

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Epps, Jr., Willie J.	2. Court or Organization U.S. District Court for the Western District of Missouri	3. Date of Report 04/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 80 Lafayette Street Room 3108 Jefferson City, Missouri 65101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	The Missouri Bar Foundation
2. Trustee Emeritus	Amherst College
3. Director	National Conference of Federal Trial Judges, Judicial Division, American Bar Association
4. Director	The Judges' Journal, Judicial Division, American Bar Association
5. Director	Federal Bar Association-Kansas and Western District of Missouri Chapter
6. Missouri State Committee Member	The Congressional Award
7. Missouri State Committee Member	American College of Trial Lawyers

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Harvard University	January 13-19, 2019	Cambridge, Massachusetts	Teaching Faculty for Harvard Law School Trial Advocacy Workshop	Transportation, Meals, and Lodging
2. American Bar Association	January 25-27, 2019	Las Vegas, Nevada	Attend ABA Mid-Year Meeting/ National Conference of Federal Trial Judges	Transportation, Meals, and Lodging
3. National Advocacy Center, U.S. Department of Justice	March 11-15, 2019	Columbia, South Carolina	Teaching Faculty for Basic Criminal Trial Advocacy Seminar	Transportation, Meals, and Lodging

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4.	American Bar Association	May 1-4, 2019	New York, New York	Panelist/Speaker at ABA Tort Trial & Insurance Practice Section (TIPS) Conference	Transportation, Meals, and Lodging
5.	Bar Association of Metropolitan St. Louis	May 30, 2019	Lake Ozark, Missouri	Panelist/Speaker at Bench & Bar	Transportation and Meals
6.	American Constitution Society	June 5-7, 2019	Washington, D.C.	Attend Annual Meeting	Transportation, Meals, and Lodging
7.	American Bar Association	August 8-14, 2019	San Francisco, California	Attend Annual Meeting/ National Conference of Federal Trial Judges	Transportation, Meals, and Lodging
8.	National Judicial College	August 18-23, 2019	Reno, Nevada	Attend Designing & Presenting Programs Effectively: A Faculty Development Workshop	Transportation, Meals, and Lodging
9.	American Constitution Society	September 20, 2019	Chicago, Illinois	Speaker at Northwestern Law School	Transportation and Meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. -- Bank of America Cash Accounts	A	Interest	L	T					
3. -- E*TRADE Cash Accounts	A	Interest	L	T					
4. -- BLV Vanguard Long-Term Bond ETF	C	Interest	M	T					
5. -- BRK.B Berkshire Hathaway Common Stock		None	M	T					
6. -- DIA SPDR Dow Jones Industrial Average ETF	E	Dividend	P1	T	Donated (part)				
7. -- DIS Walt Disney Company Common Stock	B	Dividend	M	T					
8. -- PG Proctor & Gamble Company Common Stock	B	Dividend	K	T					
9. -- VAW Vanguard Materials ETF	B	Dividend	M	T					
10. -- VBR Vanguard Small-Cap Value ETF	C	Dividend	M	T					
11. -- VCIT Vanguard Intermediate-Term Corporate Bond ETF	D	Dividend	N	T					
12. -- VDE Vanguard Energy ETF	A	Dividend	K	T					
13. -- VFH Vanguard Financials ETF	D	Dividend	N	T					
14. -- VGT Vanguard Information Technology ETF	A	Dividend	L	T					
15. -- VPL Vanguard FTSE Pacific ETF	B	Dividend	L	T					
16. -- VPU Vanguard Utilities ETF	E	Dividend	O	T					
17. -- VTI Vanguard Total Stock Market ETF	E	Dividend	P1	T	Donated (part)				

