

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FELDMAN, MARTIN L.C.	2. Court or Organization EASTERN DISTRICT OF LOUISIANA	3. Date of Report 06/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active U S DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 500 POYDRAS STREET, Rm. 555 NEW ORLEANS, LA 70130-3313		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 1/15/2017	Rollover IRA Distribution	\$860.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Home Life Ins. Co. Whole Life Insurance Policy	A	Dividend	K	T					
2. FHLMC CMO SER 1505-CL Q	A	Interest	J	T					
3. Senior Housing Properties 0403	A	Dividend			Sold	07/11/17	J	A	
4. NexPoint Credit Strategies	A	Dividend	J	T	Sold (part)	06/09/17	J	A	
5. Equity Commonwealth Com. Sh Ben.Int.	A	Int./Div.	K	T					
6. GNMA #1924 JUMBO	A	Interest	J	T					
7. Virtus Total Return Fd	A	Dividend	J	T	Sold (part)	04/10/17	J	A	
8. FNMA CMO SER 1992-195	A	Interest	J	T					
9. AB International Value Fd. Cl C #0436	A	Dividend	J	T					
10. Citigroup	A	Dividend	J	T					
11. GNMA #2005-5 ea	A	Interest	J	T					
12. Blackrock FLTG Rate Inc. Strategies Fd II	A	Dividend	J	T					
13. Blackrock Ltd Duration Income Trust	B	Dividend	K	T					
14. Shoretel, Inc.	A	Dividend			Sold	09/25/17	J	A	
15. Archer, Ltd	A	Dividend	J	T	Sold (part)	04/21/17	J	A	
16. Bank of America	A	Dividend	J	T					
17. RAIF Financial Trust - 0403	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Chase Bank	A	Interest	K	T					
19. Eaton Vance Ltd. Duration Income Fund	B	Dividend	K	T					
20. FNMA1993-82H, 0403	A	Interest	J	T					
21. Proshares Ultra Financials-IRA	A	Distribution	J	T					
22. Healthways, Inc.-IRA	A	Dividend			Sold	01/03/17	J	A	
23. Energy Transfer Partners former Energy Transfer Equity IRA	A	Distribution	J	T	Sold (part)	03/08/17	J	A	
24.					Buy (add'l)	12/15/17	J		
25. Triangle Capital Corporation	B	Dividend			Sold	03/24/17	J	A	
26. Blackrock Enhanced Equity Trust	A	Dividend	J	T					
27. Five Star Senior Living	A	Dividend	J	T					
28. Quicksilver Resources 0403	A	Dividend	J	T					
29. Frontier Communications	A	Dividend			Sold (part)	07/17/17	J	A	
30.					Sold	09/18/17	J	A	
31. Suburban Propane Partnership LP	A	Distribution	J	T					
32. FHLMC CMO Series 3699 LA	B	Interest	K	T					
33. Eaton Vance Insured Municipal Bond Fund	A	Dividend	J	T					
34. FHLMC CMO Series 3772 NA	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Fidus Investment Corporation	A	Dividend			Sold	11/15/17	K	A	
36. Aegon NV 8% Note	A	Interest	J	T					
37. FNMA CMO Series 2012-120QA	A	Interest	J	T					
38. FNMA CMO 2012-152 TA 0403	A	Interest	J	T					
39. Spyglass Res Corporation#0403	A	Dividend	J	T					
40. Eagle Class-JPMorgan Prime MM 0403	A	Int./Div.	J	T					
41. Raymond James Bank Deposit Program, IRA (Y)									
42. Oaktree Specisly Lending	A	Dividend	J	T					
43. Blackstone Group LP	A	Distribution	J	T	Buy (add'l)	04/25/17	J		
44.					Buy (add'l)	07/24/17	K		
45. GNMA Remic Trust 3.5% 2013-104	B	Interest	J	T					
46. Eagle Class-JPMorgan Prime Money Market	A	Dividend	J	T					
47. Crestwood Equity Partners LP	A	Distribution	J	T					
48. Fannie Mae 8.25% Pfd. #0403	A	Int./Div.	J	T					
49. KKR & Company LP	A	Distribution	J	T					
50. Memorial Production Partners LP	A	Distribution			Sold	05/30/17	J	A	
51. Alcentra CAP Corp.	A	Dividend			Sold (part)	07/17/17	J	A	

