

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seitz, Patricia A.	2. Court or Organization District Court, SDFL	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. District Court 400 North Miami Avenue, Chambers 11-4 Miami, FL 33128-1810		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/15/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Richman Greer P.A. - Salary
2. 2019	Day Pitney LLP - Salary
3. 2019	The Northern Trust Company - Minimum Required Distribution
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Seitz, Patricia A.	05/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Timberland, Clark Co., AR, Appraisal dated 1996		None	K	Q					
2. Richman, Greer, P.A. interest in law firm		None			Sold	01/01/19	L	D	
3. City National Bank, Money Market, Miami, FL	B	Interest	N	T					
4. Northern Trust Bank, IRA/U.S. Treasury Bills, Miami, FL,	B	Interest	M	T					
5. Interest in Richman Greer 401(k) Plan		None	P1	T					
6. Wells Fargo, N.A., Miami, FL	C	Interest	O	T					
7. USAA Savings Account	A	Interest	J	T					
8. Oil & Gas Interest, Trego Co., KS	D	Royalty	K	W					
9. Condo Boat Slip, Miami-Dade County, FL	C	Rent	M	S					
10. Northern Trust Bank, Money Market - Miami, FL	B	Interest	N	T					
11. MS Cash	A	Interest	J	T					
12. MS Bank Deposit Program - CitiBank NA	A	Interest	K	T					
13. Berkshire Hathaway Inc. CL B	A	Dividend			Sold (part)	08/26/19	L	C	
14.					Sold	08/30/19	M	C	
15. IShares Russell 2000 ETF	B	Dividend			Sold (part)	03/13/19	J	B	
16.					Sold (part)	08/26/19	K	C	
17.					Sold	08/30/19	K	C	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IVY Sci & Tech	A	Dividend			Buy (add'l)	02/05/19	J		
19.					Buy	05/16/19	J		
20.					Sold (part)	08/26/19	K	D	
21.					Sold	08/30/19	L	E	
22. MFB Northern FDS Money Mkt FD (X)	A	Dividend	M	T					
23. Nuveen Ehcd Mun Cred Opp Fd	C	Dividend			Buy (add'l)	05/14/19	J		
24.					Sold	09/13/19	L	D	
25. T Rowe Price Health Science Fd	A	Dividend			Sold (part)	08/26/19	K	C	
26.					Sold	08/30/19	K	C	
27. Vanguard Ltd Tm Tx Ext Inv	B	Dividend	M	T					
28. American New Perspective F2	B	Dividend			Sold (part)	02/05/19	K	C	
29.					Sold	08/30/19	L	D	
30. Industrial Sel Sec Spdr Fd	B	Dividend			Sold	08/30/19	K	C	
31. T Rowe Price Blue Chip Gr	A	Dividend			Buy (add'l)	02/05/19	K		
32.					Buy (add'l)	05/16/19	J		
33.					Sold (part)	08/26/19	L	D	
34.					Sold	08/30/19	M	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. General Electric Co 5% Fixed to 01/21/21	B	Interest	K	T					
36. AT&T Inc 5% due 03/01/21	B	Interest			Sold	03/27/19	L	A	
37. American International Group Inc. 4.875% due 06/01/22	B	Interest	L	T					
38. Bank of America Corp 5% due 05/13/21	B	Interest	L	T					
39. Blackrock Inc	A	Dividend			Sold	02/05/19	K	D	
40. Goldman Sachs Group 4% due 03/03/24	C	Interest	L	T					
41. HSBC Holdings PLC 4.875% due 01/14/22	B	Interest	L	T					
42. Ishares MSCI ACWI EX US ETF	B	Dividend			Sold (part)	08/26/19	K	B	
43.					Sold	08/30/19	K	B	
44. Ishares US Aerospace & Def ETF	A	Dividend			Buy (add'l)	03/13/19	J		
45.					Sold (part)	08/26/19	K	D	
46.					Sold	08/30/19	L	D	
47. JCMorgan Chase & Co 4.5% due 01/24/22	B	Interest	K	T					
48. Marsh & McLennab Cos Inc 4.05% due 10/15/23	B	Interest	L	T					
49. T Rowe Price QM US SM CP	A	Dividend			Buy (add'l)	02/05/19	J		
50.					Sold	08/30/19	L	D	
51. Verizon Communications 5.15% due 09/15/23	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. AT&T Inc 4.45% 4/1/24	B	Interest	L	T	Buy	03/29/19	L		
53. Anheuser Busch Inbev Worldwide Inc. 4.375% 02/15/21	B	Interest	L	T	Buy	12/17/19	L		
54. Apple Inc.	A	Dividend			Buy	02/05/19	K		
55. Apple Inc.	A	Dividend			Sold	08/30/19	K	D	
56. MSILF Government PTF INST	A	Dividend	K	T	Buy	08/28/19	N		
57.					Sold (part)	09/11/19	N	A	
58.					Sold (part)	09/12/19	L	A	
59.					Sold (part)	12/13/19	J	A	
60. Wells Fargo & Co 4.125% 02/15/21	B	Interest	L	T	Buy	12/17/19	L		
61. United States Treasury Bill 09/26/19	A	Interest			Buy	09/03/19	O		
62.					Matured	09/26/19	O	B	
63. United States Treasury Bill 12/05/19	A	Interest			Buy	09/12/19	L		
64.					Matured	12/05/19	L	A	
65. United States Treasury Bill 09/10/20	B	Interest	L	T	Buy	09/12/19	L		
66. United States Treasury Bill 03/05/20	A	Interest	L	T	Buy	09/12/19	L		
67. United States Treasury Bill 12/26/19	B	Interest			Buy	10/01/19	N		
68.					Redeemed	12/26/19	N	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. United States Treasury Bill 03/26/20	C	Interest	N	T	Buy	10/01/19	N		
70. United States Treasury Bill 06/18/20	A	Interest	K	T	Buy	11/15/19	K		
71. United States Treasury Bill 12/03/20	B	Interest	L	T	Buy	12/09/19	L		
72. United States Treasury Bill 01/28/20	B	Interest	N	T	Buy	12/30/19	N		
73. United States Treasury Note 1.125% 09/10/21	B	Interest	L	T	Buy	09/13/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia A. Seitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544