

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Flanagan, Louise W.	2. Court or Organization US District Court, NC	3. Date of Report 04/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address US Courthouse 413 Middle Street New Bern, NC 28560		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

Name of Person Reporting	Date of Report
Flanagan, Louise W.	04/29/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Flanagan, Louise W.	04/29/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. First Citizens Bank	Mortgage on Rental Property, Morehead City, NC (Part VII, line 2)	M
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Deposits at First Citizens Bank	A	Interest	J	T					
2. Rental Property, Morehead City, NC (\$330,000, 7/14/2017)		None	N	R					
3. Receivable-Noucoux UN, LLC (Buyout of LLC Interest) (X)	B	Interest	L	T					
4. Scholars Choice 529 Plan, Balance 50/50 Portfolio NO CONTROL		None			Sold (part)	02/26/19	J	A	
5.					Sold (part)	04/03/19	J	A	
6.					Sold (part)	05/03/19	J	A	
7.					Sold (part)	07/15/19	J	A	
8.					Sold (part)	08/19/19	J	A	
9.					Sold (part)	09/18/19	J	A	
10.					Sold (part)	10/10/19	J	A	
11.					Sold (part)	11/11/19	J	A	
12.					Sold	12/20/19	J	A	
13. Reliance MetLife Series 25053 (formerly MET GAC Series)		None	O	T	Buy (add'l)	02/11/19	J		
14.					Sold (part)	02/22/19	K	C	
15.					Sold (part)	03/20/19	J	A	
16.					Sold (part)	03/29/19	J	A	
17.					Buy (add'l)	05/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	05/23/19	J	A	
19.					Sold (part)	07/02/19	J	A	
20.					Buy (add'l)	08/06/19	J		
21.					Sold (part)	08/26/19	J	A	
22.					Sold (part)	10/02/19	J	A	
23.					Buy (add'l)	11/19/19	J		
24.					Sold (part)	12/11/19	J	A	
25.					Sold (part)	12/17/19	J	A	
26. Fidelity 500 Index Inv	C	Dividend	M	T	Buy (add'l)	02/11/19	J		
27.					Sold (part)	02/22/19	J	A	
28.					Sold (part)	03/20/19	J	A	
29.					Sold (part)	03/29/19	J	A	
30.					Buy (add'l)	05/10/19	J		
31.					Sold (part)	05/23/19	J	A	
32.					Sold (part)	06/11/19	J	A	
33.					Sold (part)	07/02/19	J	A	
34.					Buy (add'l)	08/06/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	08/26/19	J	A	
36.					Sold (part)	10/02/19	J	A	
37.					Buy (add'l)	11/19/19	J		
38.					Sold (part)	12/11/19	J	A	
39.					Sold (part)	12/17/19	J	A	
40. Fidelity ExtMkt Index Inv	C	Dividend	L	T	Buy (add'l)	02/11/19	J		
41.					Sold (part)	02/22/19	J	A	
42.					Sold (part)	03/20/19	J	A	
43.					Sold (part)	03/29/19	J	A	
44.					Buy (add'l)	05/10/19	J		
45.					Sold (part)	05/23/19	J	A	
46.					Sold (part)	06/11/19	J	A	
47.					Sold (part)	07/02/19	J	A	
48.					Buy (add'l)	08/06/19	J		
49.					Sold (part)	08/26/19	J	A	
50.					Sold (part)	10/02/19	J	A	
51.					Sold (part)	10/08/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	11/19/19	J		
53.					Sold (part)	12/11/19	J	A	
54.					Sold (part)	12/17/19	J	A	
55. Europacific Growth R6	B	Dividend	K	T	Buy (add'l)	02/11/19	J		
56.					Sold (part)	02/22/19	J	A	
57.					Sold (part)	03/20/19	J	A	
58.					Sold (part)	03/29/19	J	A	
59.					Buy (add'l)	05/10/19	J		
60.					Sold (part)	05/23/19	J	A	
61.					Sold (part)	06/11/19	J	A	
62.					Sold (part)	07/02/19	J	A	
63.					Buy (add'l)	08/06/19	J		
64.					Sold (part)	08/26/19	J	A	
65.					Sold (part)	10/02/19	J	A	
66.					Sold (part)	10/08/19	J	A	
67.					Buy (add'l)	11/19/19	J		
68.					Sold (part)	12/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	12/17/19	J	A	
70. Mainstay Large Cap Growth I	E	Dividend	M	T	Buy (add'l)	02/11/19	J		
71.					Sold (part)	02/22/19	J	A	
72.					Sold (part)	03/20/19	J	A	
73.					Sold (part)	03/29/19	J	A	
74.					Buy (add'l)	05/10/19	J		
75.					Sold (part)	05/23/19	J	A	
