

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Solo Law Practice
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Buchwald, Naomi R.	05/14/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	D	Interest	O	T					
2. Vanguard (IRA)	A	Dividend	K	T					
3. -- Prime Money Market Fund		Dividend	K	T					
4. Schwab (IRA)	A	Interest	P1	T					
5. -- Schwab Deposit Account	C	Interest	O	T					
6. -- Alphabet Common Stock A Class C [GOOG]		None			Buy	01/03/19	M		
7.					Sold	01/04/19	M	C	
8. -- Amazon Common Stock		None	O	T	Buy	01/02/19	M		
9.					Sold	01/03/19	M	C	
10.					Buy	01/07/19	O		
11.					Sold (part)	01/07/19	O	D	
12.					Sold	01/17/19	M		
13.					Buy	04/12/19	O		
14.					Buy	09/05/19	N		
15.					Buy (add'l)	09/24/19	N		
16.					Sold	10/01/19	O		
17.					Buy	12/06/19	O		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -- Applied Materials Common Stock		None			Buy	01/11/19	M		
19.					Buy (add'l)	01/22/19	M		
20.					Sold	01/22/19	N		
21.					Buy	03/15/19	M		
22.					Sold	08/05/19	N		
23. -- Cisco Common Stock	D	Dividend	M	T	Buy	01/02/19	K		
24.					Buy (add'l)	01/08/19	M		
25.					Sold	01/08/19	M	B	
26.					Buy	02/01/19	M		
27.					Sold	02/12/19	M	C	
28.					Buy	03/21/19	N		
29.					Sold	08/02/19	N	D	
30.					Buy	08/29/19	M		
31. -- Home Depot Common Stock	B	Dividend			Buy	01/09/19	M		
32.					Sold	01/22/19	M		
33.					Buy	02/05/19	M		
34.					Sold (part)	03/19/19	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold	04/04/19	M	D	
36.					Buy	08/28/19	M		
37.					Sold	09/06/19	M	C	
38. -- Intel Common Stock	B	Dividend	L	T	Buy	01/10/19	M		
39.					Sold	01/22/19	M		
40.					Buy	01/29/19	L		
41.					Sold (part)	01/30/19	L	A	
42.					Buy (add'l)	02/01/19	M		
43.					Buy (add'l)	02/19/19	O		
44.					Sold	03/05/19	O	F	
45.					Buy	03/12/19	N		
46.					Buy (add'l)	03/21/19	L		
47.					Sold	04/04/19	N	D	
48.					Buy	12/06/19	M		
49. -- Lowes Common Stock	B	Dividend	M	T	Buy	02/05/19	L		
50.					Sold	04/04/19	M	D	
51.					Buy	12/17/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -- Microsoft Common Stock	C	Dividend	M	T	Buy	01/30/19	M		
53.					Sold	01/30/19	M	B	
54.					Buy	02/01/19	O		
55.					Buy (add'l)	03/15/19	M		
56.					Sold	03/19/19	M	F	
57.					Buy	03/20/19	L		
58.					Buy (add'l)	03/21/19	M		
59.					Buy (add'l)	03/22/19	M		
60.					Buy (add'l)	06/18/19	N		
61.					Buy (add'l)	06/20/19	O		
62.					Sold (part)	06/20/19	N	F	
63.					Buy (add'l)	06/25/19	N		
64.					Sold	08/02/19	P1	D	
65.					Buy	12/06/19	M		
66.					Buy (add'l)	12/17/19	L		
67. -- Stanley Black & Decker Common Stock	A	Dividend			Buy	02/05/19	M		
68.					Sold	02/05/19	M	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
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R =Cost (Real Estate Only)
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H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
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P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy	08/28/19	M		
70.					Sold	09/06/19	M	D	
71. JPMorgan Chase Accounts	C	Interest	N	T					
72. Schwab Account -- NY Muni Money Mkt	C	Int./Div.	N	T					
73. Merrill Lynch Cash Acct	D	Int./Div.	O	T					
74. Advanced Micro Devices Common Stock		None			Buy	04/16/19	L		
75.					Sold	04/16/19	L		
76.					Buy	07/12/19	M		
77.					Sold	07/12/19	M	A	
78. Alphabet Class A [GOOGL]		None			Sold	01/04/19	N		
79.					Buy	02/05/19	O		
80.					Sold	02/05/19	O	D	
81.					Buy	02/06/19	O		