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52.					Sold	07/18/17	K	A	
53. GNMA Remic Trust 2013-131 KQ 3.5%	A	Interest	J	T					
54. Brookfield Real Assets Income Fund Inc.	A	Dividend	J	T					
55. Blackrock Muni Income TR II	A	Int./Div.	J	T					
56. HCP Inc. REIT	A	Dividend	J	T					
57. Alcentra Cap. Corporation #0403	B	Dividend			Sold	07/18/17	J	A	
58. Eagle Class-JPMorgan Prime MM #0436	A	Dividend	J	T					
59. Two HBRS Inv. Corporation REIT #0403 and IRA	A	Dividend	K	T	Buy (add'l)	09/01/17	J		
60.					Sold (part)	11/10/17	J	A	
61. Sandridge Permian Tr. Com. Unit Ben. Int.#0403	A	Distribution	J	T					
62. Barings Global Short Duration #0403	A	Dividend	K	T					
63. Huntington Bancshares Inc. (Y)									
64. Carlyle Group LP	A	Distribution	J	T					
65. Cohen & Steers Quality Rlty Fd	A	Dividend	J	T					
66. GNMA Remic Trust 2015-144 KQ	A	Interest			Sold	05/22/17	J	A	
67. Nexpoint Residential Tr. Inc.	A	Dividend	J	T					
68. Fortress Investment Group #0403	A	Dividend			Sold	02/22/17	J	A	

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69. Carlyle Group LP #0403	A	Dividend	J	T					
70. FHLMC Remic Ser. 4419 PD 3%	A	Interest	J	T					
71. Lazard Limited SHS A	A	Dividend			Sold	07/13/17	J	A	
72. Microsoft Corporation	A	Dividend			Sold	09/20/17	J	C	
73. Energy Transfer Partners #0403	A	Distribution	J	T					
74. TPG Specialty Lending Inc. #0403	A	Dividend			Sold	01/18/17	J	A	
75. Western Asset High Inc. Opp. Fd.	A	Dividend			Buy (add'l)	04/04/17	J		
76.					Sold	10/09/17	J	A	
77. FHLMC REMIC Series 4116 GA 2.5%	B	Interest	J	T					
78. First TR High Income L/S Fd.	A	Dividend	J	T					
79. Monroe Cap. Corporation	A	Dividend			Sold	06/01/17	J	A	
80. Prudential SHT Duration High Yld. Fd.	B	Dividend			Sold	09/13/17	K	A	
81. TPG Specialty Lending Inc.	A	Dividend			Sold	03/20/17	J	B	
82. TCP CAP Corporation	A	Dividend			Sold	11/15/17	K	A	
83. Quality Care Properties Inc. REIT	A	Dividend	J	T					
84. MPLX LP	A	Distribution			Sold	01/06/17	J	A	
85. MedEquities Realty Tr. Inc. REIT	A	Dividend			Sold	03/06/17	J	A	

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86. Weyerhaeuser Company REIT	A	Dividend			Sold	04/05/17	J	B	
87. Nokia Corporation ADR	A	Dividend			Sold	02/28/17	J	A	
88. Wildhorse Resource Dev Corp	A	Dividend			Buy	01/11/17	J		
89.					Sold (part)	05/30/17	J	A	
90.					Sold	06/14/17	J	A	
91. Brighthouse Financial Inc.	A	Dividend			Buy	05/24/17	J		
92.					Sold (part)	08/11/17	J	A	
93.					Sold	10/27/17	J	H2	
94. B&G Foods Inc.	A	Dividend			Buy	03/23/17	J		
95.					Buy (add'l)	04/06/17	J		
96.					Sold	05/04/17	K	A	
97. Spectra Energy Partners LP	A	Distribution	J	T	Buy	04/10/17	J		
98.					Sold	06/07/17	J	A	
99.					Buy (add'l)	11/17/17	J		
100. FNB Corporation PA	A	Dividend			Buy	06/23/17	J		
101.					Sold	07/17/17	J	A	
102. Abbvie Inc.	A	Dividend			Buy	03/06/17	J		

