

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SINGLETON, JAMES K.	2. Court or Organization U.S. DISTRICT COURT, ALASKA	3. Date of Report 5/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address THE JAMES M. FITZGERALD UNITED STATES COURTHOUSE AND FEDERAL BUILDING 222 W. 7TH AVENUE ANCHORAGE, AK 99513-7524		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/01/1994	STATE OF ALASKA, JUDICIAL RETIREMENT
2. _____	_____
3. _____	_____

Name of Person Reporting	Date of Report
SINGLETON, JAMES K.	5/13/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2019	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN - RETIREMENT INCOME	\$121,751.16
2. 2019	MERRILL LYNCH AS CUSTODIAN - RETIREMENT INCOME	\$2,338.20
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2019	TRANSAMERICA LIFE INSURANCE COMPANY - RETIREMENT PLAN
2. 2019	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN FOR TEACHERS - RETIREMENT INCOME
3. 2019	MERRILL LYNCH AS CUSTODIAN - RETIREMENT INCOME
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[x] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
SINGLETON, JAMES K.	5/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. FNBA BANK ACCOUNT		None	M	T					
2. ALASKA SUPPLEMENTAL ANNUITY PLAN		None	N	T	Distributed (part)	12/31/19	K	E	
3. MERRILL LYNCH WEALTH MANAGEMENT #1: (H)									
4. -AMN ELEC POWER CO	A	Dividend	J	T	Sold (part)	10/31/19	J	A	
5. -AMER EXPRESS COMPANY	A	Dividend	J	T					
6. -APPLE INC	A	Dividend	J	T					
7. -BECTON DICKENSON CO	A	Dividend	J	T					
8. -DIAGEO PLC SPSP ADR NEW	A	Dividend	J	T					
9. -DOWDUPONT INC COM	A	Dividend			Sold	04/29/19	J	A	
10. -DISNEY (WALT) CO COM STK	A	Dividend	J	T					
11. -EXXON MOBIL CORP COM	A	Dividend	J	T					
12. -ISHARES NASDAQ BIOTECH	A	Dividend	J	T					
13. -ISHARES IBOXX \$		None			Sold	01/16/19	J		
14. -ISHARES TIPS BOND ETF	A	Dividend	J	T	Buy (add'l)	12/16/19	J		
15. -VANGUARD FINANCIALS ETF	A	Dividend	J	T	Sold (part)	09/12/19	J	A	
16. -VANGUARD INFORMATION TECH ETF	A	Dividend	J	T	Buy (add'l)	09/16/19	J		
17.					Buy (add'l)	12/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	01/16/19	J	A	
19.					Sold (part)	07/16/19	J	A	
20. -INVESCO EMERGING MARKETS	A	Dividend	J	T	Buy (add'l)	12/16/19	J		
21. -ISHARES 3-7 YEARS TREASURY BONDS ETF	A	Dividend	J	T	Buy (add'l)	12/16/19	J		
22.					Sold (part)	01/16/19	J		
23. -ISHARES MBS ETF	B	Dividend	K	T	Buy (add'l)	01/18/19	J		
24.					Buy (add'l)	12/16/19	J		
25. -VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend	J	T	Buy (add'l)	12/16/19	J		
26.					Sold (part)	01/16/19	K		
27. -ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND	A	Dividend	J	T					
28. -VANGUARD SHORT TERM BOND		None			Sold	01/16/19	J		
29. -SPDR S&P INSURANCE ETF	A	Dividend	J	T					
30. -REAL ESTATE SELECT SECTOR SPDR FD SHS	A	Dividend	J	T					
31. -INVESCO PREFERRED ETF	A	Dividend	J	T					
32. -HOME DEPOT INC	A	Dividend	J	T					
33. -VANECK VECTORS J.P. MORGAN EM LOCAL CURR	A	Dividend	J	T					
34. -ISHARES INC CORE MSCI EMERGING MKTS ETF	A	Dividend	J	T	Buy (add'l)	01/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	12/16/19	J		
36. -JPMORGAN CHASE & CO	A	Dividend	J	T					
37. -JOHNSON AND JOHNSON COM	A	Dividend			Sold	05/29/19	J		
38. -MCDONALDS CORP COM	A	Dividend	J	T					
39. -MICROSOFT CORP	A	Dividend	J	T					
40. -NEXTERA ENERGY INC SHS	A	Dividend	J	T					