82.					Sold	02/12/19	O	A	
83.					Buy	02/14/19	O		
84.					Sold	02/25/19	O		
85.					Sold	02/26/19	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Alphabet Class C [GOOG]		None			Buy	02/05/19	O		
87.					Sold	02/06/19	O	B	
88.					Buy	02/12/19	N		
89.					Sold	02/14/19	N		
90. Amazon Common Stock		None	O	T	Buy	01/02/19	O		
91.					Buy (add'l)	01/03/19	N		
92.					Buy (add'l)	01/04/19	P1		
93.					Sold (part)	01/04/19	N		
94.					Buy (add'l)	01/07/19	P1		
95.					Sold (part)	01/07/19	M		
96.					Sold (part)	01/08/19	N		
97.					Buy (add'l)	01/09/19	P1		
98.					Sold (part)	01/11/19	O		
99.					Sold (part)	01/14/19	O		
100.					Sold (part)	01/17/19	M		
101.					Sold (part)	01/18/19	O		
102.					Buy (add'l)	01/22/19	O		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

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R =Cost (Real Estate Only)

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103.					Sold (part)	01/22/19	O		
104.					Buy (add'l)	01/23/19	M		
105.					Sold (part)	01/23/19	O		
106.					Buy (add'l)	01/25/19	O		
107.					Buy (add'l)	01/30/19	P1		
108.					Sold (part)	02/06/19	P1		
109.					Buy (add'l)	02/06/19	O		
110.					Sold (part)	02/07/19	N		
111.					Sold (part)	02/08/19	O		
112.					Sold (part)	02/11/19	N		
113.					Sold (part)	02/12/19	O		
114.					Buy (add'l)	02/25/19	P1		
115.					Sold (part)	02/26/19	O		
116.					Sold (part)	02/27/19	N		
117.					Sold (part)	03/01/19	N		
118.					Buy (add'l)	03/04/19	P1		
119.					Sold (part)	03/07/19	P1		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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R =Cost (Real Estate Only)

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/07/19	O		
121.					Sold (part)	03/15/19	N		
122.					Sold (part)	03/19/19	O		
123.					Buy (add'l)	03/19/19	O		
124.					Sold (part)	03/20/19	M		
125.					Sold (part)	04/01/19	O		
126.					Sold (part)	04/12/19	O		
127.					Sold (part)	04/16/19	O		
128.					Sold (part)	05/03/19	P1		
129.					Sold (part)	05/10/19	P1		
130.					Sold (part)	05/16/19	N		
131.					Sold (part)	06/03/19	N		
132.					Sold	07/02/19	M		
133.					Buy	11/15/19	M		
134.					Buy (add'l)	12/06/19	O		
135.					Sold (part)	12/06/19	M		
136. AT&T Common Stock	C	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Apple Common Stock		None			Buy	01/30/19	N		
138.					Sold	01/30/19	N	D	
139.					Buy	03/22/19	M		
140.					Sold	04/04/19	M	B	
141.					Buy	05/16/19	M		
142.					Sold	05/21/19	M		
143. Applied Materials Common Stock	A	Dividend			Buy	01/31/19	L		
144.					Sold	01/31/19	L		
145.					Buy	02/13/19	M		
146.					Sold	02/14/19	M		
147.					Buy	02/25/19	M		
148.					Buy (add'l)	03/11/19	L		
149.					Sold (part)	03/11/19	L		
150.					Sold	03/15/19	M	B	
151.					Buy	03/18/19	M		
152.					Sold	03/18/19	M	A	
153.					Buy	03/20/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold	04/15/19	M	D	
155.					Buy	04/17/19	O		
156.					Buy (add'l)	04/18/19	O		
157.					Buy (add'l)	04/29/19	J		
158.					Sold (part)	05/03/19	M		
159.					Sold (part)	05/15/19	M		
160.					Buy (add'l)	05/16/19	K		
161.					Sold (part)	05/16/19	M		
162.					Sold (part)	06/20/19	L	A	
163.					Sold	07/01/19	K	B	
164.					Buy	07/02/19	M		
165.					Sold	07/05/19	M		
166.					Buy	07/10/19	L		
167.					Sold (part)	07/10/19	L		
168.					Buy	07/11/19	M		
169.					Sold (part)	07/23/19	L	D	
170.					Sold	07/25/19	L	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Cisco Common Stock	A	Dividend	L	T	Buy	01/02/19	N		
