AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Arnold, Morris S.	8th U S Circuit Ct of Appeals	06/22/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge--Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
Richard Sheppard Arnold United States Courthouse 500 West Capitol Ave., Suite C-150 Little Rock, AR 72201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2019	University of Arkansas, author's royalties	$604.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Simmons Bank - Account 1	A	Interest	J	T					
2. Simmons Bank - Account 2	A	Interest	J	T					
3.									
4. Mineral Interests - Various Lands in Texas (H)									
5. Southland Champion Mineral, Morgan Keegan, Lufkin, TX	C	Royalty	K	W					
6. Grayburg Mineral Account, Morgan Keegan, Lufkin, TX	A	Royalty	J	W					
7.									
8. Wells Fargo Clearing Services, LLC - Account 1 (H)									
9. Bank Deposit Sweep	A	Interest	J	T					
10. Gabelli Equity Trust GAB (X)	A	Dividend			Sold	06/21/19	J	A	
11. Norfolk Southern Corp NSC	C	Dividend	M	T					
12. Simmons 1st Natl Corp CL A $5 Par SFNC	C	Dividend	M	T					
13. First Trust Val LN TGT SAFE 30 2Q 19 MO RE	A	Dividend	K	T	Buy	06/30/19	J		
14.									
15. Wells Fargo Clearing Services, LLC - Account 2 (H)									
16. Dillards Inc CL A DDS	A	Dividend	K	T					
17. Mattel Incorporated MAT		None			Sold	6/30/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. Wells Fargo & CO New WFC		None			Sold	06/30/19	J	A	
19. MFS Core Equity Fund CL A MRGAX	A	Dividend	K	T	Sold (part)	12/04/19	J	A	
20.					Buy (add'l)	12/11/19	J		
21. Gabelli Equity Trust GAB	A	Dividend			Sold	06/21/19	J	A	
22. Gabelli GLBL Heathcare & Wellness RX GRX	A	Dividend			Sold	06/30/19	J	A	
23. Liberty All-Star Equity Fund SH BEN INT USA	A	Dividend			Sold	06/30/19	J	A	
24. First Trust Val LN TGT Safe 30 2Q19 MO RE	A	Dividend	J	T	Buy	06/30/19	J		
25.									
26. TIAA-CREF - Account 1 (H)									
27. TIAA Traditional	D	Dividend	M	T	Sold (part)	12/16/19	J	A	
28. TIAA Real Estate	B	Dividend	K	T	Sold (part)	12/16/19	J	A	
29. CREF Bond Market R3	D	Dividend	L	T	Sold (part)	12/16/19	J	A	
30. CREF Stock R3	E	Dividend	L	T	Sold (part)	12/16/19	J	A	
31. CREF Inf-Linked Bond R3	A	Dividend	J	T	Sold (part)	12/16/19	J	A	
32. CREF Global Equities R3	E	Dividend	L	T	Sold (part)	12/16/19	J	A	
33. T-C Intl Eq-Inst	C	Dividend	K	T	Sold (part)	12/16/19	J	A	
34. T-C Lg-Cap Val-Inst		None			Sold	06/30/19	K	A	

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35. T-C Mid-Cap Val-Inst		None			Sold	06/30/19	K	A	
36. T-C Sm-Cap Eq-Inst	D	Dividend	K	T	Sold (part)	12/16/19	J	A	
37. Vang Mid-Cap Idx Ins	C	Dividend	K	T	Buy	06/30/19	K		
38.					Sold (part)	12/16/19	J	A	
39. Vang Value Index Inst	D	Dividend	L	T	Buy	06/30/19	K		
40.					Sold (part)	12/16/19	J	A	
41.									
