

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dees, Jr., Harry C.	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 4/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2019 to 12/31/2019
7. Chambers or Office Address ROBERT K. RODIBAUGH UNITED STATES BANKRUPTCY COURTHOUSE 401 SOUTH MICHIGAN STREET SOUTH BEND, INDIANA 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Dees, Jr., Harry C., 4/30/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Rows 1-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

Name of Person Reporting	Date of Report
Dees, Jr., Harry C.	4/30/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Dees, Jr., Harry C.

Date of Report

4/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. TRUST #1 (1830)		None							
2. ABBOTT LABS	A	Dividend	K	T					
3. ABBVIE INC	B	Dividend	K	T					
4. ALTRIA GROUP INC	A	Dividend	J	T	Sold (part)	04/25/19	J	B	
5. APPLE INC.	A	Dividend	K	T					
6. AT&T CORP NEW	A	Dividend	J	T					
7. BARREN COUNTY BOARD OF EDUC 2.50 DUE 090127	B	Interest	L	T					
8. BATESVILLE IN SCH BLDG CORP 2.00 DUE 071526	A	Interest	L	T	Buy	05/15/19	K		
9. BAXTER INTL	A	Dividend	J	T					
10. CMS ENERGY CORP	A	Dividend	K	T					
11. CHEVRON CORP	A	Dividend	K	T					
12. CISCO SYSTEMS INC	A	Dividend	K	T					
13. CLARKSVILLE IN PARK DIST TAX .25 DUE 011527/23	B	Interest	L	T					
14. COMCAST CORP	A	Dividend	J	T	Buy	04/25/19	J		
15. DOLLAR GENERAL CORP	A	Dividend	K	T	Sold (part)	04/25/19	J	B	
16. DRIEHAUS EMERG MKTS GRW-INST	A	Dividend	L	T					
17. DYER IN REDEV LEASE SINK 2.15 DUE 071522	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Dees, Jr., Harry C.

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ECOLAB INC	A	Dividend	K	T					
19. ELKHART IN CMNTRY SCH BLDG 3.00 DUE 071523/23	B	Interest	L	T					
20. EXXON MOBIL CORP	B	Dividend	K	T					
21. FIRST FINANCIAL DEPOSIT ACCOUNT	C	Interest	L	T					
22. FIRST FINANCIAL CORP	B	Dividend	K	T					
23. FLAT ROCK MI LTD TAX CAP 3.00 DUE 100125/24	B	Interest	L	T					
24. GARY COMMUNITY SCHOOL CORP 2.00 DUE 071524		None	L	T	Buy	07/08/19	L		
25. GARY IN CMNTY SCH BLDG CORP 3.30 DUE 071524/22	B	Dividend	L	T					
26. GENERAL DYNAMICS CORP	A	Dividend	K	T					
27. GENERAL ELECTRIC	A	Dividend			Sold	04/25/19	J	A	
28. GENERAL MILLS INC	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
29. GIBRALTAR SCHOOL DISTRT MI 2.0 DUE 050122	A	Interest	L	T					
30. GOLDMAN SACH EQUITY INSIGHT FD		None			Sold	04/25/19	L	A	
31. GOLDMAN SACHS SM CAP INSIGHTS INST FUND	B	Dividend	K	T	Buy	04/25/19	K		
32. GREENE CNTY IN REDEV AUTH 2.00 DUE 020122	B	Interest	L	T					
33. HAMILTON CNTY IN RED AUTH 3.55 DUE 080121/21	B	Interest	K	T					
34. HANOVER MULTI-SCH BUILD 2.5 DUE 071523/23	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. INDIANA ST FIN AUTH HOSP REV 3.25 DUE 030126	B	Interest	L	T					
36. INDIANA ST FI DUKE ENGY SER A2 3.375 DUE 030119	A	Interest			Matured	03/01/19	K	B	
37. IN ST DEPAUW UNIV B 4.50 DUE 070120/19	C	Interest			Matured	08/16/19	K	A	
38. INDIANA HOUSING & COMM 2.950 DUE 070128	B	Interest	L	T					
39. INDIANA ST FIN HANOVER CLG 3.00 DUE 050122	B	Interest	L	T					
40. INDIANA ST FIN AUTH REV 3.25 DUE 090124/22	B	Interest	L	T					
41. INDIANA ST FIN AUTH EITELJORG 3.00 DUE 020124	B	Interest	L	T					
42. IN ST UNIV REV HSG DIN SER A 4.00 DUE 040119	A	Interest			Matured	04/01/19	K	A	
43. INTEL CORP	A	Dividend	K	T					
44. INTERNTL BUSINESS MACHINES	A	Dividend	J	T					
45. JANUS HNDRSN GLOBAL REIT	A	Dividend	K	T					
46. JEFFERSONVILLE IN REDEV CMM 3.00 DUE 071523	B	Interest	L	T					
47. JEFFERSONVILLE IN REDEV CMM 2.375 DUE 071522/21	B	Interest	L	T					
48. JOHNSON & JOHNSON	A	Dividend	K	T					
49. KENTON CNTY KY SCH DIST 2.50 DUE 020122	A	Interest	K	T					
50. KENTUCKY ST INTER SCH 2.00 DUE 051522	A	Interest	L	T					
51. KENTUCKY ST INTER SCH 2.50 DUE 030125/20	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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52. LAKE STATION 2008 BLDG 3.00 DUE 071526	C	Interest	M	T					
53. LOGAN CNTY KY SCH DIST FIN 2.00 DUE 090127/26	A	Interest	K	T					
54. LOWES COMPANIES INC	A	Dividend	K	T	Sold (part)	04/25/19	J	D	
55. MCDONALDS CORP	A	Dividend	K	T					
56. MERCK & CO INC	A	Dividend	K	T					
57. METLIFE INC	A	Dividend	K	T					
58. MICROCHIP TECH INC	A	Dividend	J	T					
59. MICROSOFT CORP	B	Dividend	L	T	Sold (part)	04/25/19	J	D	
60. MSD OF SW ALLEN CNTY 2.0 DUE 071521		None	L	T	Buy	04/29/19	L		
61. NESTRN IL UNIV COPS CAP IMP 3.00 DUE 070123	B	Interest	L	T					
62. NEXTERA ENERGY INC	A	Dividend	K	T					
63. NIKE INC CL B	A	Dividend	K	T	Sold (part)	04/25/19	J	D	
64. OWEN CNTY IN PUB LIB 2.125 DUE 071523/22	B	Interest	L	T					
65. PHILLIP MORRIS INTL	A	Dividend	J	T	Sold (part)	04/25/19	J	A	
66. PLAINFIELD IN REDEV AUTH 3.00 DUE 080126/23	B	Interest	K	T					
67. COUNTY OF PORTER IN 3.00 DUE 011530	C	Interest	L	T					
68. PRINCIPAL MIDCAP GROWTH	A	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