172.					Buy (add'l)	01/08/19	M		
173.					Sold (part)	01/10/19	N		
174.					Sold	01/11/19	M		
175.					Buy	03/04/19	L		
176.					Sold	03/04/19	N	A	
177.					Buy	03/12/19	N		
178.					Sold	03/14/19	N	B	
179.					Buy	03/18/19	N		
180.					Buy (add'l)	03/21/19	N		
181.					Sold	03/21/19	O	B	
182.					Buy	04/12/19	N		
183.					Sold (part)	04/12/19	M	A	
184.					Buy (add'l)	04/17/19	N		
185.					Buy (add'l)	04/26/19	M		
186.					Buy (add'l)	05/06/19	K		
187.					Buy (add'l)	05/13/19	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	05/16/19	L		
189.					Sold	06/20/19	L	A	
190.					Sold (part)	07/01/19	M		
191.					Sold (part)	07/02/19	M		
192.					Sold (part)	07/05/19	L		
193.					Buy (add'l)	07/09/19	N		
194.					Buy (add'l)	07/10/19	N		
195.					Sold (part)	07/10/19	O	C	
196.					Sold (part)	07/11/19	M	A	
197.					Sold (part)	07/12/19	M	B	
198.					Buy (add'l)	07/19/19	M		
199.					Sold (part)	07/19/19	M	A	
200.					Buy (add'l)	07/23/19	N		
201.					Buy (add'l)	08/01/19	L		
202.					Sold (part)	08/02/19	N		
203.					Sold (part)	08/05/19	N		
204.					Buy (add'l)	08/16/19	O		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	08/19/19	L		
206.					Sold (part)	08/27/19	N		
207.					Sold (part)	09/06/19	N		
208. Facebook Common Stock		None			Buy	07/25/19	N		
209.					Sold	07/31/19	N		
210. Home Depot Common Stock		None			Buy	01/07/19	O		
211.					Sold	01/08/19	O		
212.					Buy	01/09/19	O		
213.					Sold	01/23/19	O		
214.					Buy	01/23/19	L		
215.					Buy (add'l)	01/25/19	L		
216.					Buy (add'l)	02/05/19	L		
217.					Buy (add'l)	02/07/19	L		
218.					Sold (part)	02/12/19	M		
219.					Sold	02/26/19	M		
220. Intel Common Stock	B	Dividend	L	T	Buy	01/04/19	P1		
221.					Buy (add'l)	01/08/19	O		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	01/08/19	O		
223.					Sold (part)	01/10/19	O		
224.					Buy (add'l)	01/10/19	M		
225.					Sold	01/11/19	M	B	
226.					Buy	01/18/19	M		
227.					Sold	01/18/19	M	A	
228.					Buy	01/29/19	M		
229.					Sold	01/30/19	M	B	
230.					Buy	01/31/19	M		
231.					Sold	01/31/19	M	B	
232.					Buy	02/11/19	M		
233.					Sold	02/12/19	M	D	
234.					Buy	02/13/19	N		
235.					Sold	02/13/19	N	A	
236.					Buy	02/19/19	N		
237.					Sold	02/19/19	N		
238.					Buy	02/25/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	03/11/19	M		
240.					Sold	03/11/19	O		
241.					Buy	03/15/19	M		
242.					Sold (part)	03/15/19	M	B	
243.					Buy (add'l)	03/18/19	M		
244.					Sold (part)	03/19/19	N		
245.					Sold	03/21/19	O		
246.					Buy	04/03/19	M		
247.					Sold	04/04/19	M	D	
248.					Buy (add'l)	04/05/19	N		
249.					Buy (add'l)	04/11/19	M		
250.					Sold (part)	04/12/19	M	A	
251.					Buy (add'l)	04/15/19	M		
252.					Sold (part)	04/16/19	M		
253.					Buy	04/26/19	M		
254.					Sold (part)	04/30/19	L		
255.					Sold (part)	05/15/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	06/14/19	N		
257.					Sold (part)	06/19/19	M		
258.					Sold (part)	07/01/19	M		
259.					Buy (add'l)	07/10/19	M		
260.					Buy (add'l)	07/11/19	M		
261.					Buy (add'l)	07/11/19	M		
262.					Buy (add'l)	07/15/19	N		
263.					Sold (part)	07/18/19	N		
264.					Sold (part)	07/23/19	L	C	
265.					Buy (add'l)	08/26/19	N		
266.					Sold (part)	08/27/19	N	B	
267.					Buy (add'l)	08/28/19	N		
268.					Sold (part)	08/29/19	N	D	
269.					Buy (add'l)	11/15/19	N		
270.					Sold (part)	12/20/19	N	C	