42. TIAA-CREF - Account 2 (H)									
43. TIAA Traditional	C	Dividend	M	T	Sold (part)	12/16/19	J	A	
44. TIAA Real Estate	B	Dividend	K	T	Sold (part)	12/16/19	J	A	
45. CREF Bond Market R3	B	Dividend	K	T	Sold (part)	12/16/19	J	A	
46. CREF Stock R3	C	Dividend	K	T	Sold (part)	12/16/19	J	A	
47. CREF Global Equities R3	C	Dividend	K	T	Sold (part)	12/16/19	J	A	
48. AM FDS Wash Mutual R5	D	Dividend	L	T	Sold (part)	12/16/19	J	A	
49. T-C Bond-Inst	C	Dividend	K	T	Sold (part)	12/16/19	J	A	
50. T-C Infl-Lnkd Bond-Inst	A	Dividend	J	T	Sold (part)	12/16/19	J	A	
51. AF EuroPacific Growth R5	C	Dividend	K	T	Sold (part)	12/16/19	J	A	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. T-C Bond Index-Inst	B	Dividend	J	T	Sold (part)	12/16/19	J	A	
53. T-C Mid-Cap Val-Inst	D	Dividend	K	T	Sold (part)	12/16/19	J	A	
54. T-C Sm-Cap Eq-Inst	D	Dividend	K	T	Sold (part)	12/16/19	J	A	
55. T-C Intl Eq Idx-Inst	D	Dividend	K	T	Sold (part)	12/16/19	J	A	
56. T-C S&P 500 Idx-Inst	D	Dividend	K	T	Sold (part)	12/16/19	J	A	
57. T-C Gr & Inc-Inst	D	Dividend	K	T	Sold (part)	12/16/19	J	A	
58.									
59. TIAA-CREF - Account 3 (H)									
60. CREF Global Equities R3	D	Dividend	L	T	Sold (part)	12/16/19	J	A	
61.									
62. TIAA-CREF - Account 4 (H)									
63. TIAA-CREF Managed Allocation Fund - RTL	E	Dividend	N	T	Buy (add'l)	12/18/19	L		
64.									
65. Simmons Bank - IRA	A	Int./Div.	J	T					
66.									
67. Stephens Inc. - Account 1 (H)									
68. Federated Govt Oblig SS	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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69. American Funds Washington Mutual Fund Class F3	A	Dividend	J	T	Buy (add'l)	12/23/19	J		
70. Amercian Funds New Perspective Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
71. American Funds Investment Co of America Class F3	A	Dividend			Sold	06/30/19	K	A	
72. American Funds Income Fund of America Class F3	A	Dividend			Sold	06/30/19	K	A	
73. American Funds Growth Fund of America Class F1	A	Dividend			Sold	06/30/19	J	A	
74. American Funds Fundamental Investors Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
75. American Funds Capital World Growth and Income Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
76. American Funds American Mutual Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
77. American Funds High Income Trust Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
78. American Funds AMCAP Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
79. American Funds Bond Fund of America Class F3	A	Dividend			Sold	06/30/19	J	A	
80. American Funds Capital Income Builder Fund Class F3	A	Dividend			Sold	06/30/19	K	A	
81. American Funds Capital World Bond Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
82. American Funds Global Balanced Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
83. American Funds Inflation Linked Bond Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
84. American Funds Intermediate Bond Fund of America Class F3	A	Dividend			Sold	06/30/19	J	A	
85. American Funds International Growth and Income Fund Class F1	A	Dividend			Sold	06/30/19	J	A	

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86.	American Funds Mortgage Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
87.	American Funds US Government Securities Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
88.	American Funds Balanced Fund Class F3	A	Dividend			Sold	06/30/19	K	A	
89.	Dodge & Cox Income Fund	A	Dividend			Sold	06/30/19	J	A	
90.	Fidelity Total Bond Fund	A	Dividend			Sold	06/30/19	J	A	
91.	Vanguard Equity Income Fund Investor Class	A	Dividend			Sold	06/30/19	K	A	
92.	Vanguard Mid-Cap Vipers Exchange - Traded Fund	A	Dividend	J	T	Sold (part)	06/30/19	K	A	
93.	Vanguard Small Cap - ETF	A	Dividend	J	T	Sold (part)	06/30/19	K	A	
94.	Vanguard Totl Stock Mkt Vipers	B	Dividend	L	T	Buy (add'l)	06/30/19	K		
95.	Vanguard Total Bond Market - ETF	B	Dividend	K	T	Buy (add'l)	06/30/19	K		
96.	Vanguard Whitehall FD High Dividend Yield ETF SHS	A	Dividend	J	T	Buy	03/21/19	J		
97.	Vanguard Index FDS Vanguard Growth ETF	A	Dividend	J	T	Buy	03/21/19	J		
98.	Vanguard Index FDS Vanguard Value ETF	A	Dividend	J	T	Buy	03/21/19	J		
99.	Bank of America NA Death Put FDIC Cert 3510	A	Dividend			Redeemed	12/12/19	J	A	
100.	Citibank NA Death Put FDIC Cert 7213	A	Dividend	J	T					
101.	Discover Bank Death Put FDIC Cert 5649	A	Dividend	J	T					
102.	Discover Bank Death Put FDIC Cert 5649	A	Dividend	J	T					

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103. Goldman Sachs Bank USA Death Put FDIC Cert 33124	A	Dividend	J	T					
104. Nebraskaland Natl BK North Platte NEB CTF DEP		None	J	T	Buy	12/19/19	J		
105.									
