

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Falk, Mark	2. Court or Organization United States District Court - New Jersey	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Magistrate Judge, District of New Jersey United States Post Office & Courthouse One Federal Square, Rm 457 Newark, New Jersey 07101-0999		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Co-Trustee	Living Trust 2
3. Co-Trustee	GST Trust #4
4. Co-Trustee	GST Trust #5
5. President	Federal Magistrate Judges Association
6. President-Elect	Federal Magistrate Judges Association
7. Adjunct Professor	Rugters School of Law
8. Adjunct Professor	Fordham Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Fordham Law School-teaching	\$2,500.00
2. 2019	Rutgers Law School-teaching	\$1,795.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed (court stenography)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Magistrate Judges Association	10/02/19 - 10/04/19	Washington, DC	Executive Board Meetings	Transportation, Lodging
2.	Federal Magistrate Judges Association	03/24/19 - 03/27/19	Washington, DC	Executive Board Meetings	Transportation, Lodging
3.	National Conference of Bankruptcy Judges	10/31/19 - 11/2/19	Washington, DC	Guest of Meeting	Transportation, Lodging
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. Fidelity Ginnie Mae Fund	A	Dividend	J	T					
3. Fidelity N.J. Muni Money Market Fund	A	Dividend	K	T					
4. Fidelity Floating Rate	A	Dividend	J	T					
5. Fidelity Cash Reserves	B	Dividend	M	T					
6. IRA #1 (H)									
7. -MS U.S. Govt. Securities Trust B	B	Int./Div.	L	T					
8. IRA #2 (H)									
9. -Rydex Invers. Govt Long Bond	A	Interest	K	T					
10. -Vanguard Short Term Investors	A	Dividend	K	T					
11. -Vanguard Fixed Income High Yield	B	Dividend	K	T					
12. -Vanguard Div. App. Index	A	Dividend	K	T					
13. -Vanguard Value Index	A	Dividend	K	T					
14. -Ishares Russell Midcap Value Index	A	Dividend	K	T					
15. -Ishares Russell 1000 Value Index	A	Dividend	K	T	Sold (part)	01/10/19	K	B	
16. -Fidelity Convertible Securities Fund	A	Dividend	J	T	Sold (part)	10/02/19	K	C	
17. -Nasdaq 100 Trust Unit Stock	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18. -Fidelity Overseas Mutual Fund	A	Dividend	K	T	Sold (part)	01/10/19	K	C	
19. -Fidelity Puritan Mutual Fund	A	Dividend	K	T					
20. -Fidelity Blue Chip Growth Mutual Fund	A	Dividend	J	T					
21. -Fidelity Cash Reserves	D	Interest	O	T					
22. -Fidelity US Bond Index	A	Dividend	K	T					
23. -Fidelity Ext. MKT Index Premium Class	A	Dividend	K	T					
24. -Fidelity Intl. Index Premium Class	A	Dividend	K	T					
25. -Fidelity Intl. Treasury Bond Index	A	Dividend	J	T					
26. -Fidelity 500 Index Premium Class	A	Dividend	J	T	Sold (part)	01/10/19	J	C	
27. -Vanguard Inflation Protected SECs	A	Dividend	K	T					
28. -Vanguard Small Cap Value Index	A	Dividend	J	T	Sold (part)	01/10/19	K	D	
29. -Fidelity Magellan Mutual Fund	C	Dividend	K	T					
30. -Fidelity Ginnie Mae Fund	A	Dividend	J	T					
31. -Fidelity Growth & Income Mutual Fund	A	Dividend	J	T	Sold (part)	01/10/19	J	B	
32. -Fidelity Intermediate Bond Mutual Fund	A	Dividend	J	T					
33. -Fidelity Govt. Money Market*	A	Interest	J	T					
34. -Fidelity Short Term Bond	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Vanguard Wellesley Inc.	A	Dividend	K	T					
36. -Doubleline Bond Fund	A	Dividend	K	T					
37. -Ishares Silver	A	Interest	J	T					
38. -Ishares China ETF	A	Dividend	K	T					
39. -Pro Shares Short Dow	A	Dividend	K	T					
40. -Pro Shares Ultrashort SC600	A	Dividend	J	T					
41. -Pro Shares Ultra Short Cap	A	Dividend	J	T					
42. -Pro Shares SHort S&P 500	A	Dividend	K	T					
43. -Pro Shares Short QQQ	A	Dividend	J	T					
44. -Fidelity Floating Rate High Income	C	Dividend	L	T					
45. IRA #3 (H)									
46. -Vanguard Total Stock Market Index Mutual Fund	B	Dividend	M	T					
47. Alcoa Common Stock	A	Dividend	J	T					
48. Coca Cola Common Stock	A	Dividend	J	T					
49. Brokerage Account #1 (H)									
50. -Morgan Stanley Tax Free Daily Income Trust	A	Interest	J	T					
51. -Morgan Stanley Bank	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -PIMCO Short Term P	B	Dividend	L	T	Buy	12/31/19	J		
53. -Western Ast Int Term Muni I	C	Dividend	L	T					
54. -Wells Fargo St Muni Bd INS	B	Dividend	L	T	Buy	04/05/19	J		
55.					Buy	12/31/19	J		
56. -SPDR Nuveen Barclays Mun ETF	A	Dividend	K	T	Buy	04/05/19	J		
57. -SPDR Nuveen Barclays Short ETF	A	Dividend	K	T	Buy	04/10/19	J		
58. -ISHARES Russell 1000 GRW ETF	A	Dividend	J	T					
59. -Deutsche X-Trackers MSCI EAF	A	Dividend	J	T	Buy	04/05/19	J		
60. -E V Income Fund of Boston I	A	Dividend	J	T					
61. -Cambiar INTL Equity INV	A	Dividend	J	T					
62. -ISHARES Russell 1000 Value ETF	A	Dividend	J	T	Sold (part)	04/05/19	J	A	
63. -PIMCO Foreign BD US \$ Hedged P	A	Dividend	J	T					
64. -Wells Fargo Emerg Mrk EQ Inc.	A	Dividend	J	T	Sold (part)	04/05/19	J	A	
65. -Delaware Value INSTL	A	Dividend	J	T	Buy	04/05/19	J		
66. -ISHARES RUSSELL 2000 Growth ETF	A	Dividend							
67. -Wells Fargo Special MDCP VL AD	A	Dividend	J	T					
68. -Hartford Midcap I	A	Dividend	J	T	Sold (part)	04/05/19	J	A	

