

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lettow, Charles F.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 07/16/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct. of Fed. Claims Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address National Courts Building 717 Madison Place, N.W. Washington, D.C.		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Director	Busy Way Farms, Inc. (subchapter S farm entity wholly owned by family members, position is not compensated)
2. Partner	Homestead Partnership (small farm entity wholly owned by family members; position is not compensated)
3. Trustee	Famiy trust (position is not compensated)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/1993	Cleary, Gottlieb Steen & Hamilton retirement plan with former law firm, no control
2.	(NOTE: An opinion from the Committee on Codes of Conduct dated June 12, 2003, considers that receipt of the retirement annuity provided under this plan accords
3.	with the Code of Conduct for United States Judges.)

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Name of Person Reporting

Lettow, Charles F.

Date of Report

07/16/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Cleary, Gottlieb, Steen & hamilton (retirement annuity)	\$707,909.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Lettow, Charles F.	07/16/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Morgan Stanley	Portfolio Loan Account	O
2. Pacific Life	Life Policy Loan	O
3. Morgan Stanley	Portfolio Loan Account	P1
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) IRA #1									
2. -Morgan Stanley Bank (cash equivalen)	A	Interest	M	T					
3. -BGS	D	Dividend	M	T					
4. -CL	D	Dividend	O	T	Sold (part)	02/07/19	J	C	
5. -CVX	D	Dividend			Sold	11/29/19	M	E	
6. -LIN	D	Dividend	N	T	Sold (part)	02/07/19	K		
7. -PKG	E	Dividend	P1	T					
8. -SJM	D	Dividend	M	T					
9. -TFC (name change from BBT)	E	Dividend	O	T					
10. (H) Brokerage Act. #1									
11. -Morgan Stanley Bank (cash equivalent)	A	Interest	K	T					
12. -BPT	A	Royalty	J	T					
13. -COF	D	Dividend	O	T					
14. -CL	D	Dividend	O	T					
15. -XOM	D	Dividend			Sold	11/29/19	M	E	
16. -LIN	D	Dividend	N	T					
17. -PKG	E	Dividend	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PEP	C	Dividend	M	T					
19. -SJM	D	Dividend	M	T					
20. -TFC (name change from BBT)	D	Dividend	N	T					
21. (H) Trust #1									
22. -Morgan Stanley Bank (cash equivalent)	A	Interest	K	T					
23. -MMM (3M)	D	Dividend	M	T					
24. -BPT	A	Royalty	J	T					
25. -COF	E	Dividend	O	T	Sold (part)	12/24/19	K	C	
26. -CL	E	Dividend	O	T					
27. -GIS	D	Dividend	M	T					
28. -LIN	B	Dividend	M	T	Sold (part)	12/24/19	K	D	
29. -MDT	A	Dividend			Sold	12/24/19	K	C	
30. -PKG	E	Dividend	O	T					
31. -PEP	D	Dividend	M	T					
32. -SJM	D	Dividend	M	T					
33. -TFC (name change from BBT)	E	Dividend	O	T					
34. WFC	C	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -LNT	A	Dividend			Sold	12/24/19	J	C	
36. -XOM	D	Dividend			Sold	11/29/19	M		
37. -Stoneham Partners		None	P1	Q					See Part VIII
38. -Vorbeck Materials pfd.stock		None	N	Q					See Part VIII
39. -Vorbeck Materials bridge loan		None	P1	Q	Buy (add'l)	02/07/19	K		See Part VIII
40. USAA Money Mkt. Fund (cash equivalent)	A	Dividend	K	T					
41. Thrivent Whole Life	A	Distribution	J	U					
42. USAA Whole Life 1	B	Distribution	K	U					
43. USAA Whole Life 2	B	Distribution	L	U					
44. Pacific Life Variable (Fixed LT Account)	F	Distribution	O	U					
45. USAA Subsc. Sav. Act.	B	Distribution	J	U					
46. Busy Way Farms	E	Int./Div.	P1	U					
47. B&T Bank Act. (cash equivalent)		None	J	T					
48. Homestead Partnership	A	Interest	L	U					
49. Stoneham Partners	A	Interest	P1	U					
50. Vorbeck Materials pfd.stock		None	O	U					
51. Vorbeck Materials bridge loan		None	P1	U	Buy (add'l)	01/22/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52.					Buy (add'l)	02/07/19	L		
53.					Buy (add'l)	05/01/19	K		
54.					Buy (add'l)	06/07/19	L		
55.					Buy (add'l)	06/26/19	M		
56.					Buy (add'l)	09/04/19	K		
57.					Buy (add'l)	09/17/19	L		
58.					Buy (add'l)	10/21/19	L		
59.					Buy (add'l)	11/27/19	L		
60.					Buy (add'l)	12/11/19	J		
61.					Buy (add'l)	12/23/19	M		
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1 Income Gain Codes:

(See Columns B1 and D4)

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3 Value Method Codes

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A =\$1,000 or less

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.									

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Name of Person Reporting

Lettow, Charles F.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding lines 37, 38, and 39, appraisals for estate purposes were made in late 2016, as of June 12, 2016. There have been no material changes in the pertinent assets since then.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles F. Lettow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544