106. Stephens Inc. - Account 2 (H)									
107. Federated Govt Oblig SS	A	Interest	J	T					
108. American Funds Intermediate Bond Fund of America Class F3	A	Dividend			Sold	06/30/19	J	A	
109. American Funds Bond Fund of America Class F3	A	Dividend			Sold	06/30/19	J	A	
110. American Funds High Income Trust Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
111. American Funds Mortgage Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
112. American Funds US Government Securities Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
113. American Funds Capital World Bond Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
114. American Funds Washington Mutual Fund Class F3	A	Dividend	J	T	Buy (add'l)	12/23/19	J		
115. American Funds Investment Company of America Class F3	A	Dividend			Sold	06/30/19	K	A	
116. American Funds American Mutual Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
117. American Funds AMCAP Fund Class F1	A	Dividend			Sold	06/04/19	J	A	
118. American Funds International Growth and Income Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
119. American Funds Income Fund of America Class F3	A	Dividend			Sold	06/30/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. American Funds Balanced Fund Class F3	A	Dividend			Sold	06/30/19	K	A	
121. American Funds Growth Fund of America Class F1	A	Dividend	J	T	Buy (add'l)	12/23/19	J		
122. American Funds Fundamental Investors Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
123. American Funds Capital World Growth and Income Fund	A	Dividend			Sold	06/30/19	K	A	
124. American Funds New Perspective Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
125. American Funds Global Balanced Fund Class F1	A	Dividend			Sold	06/30/19	J	A	
126. American Funds Capital Income Builder Fund Class F3	A	Dividend			Sold	06/30/19	K	A	
127. American Funds Inflation Linked Bond Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
128. Dodge & Cox Income Fund	A	Dividend			Sold	06/30/19	J	A	
129. Fidelity Total Bond Fund	A	Dividend			Sold	06/30/19	J	A	
130. Vanguard Equity Income Fund Investor Class	A	Dividend			Sold	06/30/19	K	A	
131. Vanguard Growth Vipers	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
132. Vanguard High Div Yield	A	Dividend	J	T	Buy (add'l)	12/27/19	J		
133. Vanguard Mid-Cap Vipers Exchange - Traded Fund	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
134. Vanguard Small Cap ETF	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
135. Vanguard Totl Stock Mkt Vipers	A	Dividend	L	T	Buy (add'l)	06/04/19	J		
136. Vanguard Value ETF	A	Dividend	J	T	Buy (add'l)	12/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. American Funds AMCAP Fund Class F3	A	Dividend			Sold	06/04/19	J	A	
138. American Funds Growth Fund of America Class F3	A	Dividend			Sold	06/30/19	J	A	
139. Vanguard Total Bond Market - ETF	A	Dividend	K	T	Buy (add'l)	12/27/19	J		
140. MUFG Union Bank NA Death Put FDIC Cert 22826	A	Dividend			Sold	11/06/19	J	A	
141. Discover Bank Death Put FDIC Cert 5649	A	Dividend	J	T					
142. Discover Bank Death Put FDIC Cert 5649	A	Dividend	J	T					
143. Goldman Sachs Bank USA Death Put FDIC Cert 33124	A	Dividend	J	T					
144. Goldman Sach Bank USA Death Put FDIC Cert 33124	A	Dividend	J	T					
145. Goldman Sachs BK USA New York CTF DEP 2.050%	A	Dividend	J	T	Buy	11/07/19	J		
146.									