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69. -ISHARES RUSSELL Midcap V ETF	A	Dividend	J	T					
70. -ISHARES RUSSELL Midcap G ETF	A	Dividend	J	T					
71. -Delware Inv Sm Cap Val INST	A	Dividend	J	T	Buy	04/05/19	J		
72. -Edgewood Growth Instl	A	Dividend	J	T					
73. -Harding Loevner Intl EQTY INSTL	A	Dividend	J	T	Sold (part)	04/05/19	J	A	
74. -T. Rowe Price QM US	A	Dividend	J	T	Sold (part)	04/05/19	J	A	
75. -Wisdom Tree Jap	A	Dividend	J	T					
76. -ISHARES MSCI Japan ()	A	Dividend	J	T					
77. -Goldman Sachs Emeg	A	Dividend	J	T					
78. -Loomis Growth Y	A	Dividend	J	T					
79. -Oakmark International	A	Dividend	J	T	Sold (part)	04/05/19	J	A	
80. -Matthews Asian Japan	A	Dividend	J	T					
81. -Blackrock Nat Mun	A	Int./Div.	L	T	Buy	04/05/19	J		
82. IRA #4 (H)									
83. -Virtus Capital Growth	A	Int./Div.			Sold	04/15/19	J	A	
84. -Virtus Strat Alloc	A	Int./Div.			Sold	04/15/19	J	A	
85. -Virtus International	A	Int./Div.			Sold	04/15/19	J	A	