76.					Sold (part)	06/11/19	J	A	
77.					Sold (part)	07/02/19	J	A	
78.					Buy (add'l)	08/06/19	J		
79.					Sold (part)	08/26/19	J	A	
80.					Sold (part)	10/02/19	J	A	
81.					Sold (part)	10/08/19	J	A	
82.					Buy (add'l)	11/19/19	J		
83.					Sold (part)	12/11/19	J	A	
84.					Sold (part)	12/17/19	J	A	
85. MFS Value R4	D	Dividend	M	T	Buy (add'l)	02/11/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Sold (part)	02/22/19	J	A	
87.					Sold (part)	03/20/19	J	A	
88.					Sold (part)	03/29/19	J	A	
89.					Buy (add'l)	05/10/19	J		
90.					Sold (part)	05/23/19	J	A	
91.					Sold (part)	06/11/19	J	A	
92.					Sold (part)	07/02/19	J	A	
93.					Buy (add'l)	08/06/19	J		
94.					Sold (part)	08/26/19	J	A	
95.					Sold (part)	10/02/19	J	A	
96.					Sold (part)	10/08/19	J	A	
97.					Buy (add'l)	11/19/19	J		
98.					Sold (part)	12/11/19	J	A	
99.					Sold (part)	12/17/19	J	A	
100. J Hancock Disciplined Val MCap I	B	Dividend	L	T	Buy (add'l)	02/11/19	J		
101.					Sold (part)	02/22/19	J	A	
102.					Sold (part)	03/20/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	03/29/19	J	A	
104.					Buy (add'l)	05/10/19	J		
105.					Sold (part)	05/23/19	J	A	
106.					Sold (part)	06/11/19	J	A	
107.					Sold (part)	07/02/19	J	A	
108.					Buy (add'l)	08/06/19	J		
109.					Sold (part)	08/26/19	J	A	
110.					Sold (part)	10/02/19	J	A	
111.					Sold (part)	10/08/19	J	A	
112.					Buy (add'l)	11/19/19	J		
113.					Sold (part)	12/11/19	J	A	
114.					Sold (part)	12/17/19	J	A	
115. Vanguard Total Int'l Stock Index	B	Dividend	K	T	Buy (add'l)	02/11/19	J		
116.					Sold (part)	02/22/19	J	A	
117.					Sold (part)	03/20/19	J	A	
118.					Sold (part)	03/29/19	J	A	
119.					Buy (add'l)	05/10/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	05/23/19	J	A	
121.					Sold (part)	06/11/19	J	A	
122.					Sold (part)	07/02/19	J	A	
123.					Buy (add'l)	08/06/19	J		
124.					Sold (part)	08/26/19	J	A	
125.					Sold (part)	10/02/19	J	A	
126.					Sold (part)	10/08/19	J	A	
127.					Buy (add'l)	11/19/19	J		
128.					Sold (part)	12/11/19	J	A	
129.					Sold (part)	12/17/19	J	A	
130. American Balanced R6	D	Dividend	M	T	Buy (add'l)	02/11/19	J		
131.					Sold (part)	02/22/19	J	A	
132.					Sold (part)	03/20/19	J	A	
133.					Sold (part)	03/29/19	J	A	
134.					Buy (add'l)	05/10/19	J		
135.					Sold (part)	05/23/19	J	A	
136.					Sold (part)	06/11/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	07/02/19	J	A	
138.					Buy (add'l)	08/06/19	J		
139.					Sold (part)	08/26/19	J	A	
140.					Sold (part)	10/02/19	J	A	
141.					Sold (part)	10/08/19	J	A	
142.					Buy (add'l)	11/19/19	J		
143.					Sold (part)	12/11/19	J	A	
144.					Sold (part)	12/17/19	J	A	
145. Eagles Small Cap Growth	C	Dividend	K	T	Buy (add'l)	02/11/19	J		
146.					Sold (part)	02/22/19	J	A	
147.					Sold (part)	03/20/19	J	A	
148.					Sold (part)	03/29/19	J	A	
149.					Buy (add'l)	05/10/19	J		
150.					Sold (part)	05/23/19	J	A	
151.					Sold (part)	06/11/19	J	A	
152.					Sold (part)	07/02/19	J	A	
153.					Buy (add'l)	08/06/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	08/26/19	J	A	
155.					Sold (part)	10/02/19	J	A	
156.					Sold (part)	10/08/19	J	A	
157.					Buy (add'l)	11/19/19	J		
158.					Sold (part)	12/11/19	J	A	
159.					Sold (part)	12/17/19	J	A	
160. Delaware Small Cap Value Inst'l	B	Dividend	K	T	Buy (add'l)	02/11/19	J		
161.					Sold (part)	02/22/19	J	A	
162.					Sold (part)	03/20/19	J	A	
163.					Sold (part)	03/29/19	J	A	
164.					Buy (add'l)	05/10/19	J		
165.					Sold (part)	05/23/19	J	A	
166.					Sold (part)	06/11/19	J	A	
167.					Sold (part)	07/02/19	J	A	
168.					Buy (add'l)	08/06/19	J		
169.					Sold (part)	08/26/19	J	A	
