

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Kent A.	2. Court or Organization Third Circuit Court of Appeals	3. Date of Report 05/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 844 N. King Street Unit 10 Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member and Vice President	American Inns of Court
2. Board Member and Secretary	Ministry of Caring
3. Board Member	Sacred Heart Village
4. Board Member	The Sedona Conference Judicial Advisory Board
5. Adjunct Professor	University of Pennsylvania School of Law
6. Adjunct Professor	Vanderbilt University Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Vanderbilt University - teaching	\$18,440.00
2. 2019	University of Pennsylvania Law School - teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Inns of Court	1/8/19	Alexandria, VA	meeting	travel and food
2.	The Naples Roundtable	2/17-19/19	Naples, FL	panelist	travel, food and lodging
3.	Penn Federalist Society	2/12/19	Philadelphia, PA	speaker	travel
4.	Georgetown Federalist Society	3/5/19	Washington, DC	speaker	travel and food
5.	University of Pennsylvania Law School	3/13/19	Philadelphia, PA	speaker	parking
6.	Penn State Federalist Society	3/15/20	Happy Valley, PA	speaker	travel and food

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7.	Vanderbilt University Law School	3/24-30/19	Nashville, TN	teach	travel, food and lodging
8.	University of California at Berkley	4/11-13/29	San Francisco, CA	panelist	travel, food and lodging
9.	American Inns of Court	4/21-22/19	Washington, DC	meeting	travel, food and lodging
10.	Lawyers for Civil Justice	5/2-3/19	Washington, DC	panelist	travel, food & lodging
11.	American Inns of Court	5/17-19/19	Austin, TX	Spring Board meeting	travel, food & lodging
12.	Vanderbilt University	8/25-27/19	Nashville, TN	panelist	travel, food & lodging
13.	Vanderbilt University Law School	9/15-21/19	Nashville, TN	teach	travel, food & lodging
14.	American Inns of Court	9/28 - 10/6/19	London, England	Amity visit	travel, food & lodging
15.	American Inns of Court	10/25-26/19	Washington, DC	Fall Board meeting	travel, food & lodging
16.	UCL/University of Tokyo	11/5-10/19	Tokyo, Japan	panelist at IP conference	travel, food & lodging
17.	The Sedona Conference	11/18-21/19	Kildare County, Ireland	conference panelist	travel, food & lodging
18.	New York University	12/5/19	New York, NY	NYU/Cravath IP Conf. panelist	travel, food

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	New York Intellectual Property Law Assn.	travel, food and lodging for attendance at Annual Gala	\$1,518.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	US Bank	mortgage on Rental Prop. #2 Eden, UT (Part VII. line 14)	N
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Schwab Bank Sweep Acct	A	Interest	J	T					
2. Schwab U.S. Small Cap	A	Dividend	J	T					
3. Schwab Value Advantage (X)	A	Dividend	J	T	Buy	08/27/19	J		
4. DFA Investment Grade Port Ins	A	Dividend	K	T					
5. DFA US Cor Eqty 1 Port	A	Dividend	J	T					
6. DFA Int'l Value Port	A	Dividend	J	T					
7. Pimco Incm	A	Dividend	K	T					
8. SPDR S&P 500 ETF	A	Dividend	J	T					
9. Capital One - Cash Accts	A	Interest	K	T					
10. TD Bank - Cash Account	A	Interest	J	T					
11. IRA #1 - Equity Trust (H)									
12. Land Solutions Ptnrs East Meadows LLC	A	Interest	M	U					
13. Rental Prop #1 - Midway UT (1/15/18 \$215,500)	E	Rent	M	R					
14. Rental Prop #2 - Eden, UT (7/5/19 \$615,000) (X)	E	Rent	O	R	Buy	07/05/19	O		
15.									
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kent A. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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