AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Fallon, Sherry R.	District of Delaware	07/31/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Magistrate Judge, Full Time	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
J. Caleb Boggs Federal Building 844 N. King Street Unit 14, Room 6100 Wilmington, DE 19801-3555		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Fallon, Sherry R.	07/31/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2019	Self-Employed Attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NYIPLA	March 22 - March 23, 2019	New York, New York	97th Annual Dinner Honoring the Federal Judiciary	Hotel accommodations, meals and transportation
2.	Foley's 15th Annual IP Conference	October 3- October 4, 2019	Chicago, Illinois	Speaker at Intellectual Property Law Seminar	Hotel accommodations, meals and transportation
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express	Credit Card	J
2.	[redacted]	Tuition	L
3.	PNC Bank	Credit Card	J
4.			
5.	AAA Visa	Credit Card	J

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Southern Company Common Stock	B	Dividend	K	T					
2.										
3.										
4.	Fidelity 529 Plan - Delaware Portfolio 2018	E	Dividend	M	T	Redeemed (part)	07/11/19	K		
5.	Empower Retirement Tybout Redfearn & Pell 401(k) Plan(H)-									
6.	-American Funds Growth Fund of America A	G	Dividend	O	T					
7.	-American Funds American Balanced Fund A	E	Dividend	M	T					
8.	-American Funds Euro-Pacific Growth Fund A	E	Dividend	M	T					
9.	-American Funds SMALLCAP World Fund A	F	Dividend	M	T					
10.	Franklin Templeton - Templeton Growth Fund Class A	A	Dividend	J	T					
11.	New York Life Whole Life Insurance Policy	B	Dividend	L	T					
12.	Lincoln National Life Insurance - Universal Life Policy	A	Interest	J	T					
13.	PNC - Accounts	A	Interest	L	T					
14.	U. S. Savings Bonds	C	Interest	J	T	Redeemed (part)	11/23/19	J		
15.						Redeemed (part)	12/23/19	J		
16.										
17.	IRA (H)-									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Fallon, Sherry R.	07/31/2020

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18. -Vanguard International Growth	E	Dividend	M	T					
19. -Vanguard International Value	E	Dividend	L	T					
20. -Vanguard Explorer	D	Dividend	K	T					
21. -Vanguard U.S. Growth	D	Dividend	K	T					
22. -Vanguard Long Term Investment Growth	D	Interest	K	T					
23. -Vanguard Morgan Growth(Y)					Merged (with line 21)	04/15/19	L		
24. -Vanguard Small Cap Value Index	D	Dividend	K	T					
25. -Vanguard Target Retirement 2035	E	Dividend	M	T					
26. -Vanguard Total Stock Market Index	E	Dividend	M	T					
27. -Vanguard Windsor	D	Dividend	K	T					
28. -Vanguard Windsor II	D	Dividend	L	T					
29. -									
30.									
31.									
32.									
33.									
34.									

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35.									
36.									
37.									
38.									
39.									
40.									
41. Wells Fargo - Accounts	A	Interest	J	T					
42. Capitol One - Account	A	Interest	J	T					
43. WSFS - Accounts	A	Interest	K	T					
44. HSA Bank - Account	A	Interest	J	T					
45. Vanguard 401(K) (H) -									
46. -Dodge & Cox Income	E	Dividend	N	T					
47. -Washington Mutual Investments (American)	E	Dividend	M	T					
48. -American Fund Growth Fund of America	F	Dividend	N	T					
49. -American Balanced Fund	D	Dividend	M	T					
50. -Fidelity Low Priced Stock	D	Dividend	M	T					
51. -BNY Small/Mid Cap Growth (formerly Dreyfus Boston Co. S/M CG)	E	Dividend	L	T					

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52. -Nationwide Ge SmCap Grw	E	Dividend	M	T					
53. -MFS Int'l Diversification R4	E	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Fallon, Sherry R.	07/31/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sherry R. Fallon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544