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86. -Wanger USA	A	Int./Div.			Sold	04/15/19	J	A	
87. -Wagner International	A	Int./Div.			Sold	04/15/19	J	A	
88. -Federated Prime Money Market	A	Int./Div.			Sold	04/15/19	J	A	
89. IRA 4 (2)	A	Int./Div.	K	T	Buy	04/15/19	K		
90. -Lincoln S&P Cap	A	Interest	J	T	Buy	04/15/19	J		
91. -Lincoln S&P 500	A	Interest	J	T	Buy	04/15/19	J		
92. SEP Account 1 (H)									
93. -Vanguard Total Stock Market Index Mutual Fund	D	Dividend	O	T					
94. -Vanguard Prime Money Market Fund	D	Interest	N	T	Sold (part)	03/27/19	K	A	
95.					Buy	04/04/19	J		
96. -Vanguard Wellesly	B	Dividend	L	T	Buy	04/15/19	K		
97. Wells Fargo Cash Account 1	A	Interest	L	T					
98. Wells Fargo Cash Account 2	A	Interest	J	T					
99. Wells Fargo Cash Account 3	A	Interest	J	T					
100. Bank of America Cash Account 1	A	Interest	J	T					
101. Amboy Bank Cash Account	A	Interest	J	T					
102. Investment Account #2 (H)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. -Fidelity NJ Muni Money Market	A	Dividend	J	T					
104. -Fidelity Floating Rate	A	Dividend	J	T					
105. -Fidelity GNMA Fund	A	Dividend	J	T					
106. -Fidelity Cash Reserve	A	Dividend	K	T					
107. -Fidelity Convertible Securities	B	Dividend	K	T					
108. Bank of America Cash Account 2	A	Interest	J	T					
109. Bank of America Cash Account 3	A	Interest	J	T					
110. Bank of America Cash Account 4	A	Interest	K	T					
111. Santander Cash Account	A	Interest	J	T					
112. Investment Account #3 (H)									
113. -Vanguard Growth & Income	B	Dividend	K	T					
114. -Vanguard Healthcare	B	Dividend	K	T					
115. -Vanguard Inter-Tax Exempt	A	Dividend	J	T					
116. -Vanguard Prime Mkt Fund	C	Dividend	M	T					
117. -Vanguard Total Stk Mk.	A	Dividend	K	T	Sold (part)	01/24/19	K	B	
118. -Vanguard 500 Index	A	Dividend	K	T					
119. Investment Account #4 (H)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Falk, Mark

Date of Report

08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Charles Schwab Money Market	B	Interest	M	T					
121. -Fidelity NY Muni Income	A	Dividend	K	T					
122. -Charles Schwab US REIT	A	Dividend	J	T					
123. -Powershares S&P	A	Dividend	J	T					
124. -Schwab International	A	Dividend	J	T					
125. Investment Account #5 (H)									
126. -Charles Schwab Money Market	B	Interest	L	T					
127. -Osterweis Strategic	A	Dividend	K	T					
128. -USAA Tax Exempt	A	Dividend	J	T					
129. Eastman Chemical	A	Dividend	J	T					
130. Trust #4 (H)									
131. -Morgan Stanley	B	Interest	J	T					
132. -America Fund C	A	Dividend	K	T					
133. -American Fundamental Inve	B	Dividend	K	T					
134. -American Cap Inc Builder C	C	Dividend	M	T					
135. -American AmCap C	A	Dividend	J	T					
136. -Blackrock Strat Inc Opp C	B	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Chase Checking	A	Interest	J	T					
138. Trust #5 (H)									
139. -Chase Checking	A	Interest	J	T					
140. -ISHARES RUSSELL 2000 Value ETF	A	Dividend	J	T					
141. -Investors Bank	C	Interest	M	T					
142. -M&T Cash Acc	A	Interest	J	T					
143. Mass Mutual	A	Interest	K	T	Buy	04/25/19	K		
144. Chase Checking	A	Interest	L	T					
145. Chase Savings	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Falk, Mark

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08/11/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark Falk**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544