147. Stephens Inc. - Account 3 (H)									
148. Federated Govt Oblig SS (X)	A	Interest	J	T					
149. Amercian Funds Income Fund of America Class F3	A	Dividend			Sold	06/30/19	J	A	
150. American Funds American Mutual Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
151. American Funds Balanced Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
152. American Funds Capital Income Builder Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
153. American Funds Global Balanced Fund Class F3	A	Dividend			Sold	06/30/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. American Funds Inflation Linked Bond Fund Class F3	A	Dividend			Sold	06/30/19	J	A	
155. Vanguard Growth Vipers	A	Dividend			Sold	06/30/19	J	A	
156. Vanguard Mid-Cap Vipers Exchange - Traded Fund	A	Dividend			Sold	06/30/19	J	A	
157. Vanguard Small Cap ETF	A	Dividend			Sold	06/30/19	J	A	
158. Vanguard Totl Stock Mkt Vipers	A	Dividend			Sold	06/30/19	J	A	
159. Vanguard Value ETF	A	Dividend			Sold	06/30/19	J	A	
160. Vanguard Total Bond Market - ETF	A	Dividend			Sold	06/30/19	J	A	
161. Invesco Oppenheimer Discovery Fund Class R6	A	Dividend	J	T	Buy	12/23/19	J		
162. Invesco Oppenheimer Developing Markets Fund Class R6	A	Dividend	J	T	Buy	12/23/19	J		
163. Baird Core Plus Bond Fund Inst Class	A	Dividend	J	T	Buy	11/06/19	J		
164.					Sold (part)	11/23/19	J	A	
165. Blackrock Low Duratation Bond Portfolio Fund Institutional Class	A	Dividend	J	T	Buy	12/23/19	J		
166. Fidelity Advisor New Insights Fund Class I	A	Dividend	J	T	Buy	12/23/19	J		
167. JPMorgan Mid Cap Value Fund Class L	A	Dividend	J	T	Buy	12/23/19	J		
168. Ivy International Core Equity Fund Class I	A	Dividend	J	T	Buy	12/23/19	J		
169. Janus Henderson Enterprise Fund Class I	A	Dividend	J	T	Buy	12/23/19	J		
170. Loomis Sayles Global Bond Fund Inst Class	A	Dividend	J	T	Buy	12/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. Lord Abbet Floating Rate Fund Class F	A	Dividend	J	T	Buy	11/06/19	J		
172.					Sold (part)	12/23/19	J	A	
173. Mainstay Mackay Convertible Fund Class I	A	Dividend	J	T	Buy	12/23/19	J		
174. T Rowe Price Small Cap Value Fund Class I	A	Dividend	J	T	Buy	12/23/19	J		
175. T Rowe Price Value Fund Class I	A	Dividend	J	T	Buy	12/23/19	J		
176. Vanguard Index FDS Real Estate Index FD ETF	A	Dividend	J	T	Buy	12/23/19	J		
177. Vanguard Developed Markets Index Fund Admiral Class 5	A	Dividend			Buy	11/06/19	J		
178.					Sold	12/23/19	J	A	
179. T Rowe Price Equity Fund Class I	A	Dividend			Buy	11/06/19	J		
180.					Sold	12/23/19	J	A	
181. Stephens Inc. - Account 4 (H)									
182. Federated Govt Oblig SS	A	Interest	J	T					
183. Wells Fargo & Company	A	Dividend			Sold	06/30/19	J	A	
184. Microsoft Corp	A	Dividend	K	T					
185. Dominion Resources Inc / VA	A	Dividend			Sold	03/21/19	J	C	
186. Halliburton Company	A	Dividend			Sold	06/30/19	J	A	
187. Procter & Gamble Company	A	Dividend			Sold	03/21/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. HCP, Inc.	A	Dividend			Sold	03/21/19	J	A	
189. General Electric Company	A	Dividend			Sold	06/30/19	J	A	
190. Alibab Group Holding LTD Sponsored ADR	A	Dividend	K	T					
191. Amazon.com Inc.	A	Dividend	L	T					
192. Apple Inc.	A	Dividend	K	T					
193. Lowes Companies Incorporated	A	Dividend	J	T					
194. Quality Care Properties	A	Dividend			Sold	06/30/19	J	A	
195. Netflix Inc.	A	Dividend			Sold	06/30/19	J	A	
196. Celegene Corporation	A	Dividend			Sold	11/21/19	J	B	
197. Bristol Myers Squibb CO Com	A	Dividend	J	T	Buy	11/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Arnold, Morris S.	06/22/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Morris S. Arnold

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544