

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Chen, Edward M.                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S. District Court, N.D. California                                                                                                                                                                                                              | <b>3. Date of Report</b><br><br>08/17/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>District Judge - Active                                                       | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
| <b>7. Chambers or Office Address</b><br><br>Chambers of Judge Edward M. Chen<br>450 Golden Gate Avenue, 17th Floor<br>San Francisco, CA 94102                                                             |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>            |
|-----------------|-----------------------------------------------|
| 1. Employee     | Limited Liability Co. #8 <input type="text"/> |
| 2. Trustee      | Trust #5 <input type="text"/>                 |
| 3. Trustee      | Trust #8 <input type="text"/>                 |
| 4. Trustee      | Trust #16 <input type="text"/>                |
| 5. Trustee      | Trust #17 <input type="text"/>                |
| 6. Trustee      | Trust #18 <input type="text"/>                |
| 7. Trustee      | Trust #19 <input type="text"/>                |
| 8. Trustor      | Trust #20 <input type="text"/>                |
| 9. Manager      | Limited Liability Co. #1 <input type="text"/> |
| 10. Manager     | Limited Liability Co. #2 <input type="text"/> |
| 11. Manager     | Limited Liability Co. #3 <input type="text"/> |
| 12. Manager     | Limited Liability Co. #4 <input type="text"/> |
| 13. Manager     | Limited Liability Co. #5 <input type="text"/> |
| 14. Manager     | Limited Liability Co. #6 <input type="text"/> |
| 15. Manager     | Limited Liability Co. #7 <input type="text"/> |
| 16. Manager     | Limited Liability Co. #8 <input type="text"/> |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Chen, Edward M.          | 08/17/2020     |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                  |
|-------------|-----------------------------------------------------------|
| 1. 1991     | ACLU Employees - Defined Benefit Pension Plan, no control |
| 2.          |                                                           |
| 3.          |                                                           |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>   | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------|----------------------------------------|
| 1. 2019     | Limited Liability Co. #8 | \$26,952.00                            |
| 2.          |                          |                                        |
| 3.          |                          |                                        |
| 4.          |                          |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>            |
|-------------|-----------------------------------|
| 1. 2019     | Limited Liability Co. #8 - salary |
| 2.          |                                   |
| 3.          |                                   |
| 4.          |                                   |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u>                    | <u>DATES</u>         | <u>LOCATION</u>   | <u>PURPOSE</u>                                                              | <u>ITEMS PAID OR PROVIDED</u>                |
|----|----------------------------------|----------------------|-------------------|-----------------------------------------------------------------------------|----------------------------------------------|
| 1. | U.S. Patent and Trademark Office | 1/27/2019 - 2/1/2019 | Bangkok, Thailand | Panelist on judicial program for judges from ASEAN member countries         | Airfare, hotel, meals, ground transportation |
| 2. | U.S. Patent and Trademark Office | 4/4/2019 - 4/12/2019 | Taipei, Taiwan    | Panelist on program for stemming digital piracy and enforcing trade secrets | Airfare, hotel, meals, ground transportation |
| 3. |                                  |                      |                   |                                                                             |                                              |
| 4. |                                  |                      |                   |                                                                             |                                              |
| 5. |                                  |                      |                   |                                                                             |                                              |

|                          |                |
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

| CREDITOR                 | DESCRIPTION                                  | VALUE CODE |
|--------------------------|----------------------------------------------|------------|
| 1. American Savings Bank | Co-signer on mortgage condominium investment | N          |
| 2.                       |                                              |            |
| 3.                       |                                              |            |
| 4.                       |                                              |            |
| 5.                       |                                              |            |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Limited Partnership #3 [REDACTED]                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 2. (9.5% Interest)                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 3. -Commercial Property #3 (San Francisco, CA)                                                                             | C                                      | Rent                                   | K                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 4. -Bank of America (accounts)                                                                                             | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. -First Republic Bank (account)                                                                                          | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. -Condominium #1 (Kohala Coast, HI)                                                                                      | A                                      | Rent                                   | K                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 7. -El Dorado Hospitality, LLC                                                                                             | A                                      | Rent                                   | J                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 8. -Sterling Bank & Trust (account)                                                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. -Cathay Bank (account)                                                                                                  | A                                      | Interest                               | J                                              | T                                  | Closed                                    | 06/13/19         | J                        | A                       |                                                            |
| 10.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 11. Limited Partnership #5 ([REDACTED])                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 12. Limited Partnership) (20.5% Interest)                                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 13. -Commercial Property #4 (Sacramento, CA)                                                                               | D                                      | Rent                                   | M                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 14. -Bank of America (accounts)                                                                                            | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. -First Republic Bank (account)                                                                                         | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. -East-West Bank (account)                                                                                              | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. -Sterling Bank & Trust (account)                                                                                       | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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08/17/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. -First Citizens Bank (account)                                                                                         | A                                      | Interest                                      | J                                              | T                                         | Open                                            | 01/01/19                | J                               |                                |                                                                   |
| 19. -Limited Liability Company #2 [REDACTED]                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 20. (Limited Partnership #5 owns 25% Interest)                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 21. --Commercial Property #8 (San Rafael, CA)                                                                              | D                                      | Rent                                          | M                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. --Bank of America (account)                                                                                            | A                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. --Sterling Bank & Trust (account)                                                                                      | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. --East-West Bank (account)                                                                                             | A                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. --First Republic Bank (account)                                                                                        | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. --Cathay Bank (account)                                                                                                | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. -Bank of America (accounts) [Erroneously<br>included in                                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. 2018 Report]                                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 29.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 30. Life Insurance Policies - New York Life Ins.