

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Woods, Gregory H.	2. Court or Organization Southern District of New York	3. Date of Report 07/31/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Board of Trustees	Williams College
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 03/1999	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
2. 01/2005	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
3. 01/2005	Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners. No further contributions by employer.

Name of Person Reporting	Date of Report
Woods, Gregory H.	07/31/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Woods, Gregory H.	07/31/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. JP MORGAN CHASE ACCOUNTS (CASH)	A	Interest	M	T					
3. CREF STOCK R2		None	J	T					
4. CREF GROWTH R2		None	J	T					
5. CREF SOCIAL CHOICE R2		None	J	T					
6. MGJ REALTY CORPORATION (COMMON STOCK OF PRIVATE CORP.)	C	Distribution	L	W					
7. (COMMON STOCK OF PRIVATE CORP.)		None	O	W					
8. RENTAL PROPERTY #1, NEW YORK, NY	E	Rent	O	W					
9. RETIREMENT ACCOUNT #1 (H)									
10. VANGUARD US VALUE FUND	A	Dividend	J	T					
11. VANGUARD FEDERAL MONEY MARKET FUND (cash)	B	Dividend	L	T					
12. VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
13. VANGUARD MID-CAP GROWTH FUND	C	Dividend	K	T					
14. VANGUARD INST INDEX FUND	A	Dividend	K	T					
15. VANGUARD WELLINGTON FUND	A	Dividend	J	T					
16. VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
17. VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. VANGUARD HEALTH CARE FUND	B	Dividend	J	T					
19. VANGUARD TOTAL INT"L STOCK INDEX	A	Dividend	K	T					
20. VANGUARD WINDSOR FUND	B	Dividend	K	T					
21. VANGUARD EXPLORER FUND	A	Dividend	K	T					
22. VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
23. VANGUARD US GROWTH ADMIRAL FUND	D	Dividend	K	T					
24. RETIREMENT ACCOUNT #2 (H)									
25. VANGUARD US VALUE FUND	A	Dividend	J	T					
26. VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
27. VANGUARD MID-CAP GROWTH FUND	A	Dividend	J	T					
28. VANGUARD INST INDEX FUND	D	Dividend	N	T					
29. VANGUARD WELLINGTON FUND	A	Dividend	J	T					
30. VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
31. VANGUARD RETIRE SAVINGS TRUST III	A	Dividend	J	T					
32. VANGUARD TOTAL BOND MKT INDEX	A	Dividend	J	T					
33. VANGUARD HEALTH CARE FUND	A	Dividend	J	T					
34. VANGUARD TOTAL INT"L STOCK INDEX	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Woods, Gregory H.

Date of Report

07/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. VANGUARD WINDSOR FUND	B	Dividend	J	T					
36. VANGUARD EXPLORER FUND	A	Dividend	J	T					
37. VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
38. VANGUARD US GROWTH FUND ADMIRAL	C	Dividend	K	T					
39. RETIREMENT PLAN #3 (H)									
40. DEBEVOISE & PLIMPTON LLP CASH BALANCE RETIREMENT PLAN FOR PARTNERS	D	Interest	L	T					
41. IRA #1 (H)									
42. BLACKROCK FOCUS GROWTH INVESTOR CL C (MCFOX) fmrlly (MCLHX)	C	Dividend	K	T					
43. IRA #2 (H)									
44. BLACKROCK FOCUS GROWTH INVESTOR CL C (MCFOX) fmrlly (MCLHX)	C	Dividend	K	T					
45. BROKERAGE ACCOUNT #1 (H)									
46. JP MORGAN DEPOSIT SWEEP ACCOUNT (CASH)	A	Interest	J	T					
47. BLACKROCK INDEX FDS INC INTL (BTMKX)	B	Dividend	L	T	Sold (part)	02/01/19	J	A	
48. FIDELITY 500 INDEX FUND INST PREM CL (FXAIX)	A	Dividend	K	T	Buy (add'l)	01/04/19	J		
49.					Sold (part)	11/21/19	J	B	
50. FIDELITY SALEM STR TR INTL INDEX FUND (FSPSX)	A	Dividend	J	T	Buy	04/04/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Woods, Gregory H.

