

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fashing, Laura N.	2. Court or Organization U.S. District Court, District of New Mexico	3. Date of Report 01/27/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address 333 Lomas Blvd. NW, Suite 680 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary/Treasurer	Undaunted Carnival Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	self-employed physician
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Ironstone Bank	Mortgage on Commercial Property	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Commercial Property, Albuquerque, NM	E	Rent	N	W					
2. IRA Contributory Acct (H)									
3. - American Beacon Frontier Mkts Incm Y (formerly American Beacon Gbl)	A	Dividend	J	T					
4. - American Fd Amcap Fund	A	Dividend	J	T					
5. - Elements International Port	A	Dividend	J	T					
6. - Elements US Port	A	Dividend	J	T	Buy (add'l)	07/17/19	J		
7. - Hennessy Cornerstone Mid		None	J	T					
8. - Stone Ridge All Asset		None			Sold	07/16/19	J	A	
9. Investment Acct #1 (H)									
10. - Schwab Bank Sweep	A	Interest	J	T					
11. - American Beacon Frontier Mkts Incm Y (formerly American Beacon Gbl)	A	Dividend	J	T					
12. - Crossingbridge Low Dur High Yield Inst	A	Dividend	J	T	Buy (add'l)	12/24/19	J		
13. - Doubleline Total Return	A	Dividend	J	T					
14. - Robinson Tax Advantaged Incm Fd Inst	A	Dividend	J	T					
15. - Voya Securitized Credit I	A	Dividend	J	T					
16. - AMG Yacktmán Fd I (formerly AMG Yacktmán Fd Svc)	A	Dividend	J	T					
17. - Artisan Intl Fund Inv	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Carillon Eagle Mid Cap	A	Dividend	J	T					
19. - Dodge & Cox Intl Stock Fund	A	Dividend	J	T					
20. - Elements Emerging Mkts Port	A	Dividend	J	T					
21. - Elements International Small Cap Port		None			Sold	10/08/19	J	A	
22. - Elements US Port		None			Buy	05/06/19	J		
23.					Buy (add'l)	07/18/19	J		
24.					Sold	10/15/19	J	A	
25. - Elements US Small Cap Port	A	Dividend	J	T					
26. - Fidelity Contra Fund	A	Dividend	J	T					
27. - FMI Large Cap Fund Inst	A	Dividend	J	T					
28. - Hennessy Cornerstone Mid		None	J	T					
29. - Stone Ridge All Asset Variance Risk Prem Com Cl		None			Sold	07/16/19	J	A	
30. - Vanguard FTSE All World Ex US Sm Cap Index Fd	A	Dividend	J	T	Buy	10/08/19	J		
31. - Vanguard 500 Index Fd	A	Dividend	J	T	Buy	10/15/19	J		
32. Investment Acct #2 (H)									
33. - Schwab Bank Sweep (X)	A	Interest	J	T					
34. - Doubleline Total Return	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Robinson Tax Advantaged Incm Fd Inst	A	Dividend	J	T					
36. - Voya Securitized Credit	A	Dividend	J	T					
37. - American Beacon Bridgeway Lg Cap Value Y	A	Dividend	J	T					
38. - Artisan Intl Fund Adv	A	Dividend	J	T					
39. - Dodge & Cox Intl Stock Fund	A	Dividend	J	T	Sold (part)	04/17/19	J	A	
40. - Doubleline Strategic Commodity Fd I	A	Dividend	J	T					
41. - Elements Emerging Mkts Port		None			Sold	10/09/19	J	A	
42. - Elements International Small Cap Port		None			Sold	10/08/19	J	A	
43. - Elements US Port	A	Dividend	J	T	Buy	01/15/19	J		
44. - Elements US Small Cap Port	A	Dividend	J	T					
45. - Fidelity Contra Fund	A	Dividend	K	T					
46. - FMI Large Cap Fund Inst	A	Dividend	J	T					
47. - Glenmede Secured Options Inst		None			Sold	01/14/19	J	A	
48. - Goldman Sachs MLP Energy Infrastrure Inst	A	Dividend	J	T					
49. - JPMorgan Mid Cap Value Inst	A	Dividend	J	T					
50. - Stone Ridge All Asset Variance Risk Prem Com Cl		None			Sold	07/16/19	J	A	
51. - Vanguard Emrg Mkts Stk Index Fd	A	Dividend	J	T	Buy	10/09/19	J		