<br>Co. (Whole Life Policies)                                              | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 32. Trust 35 (Co-Trustee only) (No ownership)                                                                              |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 33. -Cathay Bank (account)                                                                                                 | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. -East-West Bank (account)                                                                                              | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. -Sterling Bank & Trust (account)                                                                                       | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. -First Republic Bank (account)                                                                                         | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. -Limited Liability Co. #3 [REDACTED]<br>[REDACTED] (2.4% Interest)                                                     |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 38. --Commercial Property #7 (Sacramento, CA)                                                                              | B                                      | Rent                                          | J                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. --Sterling Bank & Trust (account)                                                                                      | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 40. --First Republic Bank (accounts)                                                                                       | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. --Bank of America (accounts)                                                                                           | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. --East-West Bank (accounts)                                                                                            | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. --Cathay Bank (accounts)                                                                                               | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. -Limited Liability Co. #7 [REDACTED]<br>[REDACTED] (13.3% Interest)                                                    |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 45. --Commercial Property #8 (Sacramento, CA)                                                                              | A                                      | Rent                                          | J                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. --Bank of America (accounts)                                                                                           | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. --Cathay Bank (account)                                                                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 48. --First Citizens Bank (account)                                                                                        | A                                      | Interest                                      | J                                              | T                                         | Open                                            | 01/01/19                | J                               |                                |                                                                   |
| 49. -Limited Partnership #2 [REDACTED]<br>[REDACTED]                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 50. (0.88% Interest)                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 51. --Commercial Property #2 (San Francisco, CA)                                                                           | A                                      | Rent                                          | J                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                     |                                                      |                                                                                        |                                                            |                        |
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| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                       | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. --Bank of America (accounts)                                                                                           | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 53. --Cathay Bank (account)                                                                                                | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 54. --First Republic Bank (account)                                                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 55. --Sterling Bank & Trust (account)                                                                                      | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 56.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 57. Merrill Lynch - IRA                                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 58. -Cash Account - Merrill Lynch                                                                                          | C                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 59. -Calvert Social Investment Fund Balanced Portfolio                                                                     | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 60. -Ariel Appreciation Fund                                                                                               | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 61. -Calvert Social Index                                                                                                  | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62. -Templeton Foreign Fund                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 63. -Templeton Developing                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 64.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 65. Merrill Lynch - IRA                                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 66. -Cash Account - Merrill Lynch                                                                                          | C                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 67. -Calvert Social Investment Fund Balanced Portfolio                                                                     | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 68. -Franklin U.S. Government Series Class A                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/17/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. -Calvert Large Cap Core                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 70. -Templeton Developing                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 71. -Templeton Foreign Fund                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 72.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 73. Lincoln Financial Group (403(b))                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 74. -LVIP UBS Global Asset Allocation                                                                                      | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 75. -LVIP Delaware Social Awareness                                                                                        | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 76.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 77. Bank of America (accounts)                                                                                             | A                                      | Interest                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 78.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 79. Sterling Bank & Trust (accounts)                                                                                       | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 80.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 81. Ally Bank (account)                                                                                                    | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 82.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 83. Trust #16 (Trustee only) (No ownership)                                                                                |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 84. -Bank of America (account)                                                                                             | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 85. -Sterling Bank & Trust (account)                                                                                       | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/17/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. -Cathay Bank (account)                                                                                                 | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. -Limited Partnership #2 ( [REDACTED] )                                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 88. (26.69% Interest)                                                                                                      |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 89. --Commercial Property #2 (San Francisco, CA)                                                                           | A                                      | Rent                                          | J                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 90. --Bank of Ameridca (accounts)                                                                                          | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. --Cathay Bank ( accounts)                                                                                              | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. --First Republic Bank (account)                                                                                        | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 93. --Sterling Bank & Trust (account)                                                                                      | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 94.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 95. Limited Liability Co. #4 [REDACTED]                                                                                    |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 96. -Bank of America (account)                                                                                             | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. -Limited Partnership #1 [REDACTED]                                                                                     |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 98. (Limited Liability Co. #4 owns 1% Interest)                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 99. --Limited Liability Co. #5 [REDACTED] (Limited                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 100. Partnership #1 owes 25% Interest)                                                                                     |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 101. --Bank of America (accounts)                                                                                          | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. --First Republic Bank (account)                                                                                       | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 104. --Commercial Property #1 (San Francisco, CA)                                                                          | B                                      | Rent                                   | J                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 105. -Limited Partnership #2 [REDACTED]                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 106. (Limited Liability Co. #4 owns 1% Interest)                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 107. --Commercial Property #2 (San Francisco, CA)                                                                          | D                                      | Rent                                   | K                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 108. --Bank of America (accounts)                                                                                          | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 109. --Cathay Bank (account)                                                                                               | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 110. --First Republic Bank (account)                                                                                       | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 111. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 112. -Limited Partnership #5 [REDACTED]                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 113. (LLC #4 owns 1% Interest)                                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 114. --Commercial Property #4 (Sacramento, CA)                                                                             | C                                      | Rent                                   | J                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 115. --Bank of America (accounts)                                                                                          | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 116. --First Republic Bank (account)                                                                                       | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 117. --East-West Bank (account)                                                                                            | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 118. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 119. --Limited Liability Co. #2 [REDACTED] (Limited                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Partnership #5 owns 25% Interest)                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 121. --Commercial Property #8 (San Rafael, CA)                                                                             | A                                      | Rent                                   | J                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 122. --Bank of America (account)                                                                                           | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 123. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 124. --East-West Bank (account)                                                                                            | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 125. --First Republic Bank (account)                                                                                       | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 126. --Cathay Bank (account)                                                                                               | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 127.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 128. Limited Liability Co. #6 (50.5%)                                                                                      |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 129. -Bank of America (account)                                                                                            | B                                      | Interest                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 130. -First Republic Bank (account)                                                                                        | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 131. -Sterling Bank & Trust (account)                                                                                      | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 132. -Cathay Bank (account)                                                                                                | A                                      | Interest                               | J                                              | T                                  | Closed                                    | 06/13/19         | J                        | A                       |                                                            |
| 133. -Provident Credit Union (account)<br>[Erroneously omitted from                                                        | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 134. prior Reports]                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 135. -Condominium #5 (Maui, HI)                                                                                            | E                                      | Rent                                   | M                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 136. -Commercial Property #12 (San Rafael, CA)                                                                             | D                                      | Rent                                   | N                                              | U                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. -Condominium #6 (Maui, HI)                                                                                            | D                                      | Rent                                          | L                                              | U                                         | Sold                                            | 01/31/19                | O                               | G                              | Mark Pamat, Kelly Robinson                                        |
| 138. -Commercial Property #13 (Novato, CA)                                                                                 | D                                      | Rent                                          | N                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 139. -Commercial Property #14 (Goleta, CA)                                                                                 | D                                      | Rent                                          | N                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 140.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 141. Limited Liability Co. #7 (Manager only)                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 142. (No ownership)                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 143. -Commercial Property #8 (Sacramento, CA)                                                                              | A                                      | Rent                                          | J                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 144. -Bank of America (accounts)                                                                                           | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 145. -Cathay Bank (account)                                                                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 146. -First Citizens Bank (account)                                                                                        | A                                      | Interest                                      | J                                              | T                                         | Open                                            | 01/01/19                | J                               |                                |                                                                   |
| 147.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 148. BST Hospitality, LLC                                                                                                  | A                                      | Dividend                                      | L                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 149.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 150. Trust #17                                                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 151. -Commercial Property #11 (Petaluma, CA)                                                                               | F                                      | Rent                                          | N                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 152. -Bank of America (account)                                                                                            | A                                      | Interest                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 153. -Sterling Bank & Trust (account)                                                                                      | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/17/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 155. Trust #18 (Trustee only) (No ownership interest)                                                                      |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 156. -East-West Bank (account)                                                                                             | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 157. -Sterling Bank & Trust (account)                                                                                      | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 158. -Cathay Bank (account)                                                                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 159. -Bank of America (account)                                                                                            | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 160.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 161. Trust #19                                                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 162. -Bank of America (account)                                                                                            | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 163. -Limited Liability Co. #1 ( [REDACTED] )<br>[REDACTED] (77% Interest)                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 164. --Commercial Building #9 (San Francisco, CA)                                                                          | G                                      | Rent                                          | O                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 165. --Bank of America (account)                                                                                           | C                                      | Interest                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 166. --First Republic Bank (account)                                                                                       | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 167. --Sterling Bank & Trust (account)                                                                                     | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 168. --Condominium #2 (Maui, HI)                                                                                           | E                                      | Rent                                          | K                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 169. --Condominium #3 (Santa Barbara, CA)                                                                                  | E                                      | Rent                                          | K                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 170. --Commercial Condominium (San Francisco, CA)                                                                          | G                                      | Rent                                          | M                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/17/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 172. Trust #20 (Spouse Trustee)                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 173. --Bank of America (account)                                                                                           | A                                      | Int./Div.                                     | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 174. --Limited Liability Co. #1 (22% Interest)                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 175. --Commercial Building #9 (San Francisco, CA)                                                                          | G                                      | Rent                                          | O                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 176. --Bank of America (accounts)                                                                                          | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 177. --First Republic Bank (account)                                                                                       | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 178. --Sterling Bank & Trust (account)                                                                                     | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 179. --East-West Bank (account) [Erroneously included in prior                                                             | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 180. Report -- closed in 4/12/2018]                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 181. --Condominium #2 (Maui, HI)                                                                                           | D                                      | Rent                                          | K                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 182. --Condominium #3 (Santa Barbara, CA)                                                                                  | D                                      | Rent                                          | K                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 183. --Limited Partnership #3 (49.5% Interest)                                                                             |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 184. --Commercial Property #3 (San Francisco, CA)                                                                          | D                                      | Rent                                          | M                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 185. --Bank of America (accounts)                                                                                          | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 186. --First Republic Bank (account)                                                                                       | A                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 187. --Condominium #1 (Kohala Coast, HI)                                                                                   | A                                      | Rent                                          | M                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/17/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. --El Dorado Hospitality, LLC                                                                                          | A                                      | Dividend                               | J                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 189. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 190. --Cathay Bank (account)                                                                                               | A                                      | Interest                               | J                                              | T                                  | Closed                                    | 06/13/19         | J                        | A                       |                                                            |
| 191. -Limited Liability Co. #5 (Limited<br>Partnership #1                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 192. owns 25% Interest)                                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 193. --Bank of America (account)                                                                                           | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 194. --First Republic Bank (account)                                                                                       | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 195. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 196. --Commercial Property #1 (San Francisco,<br>CA)                                                                       | G                                      | Rent                                   | N                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 197. -Limited Liability Co. #6 (49.5 Interest)                                                                             |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 198. --Provident Credit Union (account)                                                                                    | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 199. --Bank of America (account)                                                                                           | B                                      | Interest                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 200. --First Republic Bank (account)                                                                                       | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 201. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 202. --Condominium #5 (Maui, HI)                                                                                           | D                                      | Rent                                   | M                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 203. --Commercial Condominium (San<br>Francisco, CA)                                                                       | G                                      | Rent                                   | O                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 204. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/17/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. --Commercial Property #12 (San Rafael, CA)                                                                            | D                                      | Rent                                   | N                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 206. --Condominium #6 (Maui, HI)                                                                                           | D                                      | Rent                                   | L                                              | U                                  | Sold                                      | 01/31/19         | O                        | G                       | Mark Pamat, Kelly Robinson                                 |
| 207. --Cathay Bank (account)                                                                                               | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 208. -Limited Liability Co. #3 (26% Interest)                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 209. --Commercial Property #7 (Sacramento, CA)                                                                             | E                                      | Rent                                   | L                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 210. --Sterling Bank & Trust (account)                                                                                     | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 211. --First Republic Bank (account)                                                                                       | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 212. --Bank of America (accounts)                                                                                          | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 213. --East-West Bank (accounts)                                                                                           | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 214. --Cathay Bank (accounts)                                                                                              | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 215. --First Citizens Bank (account)                                                                                       | A                                      | Interest                               | J                                              | T                                  | Open                                      | 01/01/19         | J                        |                         |                                                            |
| 216.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 217. Limited Liability Co. #8 (Manager only)                                                                               | A                                      | Dividend                               | J                                              | U                                  |                                           |                  |                          |                         |                                                            |
| 218. -Bank of America (accounts)                                                                                           | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 219. -First Republic Bank (account)                                                                                        | A                                      | Interest                               | J                                              | T                                  | Open                                      | 05/24/19         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|                                                        |                                         |
|--------------------------------------------------------|-----------------------------------------|
| <b>Name of Person Reporting</b><br><br>Chen, Edward M. | <b>Date of Report</b><br><br>08/17/2020 |
|--------------------------------------------------------|-----------------------------------------|

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Values and income are based on pro-rata interests owned by filer and [REDACTED] (or in which filer/ [REDACTED] has a beneficial interest).

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/17/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Edward M. Chen**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
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