

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, Michelle L.	2. Court or Organization United States District Court- Western District of Washington	3. Date of Report 04/20/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 700 Stewart Street Seattle, WA 98101-9906		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Attorney	Michelle Peterson Law, PLLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Peterson, Michelle L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Michelle Peterson Law, PLLC	\$58,724.03
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	TD Ameritrade (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Peterson, Michelle L.	04/20/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo	Personal line of credit	None
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash)	A	Interest	J	T					
3. Rental Property, Snoqualmie Pass, WA	E	Rent	M	W					
4. 401k (H)									
5. TD Ameritrade Insured Deposit Account Bank NA (cash)	A	Interest	J	T					
6. Invesco QQQ Trust Ser 1 (QQQ)	A	Dividend	L	T					
7. IShares Core S&P SCP ETF (IJR)	A	Dividend	J	T	Buy	07/18/19	J		
8.					Buy (add'l)	08/26/19	J		
9. Healthcare Select Sector SPDR Trust (XLV)	A	Dividend	K	T	Sold	06/26/19	K		
10.					Buy	11/22/19	K		
11. Consumer Staples Select Sector SPDR (XLP)	A	Dividend	K	T	Buy (add'l)	06/26/19	J		
12.					Buy (add'l)	08/26/19	J		
13. Consumer Discretionary Select Sector SPDR Trust (XLY)	A	Dividend			Buy (add'l)	08/26/19	J		
14.					Sold	11/22/19	K		
15. SPDR S&P 500 Tr Unit (SPY)	A	Dividend	L	T	Buy (add'l)	08/26/19	J		
16. SPDR Series Trust SPDR Bloomberg ETF (BIL)	A	Dividend	L	T	Buy (add'l)	07/18/19	J		
17.					Buy (add'l)	08/26/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. VANGUARD FTSE DEV MKT ETF (VEA)	A	Dividend	J	T	Buy	08/26/19	J		
19. Vanguard FTSE Emerging Markets ETF (VWO)	A	Dividend	J	T	Buy	08/26/19	J		
20. Vanguard Mid Cap ETF (VO)	A	Dividend	L	T	Buy (add'l)	08/26/19	J		
21. Vanguard Growth ETF (VUG)	A	Dividend	J	T	Buy (add'l)	08/26/19	J		
22. Vanguard Value ETF (VTV)	A	Dividend	K	T	Buy (add'l)	08/26/19	J		
23. Doubleline Total Return Bond I (DBLTX)	B	Dividend	K	T	Buy (add'l)	08/26/19	J		
24. Doubleline Total Return Bond N (DLTNX)	A	Dividend	J	T	Buy (add'l)	08/26/19	J		
25. Doubleline Flexible Income N (DLINX)	A	Dividend	J	T					
26. Doubleline Flexible Income I (DFLEX)	B	Dividend	K	T					
27. Doubleline Shiller Enhanced Cape I (DSEEX)	B	Dividend	K	T					
28. Oppenheimer Intl Small Co Y (OSMYX)	A	Dividend	K	T					
29. Lord Abbett Ultra Short Bond I (LUBYX)	B	Dividend	L	T					
30. Lord Abbett Ultra Short Bond A (LUBAX)	A	Dividend	J	T	Buy	08/26/19	J		
31. Metropolitan West Unconstrained Bond I (MWCIX)	C	Dividend	K	T	Buy (add'l)	06/19/19	J		
32.					Buy (add'l)	08/26/19	J		
33. PIMCO Income Fd Cl A (PONAX)	A	Dividend	J	T	Buy (add'l)	08/26/19	J		
34. PIMCO Fds Income Instl (PIMIX)	C	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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Name of Person Reporting

Peterson, Michelle L.

Date of Report

04/20/2020

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. IRA #1 (H)									
36. iShares Core S&P SCP ETF (IJR)	A	Dividend	L	T					
37. Consumer Staples Select Sector SPDR Trust (XLP)	A	Dividend	J	T	Buy (add'l)	06/26/19	J		
38. Utilities Select Sector SPDR Trust (XLU)	A	Dividend	K	T					
39. SPDR S&P 500 ETF Trust (SPY)	A	Dividend	J	T					
40. Vanguard FTSE Developing Market ETF (VEA)	B	Dividend	L	T					
41. Vanguard FTSE Emerging Markets ETF (VWO)	B	Dividend	K	T	Sold (part)	06/26/19	J		
42. Vanguard Mid Cap ETF (VO)	A	Dividend	K	T					
43. Vanguard Growth ETF (VUG)	A	Dividend	J	T					
44. Vanguard Value ETF (VTV)	A	Dividend	J	T					
45. Doubleline Total Return Bond I (DBLTX)	C	Dividend	M	T					
46. Invesco Opphmr Intl Sm Mid Co Y (OSMYX)	B	Dividend	K	T					
47. Brokerage #1 (H)									
48. TD Ameritrade Holding Corp Com (AMTD)	A	Dividend	K	T	Sold	04/12/19	K		
49.					Buy	12/14/19	K		
50. Omaha 529 College Savings Plan #1 (H)									
51. TD Ameritrade College Savings Plan - Age Based Growth 13-14 (X)		None			Sold	05/06/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. TD Ameritrade College Savings Plan - Age Based Growth 15-16		None			Buy	05/06/19	L		
53.					Sold	11/15/19	L		
54. TD Ameritrade College Savings Plan - Age Based Core 15-16		None	L	T	Buy	11/15/19	L		
55. Omaha 529 College Savings Plan #2 (H)									
56. TD Ameritrade College Savings Plan - Age Based Growth 11-12 (X)		None			Sold	08/05/19	L		
57. TD Ameritrade College Savings Plan - Age Based Growth 13-14		None			Buy	08/05/19	L		
58.					Sold	11/15/19	L		
59. TD Ameritrade College Savings Plan - Age Based Core 13-14		None	L	T	Buy	11/15/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Peterson, Michelle L.	04/20/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, line 1, and Part III A., line 1: Employment prior to taking the bench.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 5, 10-13, 16, 22, 28, 32, 43, 46, 47, and 55 of the initial report were sold prior to the 2019 reporting period.

Part VII: IRA #1 and IRA #2 of the initial report were consolidated in 2019; this consolidation did not involve reportable transactions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michelle L. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544