170.					Sold (part)	10/02/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	10/08/19	J	A	
172.					Buy (add'l)	11/19/19	J		
173.					Sold (part)	12/11/19	J	A	
174.					Sold (part)	12/17/19	J	A	
175. Metropolitan West Total Return	A	Interest	J	T	Sold (part)	02/22/19	J	A	
176.					Sold (part)	03/20/19	J	A	
177.					Sold (part)	03/29/19	J	A	
178.					Sold (part)	06/11/19	J	A	
179.					Sold (part)	07/02/19	J	A	
180.					Sold (part)	08/26/19	J	A	
181.					Sold (part)	10/02/19	J	A	
182.					Sold (part)	12/11/19	J	A	
183.					Sold (part)	12/17/19	J	A	
184. DoubleLine Total Return Bond		None	K	T	Buy	12/27/19	K		
185. PIMCO Income Fund Inst'l Class	C	Dividend	K	T	Sold (part)	12/20/19	K	A	
186.					Sold (part)	12/27/19	K	A	
187. Dreyfus Insured Deposit Acct	A	Int./Div.	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Advisory Research MLP & Energy	C	Dividend			Sold	12/27/19	K	A	
189. American Balance Fund Clase 3		None	K	T	Buy	12/27/19	K		
190. Angel Oak Multi Strategy Income		None	K	T	Buy	12/27/19	K		
191. Federated Strategic Value	D	Dividend	L	T	Buy (add'l)	02/08/19	J		
192.					Sold (part)	12/20/19	J	A	
193.					Buy (add'l)	12/27/19	K		
194. Franklin Income Fund Advisor	C	Dividend	L	T	Sold (part)	01/24/19	J	A	
195.					Buy (add'l)	02/08/19	J		
196.					Sold (part)	12/20/19	J	A	
197. Barrings Global Credit Income Fund	B	Dividend	K	T	Buy (add'l)	02/08/19	J		
198.					Sold (part)	12/20/19	J	A	
199.					Sold (part)	12/27/19	J	A	
200. Stone Ridge Alternative Funding	C	Dividend	K	T	Sold (part)	12/06/19	K	A	
201. Blackrock Global Dividend	D	Dividend	L	T	Sold (part)	01/24/19	J	A	
202.					Buy (add'l)	02/08/19	J		
203.					Sold (part)	12/20/19	J	A	
204. Thornburg Investment Income	C	Dividend	L	T	Sold (part)	01/24/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting	Date of Report
Flanagan, Louise W.	04/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	02/08/19	J		
206.					Sold (part)	12/20/19	J	A	
207. Versus Capital Multi-Manager	C	Dividend	L	T					
208. JP Morgan Global Bond Opportunity	B	Dividend	K	T	Sold (part)	01/24/19	J	A	
209.					Sold (part)	12/27/19	J	A	
210. JP Morgan Income Builder	C	Dividend	L	T	Sold (part)	01/24/19	J	A	
211.					Sold (part)	12/20/19	J	A	
212.					Buy (add'l)	12/27/19	K		
213. PIMCO Flexible Credit Income Fund	C	Dividend	L	T	Sold (part)	02/07/19	J	A	
214.					Buy (add'l)	12/12/19	K		
215. Pacific Funds Floating Rate		None	K	T	Buy	12/27/19	K		
216. PGIM Total Return Bond Fund	D	Dividend			Buy (add'l)	12/11/19	K		
217.					Sold	12/27/19	M	A	
218. Ishares TR Select Divid ETF		None	K	T	Buy	12/27/19	K		
219. Eagle Mid-Cap Growth	B	Dividend	L	T	Sold (part)	12/20/19	J	C	
220. First Eagle Global Fund Class I	D	Dividend	M	T	Sold (part)	12/20/19	J	A	
221. Fundamental Investors Class	C	Dividend	L	T	Sold (part)	12/20/19	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. JP Morgan Small-Cap Equity	B	Dividend	K	T	Sold (part)	12/20/19	J	A	
223. Alger Spectra Fund Class Z (formerly Alger Spectra Fund Class I)	C	Dividend	K	T	Sold (part)	12/20/19	J	B	
224. Federated Strategic Value	D	Dividend	L	T	Sold (part)	12/20/19	J	A	
225. MFS Mid-Cap Value Fund Class I	B	Dividend	L	T	Sold (part)	12/20/19	J	A	
226. ISHARES TR Core S&P Mid-Cap	C	Dividend	M	T	Sold (part)	12/20/19	K	C	
227. ISHARES TR Core S&P Small-Cap	B	Dividend	M	T	Sold (part)	12/20/19	K	C	
228. SPDR S&P 500 ETF TR Unit	C	Dividend	M	T	Sold (part)	12/20/19	K	C	
229. Dreyfus Insured Deposit Acct	A	Int./Div.	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

04/29/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

04/29/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Louise W. Flanagan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544