Date of Report

07/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
51. ISHARES MCSI JAPAN ETF (EWJ)	A	Dividend	J	T	Sold (part)	03/04/19	J	A	
52. JPMORG EXCH TRADED FD TR BETABLDRS CDA (BBCA)	A	Dividend	K	T	Buy (add'l)	04/04/19	J		
53. JPMORG EXCH TRADED FD BETABLDRS DEV ASIA EX JAP (BBAX)	A	Dividend	J	T					
54. JPMORG EXCH TRADED FD BETABLDRS EUROPE (BBEU)	B	Dividend	K	T	Buy (add'l)	02/21/19	J		
55.					Buy (add'l)	04/04/19	J		
56.					Sold (part)	08/27/19	J		
57. JPMORG EXCH TRADED FD TR BETABLDRS JAP ETF (BBJP)	A	Dividend	K	T	Sold (part)	03/04/19	J		
58. SIX CIRCLES TR INTL UNCONST EQ FD (CIUEX)	B	Dividend	K	T	Buy (add'l)	04/04/19	K		
59.					Buy (add'l)	11/21/19	J		
60. SIX CIRCLES TR US UNCONST EQ FD (CUSUX)	B	Dividend	L	T	Buy (add'l)	04/04/19	K		
61. SPDR S&P 500 ETF TRUST (SPY)	C	Dividend	M	T					
62. VANGUARD INDEX TR 500 INDEX FD (VFIAX)	B	Dividend	L	T					
63. AMERICAN CENTURY MUN TR INT TERM TAX FREE (ATBYX)	B	Dividend	L	T	Buy (add'l)	11/21/19	J		
64. BERNSTEIN SANFORD C FD INC AB INTR DIVERSIFIED MUN PORT ADV CL (AIDYX)	B	Dividend	L	T	Buy (add'l)	11/21/19	J		
65. BLACKROCK MUN BOND FUND INC CL K (BNMLX)	A	Dividend	K	T	Buy (add'l)	02/01/19	J		
66. DODGE & COX INCOME FUND (DODIX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Woods, Gregory H.

Date of Report

07/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
67. ISHARES TRUST 3 TO 7 YEAR TREAS (IEI)	A	Dividend	J	T	Buy	09/25/19	J		
68. ISHARES TRUST 7 10 YEAR TREAS (IEF)	A	Dividend	K	T	Buy	09/25/19	K		
69. ISHARES TR SHIRT TREAS BD (SHV)	A	Dividend	J	T	Buy	06/06/19	K		
70.					Sold (part)	09/25/19	K	A	
71. JP MORGAN TR II SHORT-INTER MUN BD FD INSTL (OSTSX) (Fmrly JIMIX)	A	Dividend	J	T					
72. PIMCO TOTAL RETURN FUND INSTL CL (PTTRX)	A	Dividend	K	T					
73. SIX CIRCLES TR TAX AWARE ULT SHT DUR FD (CUTAX)	A	Dividend	K	T	Buy (add'l)	11/21/19	J		
74. TAX EXEMPT BD FD AMER CL F (TFEBX)	B	Dividend	L	T	Buy (add'l)	02/21/19	K		
75. VANGUARD SHORT TERM INFL PROT SEC INDEX FD (VTIP)	A	Dividend	J	T					
76. AMG TR IV MANAGERS PICTET INTL FD (APCZX)		None			Sold (part)	02/01/19	J		
77.					Sold	04/04/19	K		
78. BROWN ADVISORY FDS WMC STRATEGIC EUROPEAN EQI INSTL (BAFHx)		None			Sold	02/21/19	J		
79. CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL (CIVIX)		None			Sold (part)	02/01/19	J		
80.					Sold (part)	02/21/19	J		
81.					Sold	04/04/19	J	A	
82. DODGE & COX FUNDS INTERNATIONAL STOCK FUND (DODFX)	A	Dividend			Sold (part)	02/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Woods, Gregory H.

