

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSENSTENGEL, NANCY J.	2. Court or Organization UNITED STATES DISTRICT COURT-EAST ST. LOUIS	3. Date of Report 10/26/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address UNITED STATES DISTRICT COURT MELVIN PRICE FEDERAL BUILDING AND COURTHOUSE 750 MISSOURI AVENUE ROOM 104 EAST ST. LOUIS, ILLINOIS 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

BONIFIELD AND ROSENSTENGEL, INC. ATTORNEY AT LAW-SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FCB BANK	MORTGAGE ON LAW FIRM BUILDING-SPOUSE	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. REGIONS BANK	A	Interest	K	T					
2. BONIFIELD AND ROSENSTENGEL STOCK	A	Dividend	N	W					
3. HUNTLEIGH IRA AND JOINT ACCTS (h)									
4. -HUNTLEIGH BANK DEPOSIT SWEEP ACCOUNT	A	Interest	K	T	Buy	12/27/16	K		
5. -FLEXSHARES TRUST QUAL DIV INDEX FD	A	Dividend	J	T	Buy	05/27/16	J		
6. -ISHARES ET US CONSUMER GOODS	A	Int./Div.	J	T	Buy	05/27/16	J		
7. MORGAN STANDLEY TECH ET MTK	A	Int./Div.	J	T	Buy	05/27/16	J		
8. -PIMCO INVESTMENT ET GRADE CORP BOND INDEX FD	A	Interest	J	T	Buy	05/27/16	J		
9. PIMCO 0-5 YR HIGH ET GRADE CORP BOND INDEX FD	A	Interest	J	T	Buy	05/27/16	J		
10. -POWERSHARES KBW REGIONAL BANKING	A	Int./Div.	J	T	Buy	11/29/16	J		
11. -SELECT SECTOR SPDR CONSUMER STAPLES	A	Int./Div.	J	T	Buy	05/20/16	J		
12. -SPDR BLOOMBERG ET BARCLAYS SHORT TERM HIGH YIELD BN ETF	A	Int./Div.	J	T	Buy	06/03/16	J		
13. -SPDR S&P HEALTH CARE ET SERVICE	A	Int./Div.	J	T	Buy	05/27/16	J		
14. -FIRST TR NASDAQ ABA COMMUNITY BANK	A	Int./Div.	J	T	Buy	01/15/16	J		
15. -VANGUARD INTERMEDIATE TERM CORP BOND	A	Interest	J	T	Buy	05/27/16	J		
16.					Sold (part)	11/29/16	J	A	
17. -VANGUARD SHORT TERM ET CORP BD	A	Interest	J	T	Buy	11/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -VANGUARD TELECOMMUNICATION SERV		Int./Div.			Buy	05/20/16	J		
19.					Sold	08/17/16	J	A	
20. -WISDOMTREE EUROPE SMALL CAP DIV FUND	A	Int./Div.	J	T	Buy	05/27/16	J		
21. -WISDOMTREE LOW P/E FUND	A	Int./Div.	J	T	Buy	05/27/16	J		
22. -ISHARES MSCI JAPAN	A	Int./Div.			Buy	05/27/16	J		
23.					Sold	08/05/16	J	A	
24. -SPDR BARCLAYS HIGH YIELD BOND ETF	A	Int./Div.			Sold	06/03/16	J	A	
25. -WESTERN ASSETS HIGHLY DEFIN OPPTY FD	A	Int./Div.			Buy	06/03/16	J		
26.					Sold	11/28/16	J	A	
27. -ISHARES S&P 500 GROWTH INDEX	A	Dividend			Sold	05/16/16	J	A	
28. -POWERSHARES QQQ TR SERIES 1	A	Dividend			Sold	05/16/16	J	A	
29. -FRANKLIN CUSTODIAN FDS INCOME SER ADV	A	Dividend	J	T					
30. -SELECT SECTOR SPDR HEALTHCARE	A	Dividend			Sold	05/16/16	J	A	
31. -SELECT SECTOR SPDR T CONSUMER STAPLES	A	Dividend			Sold	05/16/16	J	A	
32. -FIRST TRUST CONSUMER STAPLES APH	A	Dividend			Sold	05/16/16	J	A	
33. -VANGUARD WHITEHALL HIGH DIV YIELD	A	Dividend			Sold	05/16/16	J	A	
34. -WESTERN ASSET HIGH Y DEFINED OPP FUND	A	Dividend			Sold	05/16/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -BLACKROCK DEF. OPPORTUNITY CREDIT TRUST	A	Dividend			Sold	05/16/16	J	A	
36. FRANKLIN INCOME FUND A ACCT#2	A	Dividend	J	T					
37. BRIGHT STAR EQUITYCL H ACCT#1 BASED 10-11	A	Dividend	J	T					
38. BRIGHT STAR EQUITYCL H ACCT #2 BASED 12-14	A	Dividend	J	T					
39. BRIGHT STAR EQUITYCL H ACCT #3 BASED 15-17	A	Dividend	J	T					
40. UMB ACCT #1 COCA COLA STOCK	A	Dividend	J	T					
41. UMB ACCT #2 COCA COLA STOCK	A	Dividend	J	T					
42. UMB ACCT #3 COCA COLA STOCK	A	Dividend	J	T					
43. EDWARD D JONES ACCT #1 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
44. EDWARD D JONES ACCT #2 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
45. EDWARD D JONES ACCT #3 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
46. NML LIFE INSURANCE-WHOLE LIFE	C	Dividend	M	T					