41. -NORTHROP GRUMMAN CORP	A	Dividend	J	T	Buy (add'l)	08/07/19	J		
42. -PNC FINCL SERVICES GROUP	A	Dividend			Sold	08/19/19	J		
43. -PFIZER INC	A	Dividend	J	T					
44. -RAYTHEON CO DELAWARE NEW	A	Dividend	J	T					
45. -ROYAL DUTCH SHEL PLC	A	Dividend	J	T					
46. -SIMON PROPERTY GROUP DEL	A	Dividend			Sold	12/11/19	J		
47. -HEALTH CARE SELECT SPDR	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
48.					Buy (add'l)	07/16/19	J		
49. -SECTOR SPDR CONSMRS STPL	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
50.					Sold (part)	03/20/19	J	A	
51. -CONSUMER DISCRETIONARY SPDR	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -SECTOR SPDR ENERGY	A	Dividend	J	T					
53. -SECTOR SPDR INDUSTRIAL	A	Dividend	J	T	Sold (part)	01/16/19	J	A	
54. -SECTOR SPDR UTILITIES	A	Dividend	J	T					
55. -3M COMPANY	A	Dividend			Sold	04/29/19	J	A	
56. -UNITEDHEALTH GROUP INC	A	Dividend	J	T					
57. -UNITED TECHS CORP COM	A	Dividend	J	T					
58. -VERIZON COMMUNICATNS COM	A	Dividend	J	T					
59. -VISA INC CL A SHRS	A	Dividend	J	T					
60. -WALMART INC	A	Dividend	J	T					
61. -LOOMIS SAYLES STRATEGIC INC FD CL Y	B	Dividend	K	T	Buy (add'l)	06/05/19	J		
62.					Buy (add'l)	12/13/19	J		
63. -ACCENTURE PLC SHS	A	Dividend	J	T					
64. -AMAZON COM INC COM		None	J	T					
65. -AUTOMATIC DATA PROC	A	Dividend			Sold	09/19/19	J	A	
66. -INTUIT INC COM	A	Dividend	J	T					
67. -PEPSICO INC	A	Dividend	J	T					
68. -STRYKER CORP	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -TJX COS INC NEW	A	Dividend	J	T					
70. -TRAVELERS COS INC	A	Dividend			Sold	10/21/19	J	A	
71. -WASTE MANAGEMENT INC NEW	A	Dividend	J	T	Sold (part)	10/31/19	J	A	
72. -ZOETIS INC	A	Dividend	J	T					
73. -COMM SERVICES SELECT	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
74. -FIRST TRUST DOW JONES		None	J	T					
75. -ISHARES TR CORE MSCI EAF ETF	A	Dividend	J	T	Sold (part)	01/16/19	J		
76.					Sold (part)	06/13/19	J		
77. -MATERIALS SELECT SECTOR	A	Dividend	J	T					
78. -BLACKROCK LIQUIDITY FUND	A	Dividend	J	T	Buy	08/16/19	J		
79.					Buy (add'l)	12/13/19	J		
80. -AIR PRODUCTS&CHEM	A	Dividend	J	T	Buy	04/29/19	J		
81.					Buy (add'l)	12/11/19	J		
82. -ALPHABET INC SHS CL A		None	J	T	Buy	04/04/19	J		
83. -AMERICAN TOWER REIT INC	A	Dividend	J	T	Buy	05/29/19	J		
84. -AON PLC	A	Dividend	J	T	Buy	08/19/19	J		
85. -CISCO SYSTEMS INC COM	A	Dividend	J	T	Buy	09/24/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -MERCK AND CO INC SHS	A	Dividend	J	T	Buy	05/29/19	J		
87.					Buy (add'l)	08/07/19	J		
88. -VANGUARD SHORT-TERM CORPORATE BOND	A	Dividend	K	T	Buy	01/18/19	K		
89.					Buy (add'l)	12/16/19	J		
90. -VANGUARD INTRMDIATE-TERM CORPORATE BOND	A	Dividend	K	T	Buy	01/18/19	K		
91.					Buy (add'l)	12/16/19	J		
92. -VANGUARD TOTAL INTERNATL BOND ETF	A	Dividend	J	T	Buy	01/18/19	J		
93.									
94. MANAGEMENT ACCOUNT #2:(H)									
95. MERRILL LYNCH AS CUSTODIAN RETIREMENT #2	B	Distribution	J	T					
96. -AT&T INC	A	Dividend			Sold	12/17/19	J	A	
97. -JPMORGAN CHASE & CO	A	Dividend			Sold	12/17/19	J	B	
98. -WALMART INC	A	Dividend			Sold (part)	05/23/19	J		
99.					Sold	12/19/19	J	D	
100. -BLACKROCK EQTY DIVIDIEND	A	Dividend			Sold	12/17/19	J	A	
101. -LOOMIS SAYLES STRATEGIC	A	Dividend	J	T	Sold (part)	12/17/19	J		
102. -TEMPLETON GLBL BOND FD	A	Dividend	J	T	Sold (part)	12/18/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -VANGUARD MORTGAGE-BACKED SEC	A	Dividend			Sold	12/17/19	J	A	