Date of Report

07/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
83.					Sold	04/04/19	J	A	
84. HARRIS ASSOC INVT TR OAKMARK FUND INSTL CL EST (OANMX)		None			Sold	04/04/19	K	B	
85. JP MORGAN TR 1 US EQUITY FD (JUEMX)	A	Dividend			Sold	04/04/19	K	A	
86. MASSCHUSETTS INVESTORS TRUST CL 1 (MITIX)		None			Sold	04/04/19	K	A	
87. BLACKROCK FDS HIGH YIELD BD PORTFOLIO (BRHYX)	A	Dividend			Sold	03/04/19	J		
88. ISHARES TR SHS 1-3 YR TREAS BD (SHY)	A	Dividend			Sold (part)	01/04/19	J	A	
89.					Buy (add'l)	03/04/19	K		
90.					Sold	06/06/19	K	A	
91. JOHN HANCOCK INCOME FUND (JSNWX) (Fmrly JSTIX)	A	Dividend			Sold	02/01/19	J		
92. T. ROWE PRICE INTL FUNDS INC EMERGING MARKETS (PRXIX)	A	Dividend			Sold	02/21/19	J		
93. BROKERAGE ACCOUNT #2 (H)									
94. VANGUARD PRIME MONEY MARKET FUND (VMMXX) (cash)	B	Dividend	L	T					
95. VANGUARD REAL ESTATE INDEX ADMIRAL (VGSLX)	A	Dividend	J	T					
96. VANGUARD SMALL CAP INDEX FUND ADM (VSMAX)	A	Dividend	J	T					
97. VANGUARD SMALL-CAP VAL IDX ADMIRAL (VSIAX)	A	Dividend	J	T					
98. VANGUARD TOTAL INTL STOCK IX ADMIRAL (VTIAX)	A	Dividend	K	T					
99. VANGUARD TOTAL BOND MKT INDEX ADM (VBTLX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
100. VANGUARD TOTAL STOCK MKT IDX ADM (VTSAX)	A	Dividend	L	T					
101. VANGUARD WELLINGTON FUND INV (VWELX)	B	Dividend	K	T					
102. VANGUARD 500 INDEX ADM (VFIAX)	B	Dividend	M	T					
103. NEW YORK 529 COLLEGE SAVINGS DIRECT PLAN #1 (H)									
104. CONSERVATIVE AGE-BASED OPTION: CONSERVATIVE INCOME PORTFOLIO		None	M	T	Buy	12/06/19	L		
105.					Buy (add'l)	12/23/19	M		
106. AGGRESSIVE AGE-BASED OPTION: DISCIPLINED GROWTH PORTFOLIO		None			Sold	12/06/19	K		
107. MODERATE AGE-BASED OPTION: CONSERVATIVE PORTFOLIO		None			Sold	12/06/19	K		
108. CONSERVATIVE AGE-BASED OPTION: BALANCED INCOME PORTFOLIO		None			Buy (add'l)	01/15/19	J		
109.					Sold	12/06/19	L		
110. AGGRESSIVE AGE-BASED OPTION: CONSERVATIVE GROWTH PORTFOLIO		None			Buy	12/06/19	K		
111.					Sold	12/23/19	K		
112. MODERATE AGE-BASED OPTION: INCOME PORTFOLIO		None			Buy	12/06/19	K		
113.					Sold	12/23/19	K		
114. INFLATION PROTECTED SECURITIES PORTFOLIO		None			Buy (add'l)	01/15/19	J		
115.					Sold	12/23/19	L		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
116. NEW YORK 529 COLLEGE SAVINGS DIRECT PLAN #2 (H)									
117. AGGRESSIVE AGE-BASED OPTION: DISCIPLINED GROWTH PORTFOLIO		None	K	T	Buy	10/07/19	K		
118. MODERATE AGE-BASED OPTION: CONSERVATIVE PORTFOLIO		None	K	T	Buy	10/07/19	K		
119. INFLATION PROTECTED SECURITIES PORTFOLIO		None	L	T					
120. CONSERVATIVE AGE-BASED OPTION: BALANCED INCOME PORTFOLIO		None	L	T	Buy	10/07/19	L		
121. AGGRESSIVE AGE-BASED OPTION: MODERATE GROWTH PORTFOLIO		None			Sold	10/07/19	K		
122. MODERATE AGE-BASED OPTION: CONSERVATIVE GROWTH PORTFOLIO		None			Sold	10/07/19	K		
123. CONSERVATIVE AGE-BASED OPTION: INCOME PORTFOLIO		None			Sold	10/07/19	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Woods, Gregory H.

Date of Report

07/31/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 42 & 44: BLACKROCK FOCUS GROWTH INVESTOR CL C (MCFOX) was formerly known as BLACKROCK LARGE CAP FOCUS GROWTH FUND (MCLHX) via corporate action.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Woods, Gregory H.

Date of Report

07/31/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregory H. Woods**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544