47. COUNTRY COMPANIES WHOLE LIFE INSURANCE CV	B	Dividend	L	T					
48. HARTFORD LIFE INSURANCE POLICY-CASH VALUE (X)	B	Dividend	K	T					
49. JJB PROFIT SHARING PLAN (H)									
50. -FIRST TR NASDAQ ABA COMMUNITY BANK	C	Int./Div.			Buy	01/15/16	L		
51.					Sold	05/16/16	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -WISDOM TREE LOW P/E	B	Int./Div.	L	T	Buy	05/20/16	J		
53.					Buy (add'l)	05/27/16	K		
54. -WISDOM TREE EUROPE SM CAP DIV SERV	B	Int./Div.	K	T	Buy	05/20/16	K		
55.					Buy (add'l)	05/27/16	J		
56. -VANGUARD TELECOMMUNICATION SER	B	Int./Div.			Buy	05/20/16	J		
57.					Buy (add'l)	05/27/16	J		
58.					Sold	08/17/16	K	A	
59. -VANGUARD INTERMEDIATE TERM CORP BOND	B	Int./Div.			Buy	05/20/16	K		
60.					Buy (add'l)	05/27/16	K		
61.					Sold	11/29/16	K	A	
62. -SPDR BARCLAYS HIGH YIELD BOND	C	Int./Div.			Buy	05/20/16	J		
63.					Buy (add'l)	05/27/16	J		
64.					Sold	06/03/16	J	A	
65. -MORGAN STANLEY TECH	B	Int./Div.	J	T	Buy	05/20/16	K		
66.					Buy (add'l)	05/27/16	J		
67. -SPDR BARCLAYS SHORT TERM YIELD BOND	B	Int./Div.	K	T	Buy	05/20/16	J		
68.					Buy (add'l)	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	06/03/16	J		
70. -SPDR S&P HEALTH CARE SERV	B	Int./Div.	J	T	Buy	05/20/16	J		
71.					Buy (add'l)	05/27/16	J		
72. -SELECT SECTOR SPDR T CONSUMER STAPLES	C	Int./Div.	L	T	Buy	05/20/16	K		
73.					Buy (add'l)	05/27/16	K		
74. -PIMCO INVESTMENT GRADE CORP BOND INDEX	C	Int./Div.	K	T	Buy	05/20/16	K		
75.					Buy (add'l)	05/27/16	K		
76.					Sold (part)	11/29/16	K	A	
77. -PIMCO 0-5 YR HIGH YIELD CORP BOND	B	Int./Div.	K	T	Buy	05/20/16	J		
78.					Buy (add'l)	05/27/16	J		
79. -ISHARE MSCI JAPAN	B	Int./Div.			Buy	05/20/16	J		
80.					Buy (add'l)	05/27/16	J		
81.					Sold	08/03/16	K	A	
82. -ISHARE US CONSUMER GOODS	D	Int./Div.	L	T	Buy	05/20/16	L		
83.					Buy (add'l)	05/27/16	L		
84.					Sold (part)	11/29/16	J	A	
85. -FLEXSHARES TRUST QUALITY DIV INDEX FUND	D	Int./Div.	M	T	Buy	05/20/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	11/29/16	J	A	
87.					Buy (add'l)	05/27/16	L		
88. -WESTERN ASSET HIGH Y DEFINED OPPORT FD	A	Int./Div.			Buy	06/03/16	J		
89.					Sold	11/28/16	J	A	
90. -COMMERICA BANK TX ACT 365	D	Interest			Buy	08/29/16	L		
91.					Sold	09/30/16	M	A	
92.					Buy (add'l)	08/29/16	L		
93. -BANK OF CHINA NEW YORK CITY NC ACT FDIC INSURED	D	Interest			Buy	09/19/16	M		
94.					Sold	10/21/16	M	A	
95. -FORTIS PRIVATE BANK DENVER CO ACT 365	D	Interest			Buy	09/20/16	M		
96.					Sold	10/31/16	M	A	
97. -BANK OF INDIA NEW YORK NY ACT 365	D	Interest			Buy	09/28/16	M		
98.					Sold	11/02/16	M	A	
99. -VANGUARD SHORT TERM CORP TERM BOND BD	B	Interest	L	T	Buy	11/29/16	L		
100. -POWER SHARES KBW REGINAL BANK	C	Int./Div.	L	T	Buy	11/29/16	L		
101. -SELECT SECTOR SPDR F HEALTH CARE	B	Dividend			Sold	05/16/16	M	E	
102. -JP MORGAN 100% US TREASURY SECURITIES MONEY MARKET	A	Interest	O	T	Buy	11/02/16	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -FRANKLIN CUSTODIAN FDS INCOME SERIES ADV CL AD	E	Dividend			Sold	05/16/16	O	C	
104. -FIRST TRUST STOXX ETF EUROPEAN SELECT DIV	C	Dividend			Sold	05/16/16	L	C	
105. -VANGUARD WHITEHALL HIGH DIV YIELD	D	Dividend			Sold	05/16/16	M	C	
106. -SELECT SECTOR SPDR CONSUMER DISCRETIONARY	A	Dividend			Sold	05/16/16	L	C	
107. -FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	B	Dividend			Sold	05/16/16	L	D	
108. OFFICE PROPERTY, BELLEVILLE, IL ACQUIRED 12/31/12 \$150,000 PRICE	D	Rent	M	R					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 49 THROUGH 110. THE PLAN IS, HOWEVER, MANAGED BY A PROFESSIONAL INVESTMENT ADVISOR AND THE REPORTING PERSON HAS NO KNOWLEDGE OF THE PLANS TRANSACTIONS NOR HAS ACCESS TO THE DATA.