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -- WBA Walgreens Boots Alliance Common Stock	A	Dividend	K	T					
19. -- YUM Yum Brands Common Stock	A	Dividend	K	T					
20. -- YUMC Yum China Holdings Common Stock	A	Dividend	J	T					
21. -- NMANX Neuberger Berman Mid Cap Growth Mutual Fund	A	Int./Div.	J	T					
22. -- NPRTX Neuberger Berman Large Cap Value Mutual Fund	A	Int./Div.	K	T					
23. -- VFIAX Vanguard 500 Index Admiral Shares Mutual Fund	B	Int./Div.	L	T					
24. IRA #1 (H)									
25. -- DIA SPDR Dow Jones Industrial Average ETF	B	Dividend	L	T					
26. -- T AT&T Common Stock	B	Dividend	K	T					
27. -- VCLT Vanguard Long-Term Corporate Bond ETF	D	Dividend	M	T					
28. -- VNQ Vanguard REIT ETF	E	Dividend	N	T					
29. -- VTI Vanguard Total Stock Market ETF	B	Dividend	M	T					
30. -- VWO Vanguard FTSE Emerging Markets ETF	C	Dividend	M	T					
31. -- XOM Exxon Mobil Common Stock	A	Dividend	J	T					
32. -- VFINX Vanguard 500 Index Investor Shares Mutual Fund	C	Int./Div.	M	T					
33. -- E*TRADE Cash	A	Interest	K	T					
34. IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -- TWCUX American Century Ultra Mutual Fund	C	Int./Div.	L	T					
36. IRA #3 (H)									
37. --VITSX Vanguard Total Stock Market Index I	A	Int./Div.	K	T					
38. -- VFWSX Vanguard FTSE All-World ex-US Index I	A	Int./Div.	K	T					
39. -- Edward Jones Balance Toward Growth Fund	A	Int./Div.	K	T					
40. IRA #4 (H)									
41. -- ABTIX American Century Govt Bond R5	B	Int./Div.	L	T	Sold (part)	11/18/19	J	C	
42. -- MWTNX Metropolitan West Total Re Bd Admin	B	Int./Div.	L	T	Sold (part)	11/18/19	J	C	
43. -- OAKBX Oakmark Equity and Income Investor	B	Int./Div.			Sold	09/25/19	M	D	
44. -- SRJAX JP Morgan SmartRetirement 2035A	C	Int./Div.			Sold	11/18/19	N	E	
45. -- VEIRX Vanguard Equity-Income Adm	E	Int./Div.	O	T	Sold (part)	11/18/19	J	A	
46. -- VUSXX Vanguard Treasury Money Market Inv	B	Interest	L	T					
47. --- VBAIX Vanguard Balanced Index	A	Int./Div.	M	T	Buy	09/25/19	M		
48. --- VPMAX Vanguard PRIMECAP	A	Int./Div.	M	T	Buy	11/18/19	M		
49. --- VSCIX Vanguard Small Cap Index	A	Int./Div.	M	T	Buy	11/18/19	M		
50. IRA #5 (H)									
51. -- AQEAX Columbia Disciplined Core CL	A	Int./Div.	J	T					

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52. -- EEMAX Columbia Emerging Markets	A	Int./Div.	J	T					
53. -- VAW Vanguard Index FDS Materials ETF	B	Int./Div.	L	T					
54. -- E*TRADE Cash Account	A	Interest	K	T					
55. IRA #6 (H)									
56. -- SSCVX Columbia Select Smaller-Cap Value A	A	Int./Div.	J	T					
57. Ameriprise VUL (H)									
58. -- Columbia VP Select International Equity Fund CL3	A	Int./Div.	K	T					
59. -- Goldman Sachs VIT US Equity Insights Fund	A	Int./Div.	L	T					
60. -- Goldman Sachs VIT Mid Cap Value Fund	A	Int./Div.	L	T					
61. -- Third Ave Value Portfolio	A	Int./Div.	K	T					
62. 529 Account #1 (H)									
63. -- Vanguard Aggressive Growth Portfolio	A	Int./Div.	M	T					
64. 529 Account #2 (H)									
65. -- Vanguard Aggressive Growth Portfolio	A	Int./Div.	L	T					
66. Optum HSA Cash Account #2	A	Interest	K	T					
67. Optum HSA Cash Account	A	Interest	K	T					
68. Western Sun Federal Credit Union Cash Accounts	A	Int./Div.	J	T					

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69. Pentagon Federal Credit Union Cash Accounts	A	Int./Div.	L	T					
70. United States Senate Federal Credit Union Accounts	A	Int./Div.	J	T					
71. Custodian UTMA/UGMA (H)									
72. -- DIA SPDR Dow Jones Industrial Average ETF	A	Dividend	J	T	Buy	11/12/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Willie J. Epps, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544