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103.					Sold	07/27/17	J	A	
104. Verizon Communications Inc.	A	Dividend			Buy	04/20/17	J		
105.					Sold	08/15/17	J	A	
106. CNH Ind. N V	A	Dividend			Buy	02/07/17	J		
107.					Sold	10/13/17	J	A	
108. Commercial Metals Company	A	Dividend			Buy	03/31/17	J		
109.					Sold	04/06/17	J	A	
110. Metlife Inc.	A	Dividend	J	T	Buy	05/30/17	J		
111. Guggenheim Guggenheim Discount Opportunity Strategy Port.	A	Dividend	J	T	Buy	06/12/17	J		
112. General Electric Company	A	Dividend	J	T	Buy	06/15/17	J		
113. Abbott Labs	A	Dividend	J	T	Buy	07/07/17	J		
114. Amplify Energy Corp	A	Dividend	J	T	Buy	05/04/17	J		
115. Targa Res Corporation	A	Dividend	J	T	Buy	10/27/17	J		
116. Plains All American Pptys Inc.	A	Distribution	J	T	Buy	07/13/17	J		
117. Hi-Crush Partners LP	A	Distribution	J	T	Buy	11/21/17	J		
118. Ares Cap Corporation	A	Dividend	J	T	Buy	08/14/17	J		
119. Boulder Growth & Income Fd.	A	Dividend	J	T	Buy	10/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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FELDMAN, MARTIN L.C.

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06/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ALPS ETF Trust Alerian	A	Dividend	J	T	Buy	08/03/17	J		
121. GNMA Remic 2017-130 AB	A	Interest	J	T	Buy	08/30/17	J		
122. Mueller Wtr. Products Inc. Ser. A	A	Dividend	J	T	Buy	06/20/17	J		
123. Sanchex Midstream Partners	A	Dividend			Buy	01/18/17	J		
124.					Sold (part)	02/23/17	J	A	
125.					Sold	03/23/17	J	A	
126. Apollo Investment Corp.	A	Dividend			Buy	02/22/17	J		
127.					Buy (add'l)	02/27/17	J		
128.					Sold (part)	05/08/17	J	A	
129.					Sold	05/09/17	J	A	
130. Viper Energy Partners	A	Distribution			Buy	05/15/17	J		
131.					Sold	06/21/17	J	A	
132. Oaktree Cap Group LLC Cl. A	A	Distribution			Buy	01/05/17	J		
133.					Sold	09/12/17	J	A	
134. Cohen & Steers Total Return #0403	A	Dividend	J	T	Buy	07/17/17	J		
135. Guggenheim Guggenheim Disc. Opp.Strategy #0403	A	Dividend	J	T	Buy	10/11/17	J		
136. Guggenheim Total Income Port. #0403	A	Dividend	J	T	Buy	09/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FELDMAN, MARTIN L.C.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Granite PT Mtg. Tr.#0403 and IRA	A	Dividend	J	T	Buy	11/01/17	J		
138.					Sold (part)	12/07/17	J	A	
139. Consolidatd Comm. Hldgs. Inc. #0403	A	Dividend	J	T	Buy	09/20/17	J		
140. Enterprise Products Partners LP	A	Distribution			Buy	01/03/17	J		
141.					Sold	02/27/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII.

Line 42 - Name Change - formerly Fifth Street Finance

Line 9 - Class Conversion only from Cl. A to C.

Line 73 - Formerly Sunoco Logistics Ptrs. LP, now Energy Transfer Partners. Name Change.

Line 27 - Formerly Five Star Quality Care - Name change.

Line 41 - Changed Money Market Clearing Bank previous and did not remove this line.

Line 63 - Failed to remove on prior report as sold all and confusion with multiple investment accounts.

Line 23 - Name change to Energy Transfer Partners from Energy Equity Partners.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARTIN L.C. FELDMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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