271. Lowes Common Stock	A	Dividend	J	T	Buy	01/23/19	N		
272.					Sold	01/23/19	N	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Buy	02/05/19	M		
274.					Sold	02/13/19	M	B	
275.					Buy	02/25/19	M		
276.					Sold (part)	02/27/19	L	B	
277.					Buy (add'l)	03/06/19	M		
278.					Buy (add'l)	03/19/19	M		
279.					Sold (part)	03/19/19	M	A	
280.					Buy (add'l)	03/20/19	L		
281.					Sold (part)	03/20/19	M	A	
282.					Buy	04/01/19	N		
283.					Sold (part)	04/03/19	M	C	
284.					Sold	04/04/19	M	C	
285.					Buy	05/22/19	M		
286.					Buy (add'l)	06/28/19	M		
287.					Sold (part)	06/28/19	M	A	
288.					Sold	07/01/19	M	B	
289.					Buy	07/10/19	M		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	07/24/19	N		
291.					Sold (part)	08/05/19	N		
292.					Buy (add'l)	09/05/19	M		
293.					Sold (part)	09/16/19	M		
294. Micron Technology Common Stock		None			Buy	05/15/19	M		
295.					Sold	05/15/19	M	A	
296. Microsoft Common Stock	C	Dividend			Buy	01/04/19	P1		
297.					Sold (part)	01/04/19	P1		
298.					Buy (add'l)	01/07/19	O		
299.					Sold	01/11/19	O		
300.					Buy	02/13/19	O		
301.					Sold	02/13/19	O		
302.					Buy	03/04/19	O		
303.					Sold (part)	03/04/19	N		
304.					Buy (add'l)	03/05/19	O		
305.					Sold (part)	03/05/19	O		
306.					Sold	03/11/19	M	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Buy	03/18/19	M		
308.					Sold	03/19/19	M		
309.					Buy	03/20/19	M		
310.					Buy (add'l)	03/21/19	O		
311.					Sold	01/21/19	O	C	
312.					Buy	03/22/19	N		
313.					Sold	04/12/19	N	D	
314.					Buy	04/16/19	N		
315.					Sold	04/16/19	N		
316.					Buy	05/13/19	O		
317.					Sold	05/14/19	O	D	
318.					Buy	05/14/19	O		
319.					Sold	05/15/19	O	C	
320.					Buy	05/15/19	N		
321.					Sold	05/16/19	N	C	
322.					Buy	05/16/19	O		
323.					Buy (add'l)	05/27/19	M		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	05/28/19	N		
325.					Sold (part)	05/30/19	N		
326.					Buy	06/10/19	N		
327.					Sold (part)	06/18/19	O	D	
328.					Buy (add'l)	06/19/19	M		
329.					Sold (part)	06/19/19	N		
330.					Buy (add'l)	06/20/19	N		
331.					Sold	06/20/19	N	C	
332.					Buy	07/02/19	N		
333.					Sold (part)	07/02/19	N	A	
334.					Buy (add'l)	07/09/19	O		
335.					Sold (part)	07/09/19	N	B	
336.					Buy (add'l)	07/10/19	O		
337.					Sold (part)	07/10/19	N	C	
338.					Buy (add'l)	07/11/19	N		
339.					Sold (part)	07/11/19	P1	D	
340.					Buy (add'l)	07/12/19	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Sold (part)	07/12/19	N	A	
342.					Buy (add'l)	07/17/19	N		
343.					Sold (part)	07/17/19	M	A	
344.					Sold (part)	07/18/19	M	C	
345.					Buy (add'l)	07/19/19	O		
346.					Buy (add'l)	07/22/19	O		
347.					Buy (add'l)	07/23/19	N		
348.					Sold (part)	07/23/19	M	A	
349.					Sold (part)	07/24/19	O	D	
350.					Buy (add'l)	07/25/19	O		
351.					Sold (part)	07/25/19	M	B	
352.					Sold (part)	08/01/19	O	A	
353.					Sold (part)	08/02/19	N		
354.					Sold	08/26/19	M		
355. Northrup Grumman Common Stock		None			Buy	02/27/19	N		
356.					Sold	03/07/19	N		
357. Snap Inc. Common Stock		None			Buy	02/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358.					Sold	02/05/19	J	A	
359. Stanley Black & Decker Common Stock		None			Buy	05/15/19	N		
360.					Sold	05/15/19	N	B	
361.					Buy	06/28/19	M		
362.					Sold	06/28/19	M	A	
363. Capital One Account	A	Interest	M	T	Open	09/09/19	L		
364. Bank America Account	A	Int./Div.	J	T	Open	09/16/19	J		
365.									
366.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544