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52. - Vanguard FTSE All World Es US Sm Cap Index Fd	A	Dividend	J	T	Buy	10/08/19	J		
53. IRA Rollover Acct (H)									
54. - American Beacon Frontier Mkts Incm Y (formerly American Beacon Gbl)	A	Dividend	J	T					
55. - Doubleline Total Return Bd Fd Cl 1	A	Dividend	J	T					
56. - Pimco Total Return Fund Instl Cl	A	Dividend	J	T					
57. - Robinson Tax Advantaged Incm Fd Inst	A	Dividend	J	T					
58. - Doubleline Strategic Commodity Fd I	A	Dividend	J	T					
59. - Elements Emerging Mkts Port	A	Dividend	J	T					
60. - Elements International Port	A	Dividend	J	T					
61. - Elements International Small Cap Port	A	Dividend	J	T					
62. - Elements US Port	B	Dividend	L	T	Buy (add'l)	01/16/19	J		
63.					Buy (add'l)	07/18/19	J		
64. - Elements US Small Cap Port	A	Dividend	J	T					
65. - Glenmede Secured Options Inst		None			Sold	01/15/19	J	A	
66. - Longleaf Partners Small Cap Fund	A	Dividend	J	T					
67. - Stone Ridge All Asset Variance Risk Prem Com Cl		None			Sold	07/15/19	J	A	
68. Inherited IRA (H)									

