHX)	C	Dividend	M	T	Buy (add'l)	10/08/19	L		
94. ROTH IRA #1 (H)									
95. -AMCAP Fund A (AMCPX)	B	Dividend	K	T					
96. -Capital Income Builder-A (CAIBX)	A	Dividend	K	T					
97. -Capital World Bond Fund-A (CWBFX)	A	Dividend	J	T					
98. -Capital World Growth and Income Fund-A (CWGIX)	A	Dividend	K	T					
99. -EuroPacific Growth Fund-A (AEGPX)	A	Dividend	K	T					
100. -Global Balanced Fund-A (GBLAX)	A	Dividend	K	T					
101. -The Growth Fund of America-A (AGTHX)	C	Dividend	K	T					
102. -The Investment Company of America-A (AIVSX)	C	Dividend	L	T					

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103. -New World Fund-A (NEWFX)	B	Dividend	K	T					
104. -SMALLCAP World Fund-A (SMCWX)	C	Dividend	L	T					
105. -Washington Mutual Investors Fund-A (AWSHX)	B	Dividend	K	T					
106. ROTH IRA #2 (H)									
107. -Wasatch (WMICX)	E	Dividend	M	T					
108. ROTH IRA #3 (H)									
109. -AMCAP Fund A (AMCPX)	A	Dividend	K	T					
110. -Capital Income Builder-A (CAIBX)	A	Dividend	J	T					
111. -Capital World Growth and Income Fund-A (CWGIX)	A	Dividend	K	T					
112. -EuroPacific Growth Fund-A (AEGPX)	A	Dividend	J	T					
113. -The Growth Fund of America-A (AGTHX)	B	Dividend	K	T					
114. -The Investment Company of America-A (AIVSX)	B	Dividend	K	T					
115. Mass Mutual Whole Life Policy	A	Dividend	L	T					
116. MOST 529 Individual College Savings Plan #1 (H)									
117. -Vanguard Interest Accumulation Portfolio		None	K	T					
118. MOST 529 Individual College Savings Plan #2 (H)									
119. -Vanguard Interest Accumulation Portfolio		None	L	T	Sold (part)	12/17/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. MOST 529 Individual College Savings Plan #3 (H)									
121. -Vanguard Interest Accumulation Portfolio	C	Distribution	M	T	Sold (part)	01/10/19	J	D	
122.					Sold (part)	09/09/19	J		
123.					Sold (part)	12/18/19	J	B	
124. American Funds Coverdell Account #1 (H)									
125. -American Funds U.S. GovernmentMoney Market	A	Dividend			Sold	12/17/19	J	D	
126. Private College 529 Savings Plan #1		None	K	T					
127. Investment Club (H)									
128. -Agilent Technologies (A)	A	Dividend			Sold	12/12/19	J		
129. -Alphabet Inc. CL A (GOOGL)		None			Sold	12/12/19	J		
130. -Becton Dickinson & CO (BDX)	A	Dividend			Sold	12/12/19	J		
131. -Bellring Brands, Inc. (BRBR)		None			Buy	11/25/19	J		
132.					Sold	12/12/19	J		
133. -Bristol Meyer's Squibb (BMY)		None			Buy	11/21/19	J		
134.					Sold	12/12/19	J		
135. -Celgene Corp (CELG)		None			Redeemed (part)	11/21/19	J		
136.					Sold	12/12/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Coco Cola (KO)	A	Dividend			Sold	12/12/19	J		
138. -Corning Inc. (GLW)	A	Dividend			Sold	12/12/19	J		
139. -Corteva (CTVA)	A	Dividend			Spinoff (from line 145)	06/01/19	J		
140.					Sold	12/12/19	J		
141. -Dow (DOW)	A	Dividend			Spinoff (from line 145)	04/01/19	J		
142.					Sold (part)	04/05/19	J		
143.					Sold (part)	09/05/19	J		
144.					Sold	12/12/19	J		
145. -Dow Dupont Inc. (DWDP)	A	Dividend			Sold	12/12/19	J		
146. -Dow Dupont de NeMours Inc. (DD)	A	Dividend			Spinoff (from line 145)	06/01/19	J		
147.					Sold (part)	09/05/19	J		
148.					Sold	12/12/19	J		
149. -Ebay Inc.(EBAY)	A	Dividend			Sold	12/12/19	J		
150. -Emerson Electric (EMR)	A	Dividend			Sold	12/12/19	J		
151. -Estee Lauder Co Inc. (EL)	A	Dividend			Sold (part)	09/06/19	J		
152.					Sold	12/12/19	J		
153. -Heico Corp Class A (HEI'A)	A	Dividend			Sold	12/12/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -International Business Machines Corp (IBM)	A	Dividend			Sold	12/12/19	J		
155. -Johnson & Johnson (JNJ)	A	Dividend			Sold	12/12/19	J		
156. -PayPal Holdgs Inc. Com (PYPL)		None			Sold	12/12/19	J		
157. -Pepsico Inc. NC (PEP)	A	Dividend			Sold	12/12/19	J		
158. -Southwest Airlines (LUV)	A	Dividend			Sold	12/12/19	J		
