

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Drell, Dee D.	2. Court or Organization Western Distric LA, Alexandria	3. Date of Report 07/10/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 515 Murray Street Alexandria, LA 71309		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member (Governor)	The Elongated Collectors
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Drell, Dee D.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Retirement Benefits, LASERS
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. LA State Bar Association	Sept. 12-13, 2019	New Orleans, LA	Admiralty Seminar	Partial Hotel
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. USAA Savings Bank	Credit Card	J
2.		
3.		
4.		
5.		

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Name of Person Reporting

Drell, Dee D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.									
2. Disney Walt Co COM	A	Dividend	K	T					
3. Red River Bank		None	J	T					
4. Berkshire-Hathaway, Inc, Cl B (See Part VIII)		None	K	T					
5. First TR Exchange (Consumer Staple)		None			Sold	01/04/19	J		
6. Equitable Variable Life Ins. Co. (See Part VIII)	A	Interest	J	T					
7. Provident Life & Accident Ins. Co. (See Part VIII)		None			Expired	07/31/19	J		
8. Powershares Global Exchange (became INVESCO)	A	Dividend	K	T					
9. First TR Exchange (Healthcare)		None	K	T					
10. J P Morgan Exchange Traded Untra Short, Inc.	A	Int./Div.	K	T	Buy (add'l)	01/04/19	J		
11.									
12. Select Sector SPDR TR SBI INT_ENERGY	A	Dividend	K	T					
13. Apple Inc Com	A	Dividend	K	T					
14.									
15. La Dept of Corrections Credit Union	A	Interest	J	T					
16. JANUS Worldwide Fund	B	Dividend	L	T					
17. JANUS Worldwide Fund	B	Distribution							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. JANUS Balanced Fund	C	Dividend	M	T					
19. JANUS Balanced Fund	A	Distribution							
20. Retail Properties of America, common (See Part VIII)	B	Dividend	J	T					
21. American Electric Power Common Stock	A	Dividend	K	T					
22.									
23. Capital One Fin. Corp Com	A	Dividend	J	T					
24.									
25.									
26.									
27.									
28.									
29. Southern Co Com	B	Dividend	K	T					
30.									
31.									
32.									
33.									
34.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35.									
36. FPA Crescent Portfolio	A	Dividend	K	T					
37.	A	Distribution							
38.									
39.									
40.									
41.									
42.									
43.									
44.									
45. Vanguard Wellington FD, US Value (See Section VIII)	A	Dividend			Sold	06/10/19	J		
46. Vanguard World FDS Financials	A	Dividend			Sold	06/10/19	J	B	
47. Vanguard World Mega Cap Growth Fund	A	Dividend	L	T	Sold (part)	08/21/19	J	B	
48. Vanguard Whitehall Funds, Inc. (High Yield)	B	Dividend	L	T	Sold (part)	11/05/19	J	D	
49. Brokerage Money Market (Account #1), FSC Sec. Corp./ LPL Financial)	A	Interest	K	T					
50. ishares TR S&P Moderate	A	Dividend	K	T					
51.	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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P2 =\$5,000,001 - \$25,000,000

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E =\$15,001 - \$50,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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52.									
53.									
54.									
55.									
56.									
57.									
58.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

From Part VII, line 4: This Berkshire-Hathaway stock was actually purchased on 12/23/16 with a value code "K" which Code has remained consistent since then. Inadvertently, the item has not been included in reports of 2017 or 2018. This entry corrects and amends those reports.

From Part VII, lines 16-17: Distributions and Dividends are separated but only one year-end value is provided for entire fund

From Part VII, lines 18-19: Distributions and Dividends are separated but only one year-end value is provided for entire fund

From Part VII, lines 16-19: . Janus Funds are now referred to in literature as "Henderson and Janus". The Worldwide Fund is now referred to as Global Research Fund D

From Part VII, line 36: Income includes mix of dividend and cap gain distributions

From Part VII, Line 45: The purchase of the shares in Vaguard Wellington on 2/ 26.18 was inadvertently omitted from the 2018 report The purchase would have carried the Value Code "J". It is not possible to file an amended 2018 report in FIDO because the data was lost when our IT department replaced my computer. We have tried to retrieve it without success. This entry amends the 2018 report.

From Part VII, line 49: During 2019 the Brokerage changed from FSC to LPL.

From Part VII, lines 50-51: The software will not allow the value code section to be left blank. However we only have a final value that includes all ishares holdings. It is suggested that the line 50 codes be ignored to prevent an improper skewing of the true value of those holdings.

From Part VII, lines 6 and 7. : In regard to Equitable Variable Life Ins. Co, it is a variable life policy. NO investment options have ever been selected. Any funds paid in are applied only to increase cash value/death benefit only.

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Name of Person Reporting

Drell, Dee D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dee D. Drell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544