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69. - Schwab Bank Sweep (X)	A	Interest	J	T					
70. - Doubleline Total Return Fd Fd Cl 1	A	Dividend	J	T					
71. - Robinson Tax Advantaged Incm Fd Inst	A	Dividend	J	T					
72. - American Fd Amcap Fund F1	A	Dividend	J	T					
73. - Artisan Intl Fund Inv	A	Dividend	J	T					
74. - Doubleline Strategic Commodity Fd I	A	Dividend	J	T					
75. - Elements International Port	A	Dividend	J	T					
76. - Elements US Port	A	Dividend	J	T					
77. - Elements US Small Cap Port	A	Dividend	J	T					
78. - Stone Ridge All Asset Variance Risk Prem Com Cl		None			Sold	07/16/19	J	A	
79. Simple IRA (H)									
80. - Schwab Bank Sweep	A	Interest	J	T					
81. - Angel Oak Multi Strategy Incm Fd Instl	A	Dividend			Sold	08/28/19	J	A	
82. - Calvert Bond Fd A	A	Dividend			Sold	12/02/19	J	A	
83. - Calvert Ultra Short Duration Incm I	A	Dividend	J	T	Buy	12/03/19	J		
84. - CRA Qualified Investment Instl	A	Dividend	J	T	Buy	08/29/19	J		
85.					Buy (add'l)	12/03/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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86. - Domini Impact Bond Fund	A	Dividend			Sold	12/02/19	J	A	
87. - Doubleline Total Return Bd Fd Cl 1	A	Dividend			Buy (add'l)	07/17/19	J		
88.					Sold	12/02/19	J	A	
89. - Guggenheim Macro Oppty Inst		None			Sold	08/28/19	J	A	
90. - Robinson Tax Advantaged Incm Fd Inst	A	Dividend	K	T					
91. - Stone Ridge Alt Lending Risk Premium Inst	A	Dividend	J	T	Sold (part)	03/15/19	J	A	
92.					Sold (part)	06/14/19	J	A	
93.					Sold (part)	09/13/19	J	A	
94.					Sold (part)	12/06/19	J	A	
95. - Tortoise Tax Advantaged Social Infra Fd Inst	A	Dividend	J	T	Buy	12/03/19	J		
96. - Zeo Sustainable Credit Fd I	A	Dividend	J	T	Buy	12/03/19	J		
97.					Buy (add'l)	12/11/19	J		
98. - Allianz GI Gbl Water Fd Cl Inst	A	Dividend	J	T					
99. - Calvert Emrg Mkts Eqty I	A	Dividend	J	T					
100. - Calvert Equity Fd A	B	Dividend	K	T					
101. - Calvert Intl Oppty Fd I	A	Dividend	J	T					
102. - Domini Impact Intl Eqty Inv	A	Dividend	K	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. - Essex Environmental Oppty Fd Instl	A	Dividend	J	T					
104. - Glenmede Secured Options Inst.		None			Sold	01/14/19	J	A	
105. - Parnassus Core Eqty Fd Inst	D	Dividend	L	T	Buy (add'l)	01/15/19	J		
106.					Buy (add'l)	07/17/19	J		
107. - PAX ESG Beta Quality Fd	A	Dividend	J	T					
108. - Riverpark Floating Rate Cmbs Fd Inst		None			Buy	03/20/19	J		
109.					Sold	12/02/19	J	A	
110. - Stone Ridge All Asset Variance Risk Prem Com Cl		None			Sold	07/12/19	J	A	
111. - Stone Ridge Reinsurance Risk Prem Interval	A	Dividend	J	T	Sold (part)	05/24/19	J	A	
112.					Sold (part)	08/23/19	J	A	
113.					Sold (part)	11/22/19	J	A	
114. - Touchstone Sustainability & Impact Eqty A	A	Dividend	K	T					
115. - Walden Small Cap Fd	A	Dividend	J	T					
116. Investment Acct #3 (H)									
117. - Schwab Markettrack All Equity	A	Int./Div.	J	T					
118. UNM Rollover IRA (H)									
119. - Schwab Bank Sweep (X)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Fashing, Laura N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Calvert Bond Fd A	A	Dividend			Sold	12/02/19	J	A	
121. - Calvert Ultra Short Duration Incm I	A	Dividend	J	T	Buy	12/03/19	J		
122. - CRA Qualified Investment Instl	A	Dividend	J	T	Buy	12/03/19	J		
123. - Domini Impact Bond Fund	A	Dividend			Sold	12/02/19	J	A	
124. - Doubleline Total Return Bd Fd Cl I	A	Dividend			Buy	07/17/19	J		
125.					Sold	12/02/19	J	A	
126. - Guggenheim Macro Oppty Inst	A	Dividend	J	T					
127. - Robinson Tax Advantaged Incm Fd Inst	A	Dividend	J	T					
128. - Tortoise Tax Advantaged Social Infra Fd Inst	A	Dividend	J	T	Buy	12/03/19	J		
129. - Voya Securitized Credit	A	Dividend			Sold	12/02/19	J	A	
130. - Zeo Sustainable Credit Fd I	A	Dividend	J	T	Buy	12/03/19	J		
131. - Allianz GI Gbl Water Fd Cl Inst	A	Dividend	J	T					
132. - Calvert Emrg Mkts Eqty I	A	Dividend	J	T					
133. - Calvert Equity Fd A	A	Dividend	K	T					
134. - Calvert Intl Oppty Fd I	A	Dividend	J	T					
135. - Domini Impact Intl Eqty Inv	A	Dividend	K	T					
136. - Essex Environmental Oppty Fd Instl		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Fashing, Laura N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Glenmede Secured Options Inst		None			Sold	01/14/19	J	A	
138. - Parnassus Core Eqty Fd Inst	C	Dividend	L	T	Buy (add'l)	01/15/19	J		
139.					Buy (add'l)	07/17/19	J		
140. - PAX ESG Beta Quality Fd	A	Dividend	J	T					
141. - Stone Ridge All Asset Variance Risk Prem Com Cl		None			Sold	07/16/19	J	A	
142. - Stone Ridge Reinsurance Risk Prem Interval	A	Dividend	J	T	Sold (part)	05/29/19	J	A	
143.					Sold (part)	08/28/19	J	A	
144.					Sold (part)	11/26/19	J	A	
145. - Touchstone Global (formerly Touchstone Sustainability&Impact Eqty A)	A	Dividend	K	T					
146. - Walden Small Cap Fd	A	Dividend	J	T					
147. SEP-IRA (H)									
148. - Doubleline Total Return Bd Fd Cl I	A	Dividend	J	T	Buy	03/13/19	J		
149. - Allianz GI Gbl Water Fd Cl Inst	A	Dividend	J	T	Buy	03/13/19	J		
150. - Calvert Intl Oppty Fd I	A	Dividend	J	T	Buy	03/13/19	J		
151. - Domini Impact Intl Eqty Inv	A	Dividend	J	T	Buy	03/13/19	J		
152. - Essex Environmental Oppty Fd Instl		None	J	T	Buy	03/13/19	J		
153. - Parnassus Core Eqty Fd Inst	A	Dividend	J	T	Buy	03/13/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Walden Small Cap Fd	A	Dividend	J	T	Buy	03/13/19	J		
155. 401(k) (H)									
156. - Schwab Cash deposit		None	K	T	Buy	12/31/19	K		
157. USAA Bank Accts	A	Interest	K	T					
158. Bank of the West Bank Accts	A	Interest	L	T					
159. USAA Precious Metals Investmt Fund #1		None	J	T	Sold (part)	08/06/19	J	A	
160.					Sold (part)	08/09/19	J	A	
161.					Sold (part)	12/30/19	J	A	
162.					Sold (part)	12/31/19	J	A	
163. USAA Precious Metals Investmt Fund #2		None	J	T					
164. The Education Plan, Index Age Based Portfolio 50		None			Sold	08/02/19	L	A	
165. The Education Plan, 2024-2025 Portfolio		None	L	T	Buy	08/02/19	L		
166. The Education Plan, Index Low Duration Fixed Income		None			Sold (part)	07/03/19	J	A	
167.					Sold	07/08/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

At Part VII, line 147, I added information regarding a SEP-IRA account. This account was opened in 2019, and all of the assets in it were acquired in March 2019. As a result, it was not reported in my prior reports.

At Part VII, line 155, I added information regarding a 401(k) account. This account was opened at the end of 2019. As a result, it was not reported in my prior reports.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laura N. Fashing**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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