

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Bolden, Victor A.	2. Court or Organization United States District Court for the District of Connecticut	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 915 Lafayette Boulevard Bridgeport, Connecticut 06604		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 2005	NAACP Legal Defense and Educational Fund, Inc. Defined Benefit Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABA Section of Litigation	January 17, 2019 to January 19, 2019	Dana Pont, California	Attended the Winter Leadership Meetingq	Lodging, transportation and meals
2.	ABA Section of Litigation	April 11, 2019 to April 12, 2019	Chicago, Illinois	Attended leadership planning meeting	Lodging, and transportation
3.	ABA Section of Litigation	May 1, 2019 to May 3, 2019	New York, New York	Attended the Section of Litigation Annual Conference	Lodging, and transportation
4.	ABA Section of Litigation	May 21, 2019 to May 22, 2019	Washington, D.C.	Attended leadership planning meeting	Transportation
5.	ABA Section of Litigation	June 13, 2019 to June 15, 2019	Park City, Utah	Attended the Spring Leadership Meeting	Lodging, transportation and meals

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6.	ABA Section of Litigation	October 3, 2019 to October 5, 2019	Scottsdale, Arizona	Attended the Fall Leadership Meeting	Lodging, transportation, and meals
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Fairfield College Preparatory School	Tuition Agreement	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2.									
3.									
4. T. Rowe Price International Fund	A	Int./Div.	K	T	Distributed (part)	01/10/19	J		
5.					Distributed (part)	07/22/19	J		
6. T. Rowe Price Mid-Cap Value Fund	C	Int./Div.	L	T	Distributed (part)	07/22/19	K		
7. T. Rowe Price New America Growth Fund	C	Int./Div.	L	T	Distributed (part)	01/10/19	K		
8.					Distributed (part)	07/22/19	J		
9. T. Rowe Price New Era Fund	A	Int./Div.	K	T	Distributed (part)	01/10/19	K		
10.					Distributed (part)	07/22/19	J		
11. T. Rowe Price New Horizons Fund	D	Int./Div.	M	T	Distributed (part)	01/10/19	K		
12.					Distributed (part)	07/22/19	K		
13. T. Rowe Price Small-Cap Value Fund	B	Int./Div.	K	T	Distributed (part)	01/10/19	J		
14.					Distributed (part)	07/22/19	J		
15. Vanguard 500 Index Fund	B	Int./Div.	L	T					
16. J.P. Morgan Chase Accounts	A	Int./Div.	J	T					
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to Section II, my portion of this defined benefit plan remains in the pension plan of the NAACP Legal Defense & Educational Fund, Inc., even though I left the organization's employ in January 2009. Due to my salary while employed there, I have been designated a highly compensated employee, ineligible to rollover the value of my portion of this pension into another retirement account at this time.

With respect to Section VII, during this reporting period, on January 10, 2019, I took a partial distribution from the T. Rowe Price New Horizons Fund, the T. Rowe Price New America Growth Fund, the T. Rowe Price International Stock Fund, and the T. Rowe Price New Era Fund, all in an IRA account. I also have an IRA consisting entirely of investments in the T. Rowe Price Small-Cap Value Fund. On January 10, 2019, I also took a partial distribution from this fund. On July 22, 2019, I took another partial distribution from the T. Rowe Price New Horizons Fund, the T. Rowe Price New America Growth Fund, the T. Rowe Price International Stock Fund, and the T. Rowe Price New Era Fund. On July 22, 2019, I also took a partial distribution from the T. Rowe Price Mid-Cap Value Fund. On July 22, 2019, from the IRA consisting entirely of investments in the T. Rowe Price Small-Cap Value Fund, I also took a partial distribution from that fund.

I also have retirement investments in the Vanguard 500 Index Fund, a Rollover IRA and a Roth IRA. I have not sold or taken any distributions from either of these accounts during this reporting period.

With respect to Section VII, Column A, for income, when I use the Int./Div. code, I am referring to income from capital gains and dividends together.

With respect to Section VII, Column D, when I refer to distributions, these are the distributions referenced above, which happened on two separate occasions, during this reporting period, January 10, 2019, and July 22, 2019, as noted above.

Because I took two distributions during the 2019 calendar year, from the T. Rowe Price New Horizons Fund, the T. Rowe Price New America Growth Fund, the T. Rowe Price International Stock Fund, and the T. Rowe Price New Era Fund as well as the T. Rowe Price Small-Cap Value Fund, I have restuctured my Financial Disclosure Form for Section VII, Column D for this reporting year in order to provide space for the two distributions in these funds, moving to a different line the references from the last reporting period for the following: the T. Rowe Price Mid-Cap Value Fund, the T. Rowe Price New America Growth Fund, the T. Rowe Price New Era Fund, the T. Rowe Price New Horizons Fund, the T. Rowe Price Small-Cap Value Fund, the Vanguard 500 Index Fund, and my J. P. Morgan Chase account.

More specifically, the following changes in the reporting line of the Financial Disclosure Form, Section VII for this reporting year have occurred. Reporting for the T. Rowe Price International Stock Fund now occurs on Lines 4 and 5 of the Financial Disclosure Form, Section VII, with the bulk of the reporting related to this fund occurring on Line 4, including the disclosure of the first partial distribution on January 10, 2019, and on Line 5, only the disclosure of the second partial distribution on July 22, 2019 is reported. Reporting for the T. Rowe Price Mid-Cap Value Fund now occurs on Line 6 of the Financial Disclosure Form, Section VII. Reporting for the T. Rowe Price New America Growth Fund now occurs on Lines 7 and 8 of the Financial Disclosure Form, Section VII, with the bulk of the reporting related to this fund occurring on Line 7, including the disclosure of the first partial distribution on January 10, 2019, and on Line 8, only the disclosure of the second partial distribution on July 22, 2019 is reported. Reporting for the T. Rowe Price New Era Fund now occurs on Lines 9 and 10 of the Financial Disclosure Form, Section VII, with the bulk of the reporting related to this fund occurring on Line 9, including the disclosure of the first partial distribution on January 10, 2019, and on Line 10, only the disclosure of the second partial distribution on July 22, 2019 is reported. Reporting for the T. Rowe Price New Horizons Fund now occurs on Lines 11 and 12 of the Financial Disclosure Form, Section VII, with the bulk of the reporting related to this fund occurring on Line 11, including the disclosure of the first partial distribution on January 10, 2019, and on Line 12, only the disclosure of the second partial distribution on July 22, 2019 is reported. Reporting for the T. Rowe Price Small-Cap Value Fund now occurs on Lines 13 and 14 of the Financial Disclosure Form, Section VII, with the bulk of the reporting related to this fund occurring on Line 13, including the disclosure of the first partial distribution on January 10, 2019, and on Line 14, only the disclosure of the second partial distribution on July 22, 2019 is reported. The reporting for the Vanguard 500 Index Fund now occurs on Line 15 of the Financial Disclosure Form, Section VII. The reporting for the J.P. Morgan Chase account now occurs on Line 16 of the Financial Disclosure Form, Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Victor A. Bolden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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