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69. PROCTOR & GAMBLE	A	Dividend	K	T	Sold (part)	04/25/19	J	C	
70. SAINT JOSEPH CNTY IN ECON 4.00 DUE 040123/20	B	Interest	L	T					
71. SIMON PPTY GRP INC NEW	A	Dividend	J	T					
72. SMUCKER JM CO	A	Dividend	K	T					
73. SO BEND REVELOPMENT 2.50 DUE 050121	B	Interest	L	T					
74. SOUTH EAST IN SCH BLDG CORP 2.00 DUE 071525	B	Interest	M	T					
75. STARBUCKS CORP	A	Dividend	K	T					
76. STARKE CNTY IN BLDG CORP 3.00 DUE 080123/22	B	Interest	L	T					
77. TERRE HAUTE IN REDEV DIST 2.25 DUE 080121/20	B	Interest	K	T					
78. 3M CO	A	Dividend	K	T					
79. UNITED TECHNOLOGIES CORP	A	Dividend	K	T					
80. VANGUARD DEV MKT INDEX-ADM	B	Dividend	L	T	Buy	04/25/19	L		
81. VANGUARD FINANCIAL ETF	A	Dividend	K	T					
82. VANGUARD INDUSTRIALS ETF	A	Dividend	J	T	Buy	04/25/19	J		
83. VANGUARD TELECOMM SERVICES ETF	A	Dividend	K	T	Buy	04/25/19	K		
84. VANGUARD MID CAP INDEX - ADM	A	Dividend	K	T	Buy	04/25/19	K		
85. VANGUARD REIT ETF	A	Dividend	J	T	Buy	04/25/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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86. VANGUARD SM CAP INDX-ADM	A	Dividend	L	T					
87. VERIZON COMMUNICATIONS	A	Dividend	J	T					
88. WABTEC CORP		None			Sold (part)	03/13/19	J	A	
89.					Sold	04/25/19	J	A	
90. WARSAW IN SEWAGE WLS REV 2.00 DUE 070120	A	Interest	L	T					
91. LINDE PLC	A	Dividend	J	T					
92. MEDTRONIC PLC	A	Dividend	K	T					
93. TRUST #2 (1830)		None							
94. ABBOTT LABS	A	Dividend	J	T					
95. ABBVIE INC	A	Dividend	J	T					
96. ALBERTVILLE AL BRD OF EDU 2.25 DUE 020124/22	B	Interest	K	T					
97. APPLE INC	A	Dividend	L	T	Sold (part)	04/29/19	J	C	
98. AT&T CORP NEW	A	Dividend	J	T					
99. BLOOMFIELD CHARTER TWP 2.125 DUE 040122	A	Interest	K	T					
100. BP P.L.C.	A	Dividend	J	T					
101. BRISTOL-MYERS SQUIBB CO	A	Dividend	J	T					
102. CMS ENERGY CORP	A	Dividend	K	T					