ALL PERSONAL ITEMS ARE REPORTED ON LINES 1 THROUGH 49 ON THE SAME REPORT PAGE OF PART VII.

LINE 108 COLUMN A REFLECTS AN INVESTMENT IN OFFICE BUILDING, COST BASIS \$150,000, LOCATED BELLEVILLE, ST. CLAIR COUNTY, ILLINOIS
AND WAS ACQUIRED 12/31/2012. LAW FIRM OF SPOUSE OF REPORTING PERSON RESIDENCES IN BUILDING.

CORRECTION OF PRIOR PERIOD REPORTS (AS A TRANSACTION AND VALUE CARRIED FORWARD): THE ASSET VALUE WAS REPORTED IN ERROR ON THE 2015 REORT AND THE 2016 REPORT, LINE 34, AS A BRIGHT START ADVISOR EQUITY H, INVESTMENT. IT WAS REPORTED IN ERROR AND WAS DISCOVERED TO BE A DUPLICATE OF ONE OF THE BRIGHT START INVESTMENTS REPORTED ON LINES 25, 26, AND 27 OF THE REPORTS.
THERE WAS ALWAYS ONLY THREE BRIGHT START ACCOUNTS NOT FOUR. IN AN ATTEMPT TO CORRECT THE ERROR, WE DELETED IT FROM THE CARRYFORWARD INFORMATION FROM THE 2015 REPORT TO THE 2016 REPORT.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

ROSENSTENGEL, NANCY J.

Date of Report

10/26/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **NANCY J. ROSENSTENGEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544