104. -ISHARES 1-3 YEAR	A	Dividend			Sold	12/17/19	J	A	
105. -BLACKROCK LIQUIDITY FUND T FUND INSTL CL	A	Dividend	J	T	Buy	12/17/19	J		
106. -ISHARES IBOXX \$	A	Dividend	J	T	Buy	12/19/19	J		
107. -ISHARES TIPS	A	Dividend	J	T	Buy	12/19/19	J		
108. -VANGUARD FINANCIALS ETF		None	J	T	Buy	12/19/19	J		
109. -VANGUARD INFORMATION		None	J	T	Buy	12/19/19	J		
110. -FIRST TRUST DOW JONES		None	J	T	Buy	12/19/19	J		
111. -ISHARES MBS ETF	A	Dividend	J	T	Buy	12/19/19	J		
112. -VANGUARD INTERMEDIATE	A	Dividend	J	T	Buy	12/19/19	J		
113. -COMM SERVICES SELECT	A	Dividend	J	T	Buy	12/19/19	J		
114. -VANGUARD SHORT-TERM	A	Dividend	J	T	Buy	12/19/19	J		
115. -VANGUARD INTRMEDIATE-TERM	A	Dividend	J	T	Buy	12/19/19	J		
116. -ISHARES INC CORE MSCI		None	J	T	Buy	12/19/19	J		
117. -ISHARES TR CORE MSCI EAF		None	J	T	Buy	12/19/19	J		
118. -HEALTH CARE SELECT SPDR	A	Dividend	J	T	Buy	12/19/19	J		
119. -SECTOR SPDR CONSMRS STPL	A	Dividend	J	T	Buy	12/19/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -CONSUMER DISCRETIONARY	A	Dividend	J	T	Buy	12/19/19	J		
121. -SECTOR SPDR INDUSTRIAL	A	Dividend	J	T	Buy	12/19/19	J		
122.									
123. MANAGEMENT ACCOUNT #3:(H)									
124. MERRILL LYNCH AS CUSTODIAN RETIREMENT #3	C	Distribution	J	T					
125. -JPMORGAN CHASE & CO		None			Sold	12/17/19	J	A	
126. -VERIZON COMMUNICATNS COM	A	Dividend			Sold	12/17/19	J	B	
127. -BLACKROCK EQTY DIVIDEND	A	Dividend			Sold (part)	10/23/19	J		
128.					Sold	12/17/19	J		
129. -GABELLI DIV & INC TR	A	Dividend	J	T	Sold (part)	12/17/19	J	C	
130. -LOOMIS SAYLES STRATEGIC	A	Dividend	J	T	Sold (part)	12/17/19	J		
131. -TEMPLETON GBLB BOND FD	A	Dividend	J	T	Sold (part)	12/17/19	J		
132. -VANGUARD SHORT-TERM	A	Dividend			Sold	12/17/19	J	A	
133. -VANGUARD MORTGAGE-BACKED	A	Dividend			Sold	12/17/19	J	A	
134. -ISHARES 1-3 YEAR	A	Dividend			Sold	05/23/19	J		
135.					Buy	10/23/19	J		
136.					Sold	12/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -VANGUARD TOTAL STK MKT	A	Dividend			Sold	12/17/19	J	A	
138. -AMERICAN GROWTH FUND	A	Dividend	J	T	Buy	12/17/19	J		
139.					Buy (add'l)	12/18/19	J		
140. -BLACKROCK ADVANTAGE LRG		None	J	T	Buy	12/17/19	J		
141.					Buy (add'l)	12/18/19	J		
142. -BLACKROCK TECHNOLOGY		None	J	T	Buy	12/17/19	J		
143. -BLACKROCK EMERGING MARKETS FUND INSTL		None	J	T	Buy	12/17/19	J		
144. -BLACKROCK TOTAL RETURN	A	Dividend	J	T	Buy	12/17/19	J		
145.					Buy (add'l)	12/18/19	J		
146. -ISHARES EDGE MSCI MIN VOL USA ETF		None	J	T	Buy	12/17/19	J		
147. -ISHARES JP MORGAN EM BON	A	Dividend	J	T	Buy	12/17/19	J		
148. -ISHARES CORE S&P 500 ETF		None	J	T	Buy	12/17/19	J		
149. -ISHARES EDGE MSCI USA QUALITY FACTOR ETF		None	J	T	Buy	12/17/19	J		
150. -ISHARES FLOATING RATE	A	Dividend	J	T	Buy	12/17/19	J		
151. -ISHARES TR CORE MSCI EAF		None	J	T	Buy	12/17/19	J		
152. -ISHARES EDGE MSCI USA SIZE FACTOR ETF		None	J	T	Buy	12/17/19	J		
153. -PIMCO INCOME FUND CL I2	A	Dividend	J	T	Buy	12/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	12/18/19	J		
155. -SPDR MSCI EMERGING MARKETS	A	Dividend	J	T	Buy	12/17/19	J		
156. -ISHARES 20+ YEAR	A	Dividend	J	T	Buy	12/17/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting SINGLETON, JAMES K.	Date of Report 5/13/2020
--	--

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

SINGLETON, JAMES K.

Date of Report

5/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES K. SINGLETON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544