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Page 10 of 15

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103. CHEVRON CORP	A	Dividend	J	T					
104. COMCAST CORP	A	Dividend	J	T	Buy	04/29/19	J		
105. DANAHER CORP	A	Dividend	K	T					
106. DUKE ENERGY	A	Dividend	J	T					
107. E JACKSON MI CMNTY SCH 2.375 DUE 050122	B	Interest	L	T					
108. ECOLAB INC	A	Dividend	K	T					
109. FIRST FINANCIAL CORP	A	Dividend	J	T					
110. FIRST FINANCIAL DEPOSIT ACCOUNT	A	Interest	K	T					
111. GENERAL DYNAMICS CORP	A	Dividend	K	T					
112. GENERAL MILLS INC	A	Dividend	J	T					
113. GIBRALTAR SCHOOL DISTRT MI 2.0 DUE 050122	A	Interest	K	T					
114. GOLDMAN SACHS SM CAP INSIGHTS INST FUND	B	Dividend	K	T					
115. GREENCASTLE IN SCH BLDG CORP 2.25 DUE 071521/20	A	Interest	K	T					
116. GREENE CNTY IN REDEV AUTH 2.00 DUE 020122	B	Interest	L	T					
117. HAMILTON CNTY IN REDEV AUTH 3.55 DUE 080121/21	B	Interest	L	T					
118. HANCOCK CNTY KY SCH DIST FIN 2.00 DUE 100123	A	Interest	K	T					
119. HANOVER MULTI-SCH BUILD 2.5 DUE 071523/23	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Dees, Jr., Harry C.

Date of Report

4/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. INTEL CORP	A	Dividend	K	T					
121. JANUS HNDRSN GLOBAL REIT	B	Dividend	K	T					
122. JEFFERSONVILLE IN REDEV CMM 2.375 DUE 071522/21	A	Interest	K	T					
123. JOHNSON & JOHNSON	A	Dividend	J	T					
124. LOWES COMPANIES INC	A	Dividend	K	T					
125. MARATHON PETROLEUM	A	Dividend	J	T					
126. METLIFE INC	A	Dividend	K	T					
127. MICROSOFT CORP	A	Dividend	K	T					
128. NIKE INC CL B	A	Dividend	K	T	Sold (part)	04/29/19	K	E	
129. INVESTCO OPPENHEIMER SR FLOATING RATE FUND	B	Dividend	L	T					
130. PEPSICO INC	A	Dividend	J	T					
131. PFIZER INC	A	Dividend	J	T					
132. PIKE CNTY KY SCH DIST FIN 2.00 DUE 100123	A	Interest	K	T					
133. PIONEER SCH BLDG CORP 2.25 DUE 071524	B	Interest	L	T					
134. PLAINFIELD IN REDEV AUTH 3.00 DUE 080126/23	A	Interest	K	T					
135. PROCTOR & GAMBLE CO	A	Dividend	K	T					
136. SAINT JOHN IN SEWAGE WKS REV 1.90 DUE 010120	A	Interest	J	T	Redeemed (part)	01/02/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Dees, Jr., Harry C.

Date of Report

4/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. ST UNIV OF IOWA REVENUE 2.00 DUE 070121	A	Interest	K	T					
138. STUCKER FORK IN CON DIST WT 1.75 DUE 010120	A	Interest	K	T					
139. TEVA PHARM IND		None			Sold	04/25/19	J	A	
140. UNITED TECHNOLOGIES CORP	A	Dividend	J	T					
141. VANGUARD SMALL CAP VALUE INDEX-ADM	B	Dividend	L	T					
142. VANGUARD DEV MKT INDEX - ADM	C	Dividend	M	T					
143. VANGUARD EM MKT STK INDEX - INST	B	Dividend	L	T	Sold (part)	04/25/19	J	B	
144.					Sold (part)	04/25/19	K	A	
145. VANGUARD FINANCIAL ETF	A	Dividend	K	T	Buy (add'l)	04/25/19	K		
146. VANGUARD HEALTH CARE ETF	A	Dividend	J	T	Buy	04/25/19	J		
147. VANGUARD TELECOMM SERVICES ETF	A	Dividend	K	T	Buy	04/25/19	K		
148. VANGUARD MID CAP	C	Dividend	M	T					
149. VANGUARD REIT ETF	A	Dividend	J	T					
150. ZIONSVILLE CMTY SCHS BLDG 4.00 DUE 011020	A	Interest	K	T					
151. FIRST FINANCIAL BANK MONEY MARKET FUND	A	Interest	K	T					
152. FIRST FINANCIAL CORP. TERRE HAUTE, IN	A	Dividend	J	T					
153. USAA FEDERAL SAVINGS BANK	B	Int./Div.	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. USAA CORNERSTONE STRATEGY MUTUAL FUND	A	Int./Div.	K	T					
155. USAA WORLD GROWTH MUTUAL FUND	A	Int./Div.	K	T					

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting Dees, Jr., Harry C.	Date of Report 4/30/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Dees, Jr., Harry C.

Date of Report

4/30/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry C. Dees, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544