

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VERMAAT, MAARTEN	2. Court or Organization U.S. DISTRICT COURT, WESTERN DISTRICT OF MICHIGAN	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. DISTRICT COURT, WESTERN DISTRICT OF MICHIGAN 202 W. WASHINGTON ST., BOX 698 MARQUETTE, MI 49855		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Trimedia Environmental & Engineering Services LLC
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Bankruptcy Bench-Bar Conference	July 25-28, 2019	Thompsonville, MI	instructing in trial advocacy program, participating in conference	hotel, meals and mileage
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. mBank checking and money market accts	A	Interest	L	T					
2. USAA Federal Savings Bank checking account	A	Interest	K	T					
3. Michigan Education Savings Plan (MESP) Global Equity Index 529		None	L	T					
4. MESP Principal Plus Interest 529		None	L	T					
5. Vanguard Total Stock Market Index Fund Admiral Shs 529	A	Dividend	K	T					
6. SA Oppenheimer Main St. Large Cap		None	J	T					
7. SA JP Morgan Global Equities Portfolio		None	J	T					
8. SA JP Morgan Equity-Income Portfolio		None	J	T					
9. SA Dogs of Wall Street Portfolio		None	J	T					
10. SA Federated Corporate Bond Fun		None	J	T					
11. SA PineBridge High-Yield Bond Portfolio		None	J	T					
12. SA Morgan Stanley Int'l Equities		None	J	T					
13. SA WellsCap Aggressive Growth		None	J	T					
14. SA AB Small & Mid Cap Value Portfolio		None	J	T					
15. SA JP Morgan Emerging Markets Portfolio		None	J	T					
16. SA Fidelity Institutional AM Real Estate		None	J	T					
17. SA JP Morgan MFS Core Bond		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. American Funds USG Money Market Fund F2 (AFGXX)	A	Dividend	J	T					
19. iShares Core S&P Mid-Cap (IJH)	A	Dividend			Sold	01/17/19	J	A	
20. iShares Core S&P Small Cap (IJR)	B	Dividend	K	T					
21. iShares S&P 500 (IVV)	A	Dividend	K	T					
22. iShares Russell 1000 Value ETF (IWD)	A	Dividend	K	T					
23. iShares Russell 1000 Growth ETF (IWF)	A	Dividend	K	T					
24. iShares Russell 2000 ETF (IWM)	A	Dividend	J	T					
25. iShares Core US Value ETF (IUSV)	A	Dividend	K	T	Sold (part)	08/16/19	J	A	
26.					Sold (part)	08/19/19	J	A	
27. iShares Core US Growth (IUSG)	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
28. Fidelity Cash Fund (FCASH)	A	Dividend	J	T	Buy (add'l)	12/31/19	J		
29. Fidelity Government Cash Reserves (FDRXX) (X)	A	Dividend	J	T	Buy	12/31/19	J		
30. Vanguard Dividend Appreciation (VIG)	A	Dividend	J	T					
31. iShares iBoxx \$ Investment Grade Corporate Bond (LQD)	B	Dividend	K	T	Buy (add'l)	01/17/19	J		
32.					Sold (part)	08/28/19	J	A	
33. iShares Select Dividend ETF (DVY)	A	Dividend	K	T					
34. Rydex S&P 500 Pure Growth H (RYAWX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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35. iShares Barclays Short Treasury Bond (SHV)	A	Dividend	J	T					
36. iShares MBS ETF (MBB)	A	Dividend			Sold (part)	08/16/19	J	A	
37.					Sold	08/19/19	J	A	
38. iShares 3-7 Year Treasury Bond ETF (IEI)	A	Dividend	K	T	Sold (part)	01/18/19	J	A	
39.					Buy (add'l)	08/16/19	K		
40.					Buy (add'l)	08/19/19	J		
41. Vanguard S&P 500 Growth ETF (VOOG)	A	Dividend	J	T					
42. iShares Core High Dividend (HDV)	A	Dividend			Sold (part)	08/16/19	J	A	
43.					Sold	08/19/19	J	A	
44. iShares Edge MSCI USA Min Vol (USMV)	A	Dividend			Sold	08/19/19	J	A	
45. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	J	T					
46. iShares Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Sold (part)	08/16/19	K	A	
47.					Sold (part)	08/19/19	J	A	
48. iShares Broad USD High Yield Corp Bd ETF (USHY)	B	Dividend	K	T	Buy (add'l)	08/16/19	K		
49.					Buy (add'l)	08/19/19	K		
50. Schwab Short-Term US Treasury (SCHO) (X)	A	Dividend	J	T	Buy	11/13/19	J		
51.					Buy (add'l)	11/19/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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52. iShares Trust Core MSCI Europe ETF (IEUR) (X)	A	Dividend	K	T	Buy	08/16/19	K		
53.					Buy (add'l)	08/19/19	J		
54. iShares Core MSCI Pacific (IPAC)	A	Dividend	J	T	Buy (add'l)	08/16/19	J		
55.					Buy (add'l)	08/19/19	J		
56.									
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets listed in VII.A. lines 3-5 are held in 529 plans. The account statements for the Michigan Education Savings Plan (MESP) accounts (529 plans) identified in lines 3 and 4 do not list income. Instead, the statements list unit value. Thus, I have not listed income for these two accounts.

The assets listed in VII.A. lines 6-17 are part of an AIG Polaris Variable Annuity. The statements associated with these assets do not identify income. The statements simply identify unit values. Thus, I have not listed an income for these accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MAARTEN VERMAAT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544