AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thacker, Stephanie D.	U.S. Court of Appeals for the Fourth Circuit	01/05/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
Robert C. Byrd United States Courthouse 300 Virginia Street, East Room 7404 Charleston, West Virginia 25301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct, Trial Advocacy	West Virginia University College of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2019	West Virginia University College of Law - Adjunct	$4,500.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	West Virginia University College of Law	January 14, 2019 - April 12, 2019	Morgantown, West Virginia	Adjunct - Teaching Trial Advocacy	Compensation
2.	West Virginia University College of Law	March 28, 2019	Morgantown, West Virginia	Speaker at a Women's Judicial Panel	Lodging
3.	Washington University School of Law	August 19, 2019	St. Louis, MO	Convocation	Lodging, , air fare, some meals
4.	Federal Bar Association	September 26, 2019	Baltimore, MD	Panel Speaker	Lodging; mileage and some meals
5.					

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Thacker, Stephanie D.	01/05/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Blackrock HighYield Bond Fund BHYIX	A	Dividend	J	T	Sold (part)	01/23/19	J	A	
2. Combiar International Fund CAMIX	A	Dividend	K	T	Sold (part)	01/23/19	J	A	
3.					Sold (part)	06/21/19	J	A	
4. Clearbridge LargeCap Equity Fund SBIYX	A	Dividend	K	T	Buy	01/23/19	J		
5.					Buy	06/21/19	J		
6. Delaware Corporate Bond Fund DGCIX	A	Dividend	K	T	Buy	01/23/19	J		
7. Edgewood Growth Fund EGFIX	A	Dividend	K	T	Buy	01/23/19	J		
8.					Buy	06/21/19	J		
9. Harding Loevner International Equity Fund HLMIX	A	Dividend	J	T	Sold (part)	01/23/19	J	A	
10.					Sold (part)	06/21/19	J	A	
11. Hartford Schroders Emerging Market Equity Fund - SEMNX	A	Dividend	K	T	Buy	01/23/19	J		
12.					Sold (part)	06/21/19	J	B	
13. JP Morgan Equity Income Fund HLIEX	B	Dividend	L	T	Buy	01/23/19	J		
14.					Sold (part)	06/21/19	J	A	
15. Nuveen Small Cap Value Equity Fund FSCCX	A	Dividend	J	T	Buy	01/23/19	J		
16.					Sold	06/21/19	J	A	
17. PGIM Short Term Corporate Bond PIFZX	A	Dividend	K	T	Buy	01/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18.						Buy	06/21/19	J		
19.	Pimco International Bond Fund PFBPX	A	Dividend	J	T	Buy	06/21/19	J		
20.	Sound Shore Equity Fund SSHVX	A	Dividend	K	T	Buy	01/23/19			
21.						Sold	06/21/19	K	A	
22.	T Rowe Price US Treasury Fund PRTIX	A	Dividend	K	T	Sold (part)	01/23/19	J	A	
23.						Buy	06/21/19	J		
24.	TCW Total Return Bond Fund PRTIX	B	Dividend	K	T	Sold (part)	01/23/19	J	A	
25.						Buy	06/21/19	J		
26.	Wells Fargo Small Cap Growth Equity Fund WSCGX	A	Dividend	J	T	Buy	01/23/19	J		
27.	Wells Fargo Special Small Cap Value Equity Fund - ESPNX	A	Dividend	J	T	Buy	06/21/19	J		
28.	Diamond Hill Large Cap GrowthEquity Fund DHLRX	A	Dividend	K	T	Buy	06/21/19	K		
29.	Bank of America Money Market Account	A	Dividend	J	T					
30.	Blackrock Liquidity Fund TSTXX	A	Dividend	J	T	Buy	08/16/19	J		
31.										
32.	American Tax-Exempt Bond Fund of America CI F3	A	Dividend	J	T					
33.	Hartford International Opportunities CI F	A	Dividend	J	T					
34.	Hartford Dividend and Growth CI F	A	Dividend	J	T					

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35. Hartford Midcap CI F	A	Dividend	J	T					
36. JP Morgan Emerging Markets Equity CI R6	A	Dividend	J	T					
37. JP Morgan Equity Income CI R6	A	Dividend	J	T					
38. MFS Municipal Income CI R6	A	Dividend	J	T					
39. Edward Jones Money Market account	A	Dividend	J	T					

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	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Thacker, Stephanie D.	01/05/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephanie D. Thacker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544