159. -Cash in Morgan Stanley Money Market Account	A	Interest			Distributed	12/24/19	J		
160. IRA #2 (H)									
161. -Amcap Fund A (AMCPX)	C	Dividend	L	T	Buy	10/07/19	L		
162. -Capital Income Builder-A (CAIBX)	B	Dividend	L	T	Buy	10/07/19	L		
163. -Capital World Bond Fund-A (CWBFX)	A	Dividend	K	T	Buy	10/07/19	K		
164. -Capital World Growth and Income Fund-A (CWGIX)	A	Dividend	L	T	Buy	10/07/19	L		
165. -EuroPacific Growth Fund-A (AEPGX)	B	Dividend	L	T	Buy	10/07/19	L		
166. -Global Balanced Fund-A (GBLAX)	A	Dividend	K	T	Buy	10/07/19	K		
167. -The Growth Fund of America-A (AGTHX)	C	Dividend	L	T	Buy	10/07/19	L		
168. -The Investment Company of America-A (AIVSX)	D	Dividend	M	T	Buy	10/07/19	M		
169. -New World Fund-A (NEWFX)	B	Dividend	K	T	Buy	10/07/19	K		
170. -SMALLCAP World Fund-A (SMCWX)	B	Dividend	K	T	Buy	10/07/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. -Washington Mutual Investors Fund-A (AWSHX)	B	Dividend	L	T	Buy	10/07/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I, Line 5: In 2019, I was the trustee of the Irrev. Trust #1. Irrev. Trust #1 is a life insurance trust and is unfunded.
2. Part I, Line 6: In 2019, I was the trustee of Irrev. Trust #2. Irrev. Trust #2 is a life insurance trust and it is unfunded.
3. Part VI: This amended report includes a property tax liability that was inadvertently omitted from the original 2019 report and the nomination reports.
4. Part VII: The assets listed on Lines 39-47; 94; 97-98; 101-103; 105-106; 110, and 124 of filer's nomination report were sold in 2018 prior to filer taking judicial office.
5. Part VII, Lines 3-11 and Line 24 represent a rollover of 401k assets into an IRA as noted by purchases listed in Lines 83-93.
6. Part VII, Lines 26-34 and Line 50 represent a rollover of 401k assets into an IRA as noted by purchases listed in Lines 161-171.
7. Part VII, Lines 58-59 represent an exchange of assets held in an HSA account.
8. Part VII, Lines 74-75: The realized gain identified is calculated using an estimated cost basis based on information known to date.
9. Part VII, Line 121 The gain represented in line 121 reflects the total gain for both the sale on 1/10/2019 (listed in Line 121) as well as the sale on 9/09/2019 (listed in Line 122) because the statement does not break down the gains on each sale.
10. Part VII, Line 124: Coverdell #1 was identified in filer's nomination report as Coverdell #2; however, because all the assets of Coverdell #1 as identified in filer's nomination report were sold in 2018 prior to filer taking judicial office, there is only one Coverdell account which is now identified as Coverdell#1.
11. Part VII, Line 159: My [REDACTED] no longer has any interest in the securities identified in lines 128 through 159, because on December 12, 2019 [REDACTED] sold [REDACTED] entire interest in the Investment Club. In the original 2019 report, the sale date of [REDACTED] entire interest in the Investment Club was noted only in Part VIII. The sale of [REDACTED] entire interest in the Investment Club resulted in the termination of my [REDACTED] ownership interest in each asset held by the Investment Club. The termination of my [REDACTED] ownership interest in each asset held by the Investment Club is listed as "sold" in part D of Section VII in this amended 2019 report. On December 24, 2019, my [REDACTED] was distributed an amount equal to [REDACTED] proportionate share of the total value of the assets held by the Investment Club as shown on line 159. [REDACTED] had a gain in the 2019 partnership activity of value code A; however the sale of [REDACTED] partnership interest resulted in an overall loss.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephen R. Clark, Sr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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